

JUL 8 2019 .

Missouri Public Service Commission



The Empire District Electric Company
a Liberty Utilities Company
Case No. AO-2018-0179
OPC Data Request – 1085
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Data Request Received: 05/22/19

Request No. 1085

Date of Response: June 10, 2019

Respondent: Mark Timpe

REQUEST:

Did any of the Finance and Treasury Missouri Applicant employees who transferred to an affiliate after Algonquin acquired their employer receive any training or advice regarding the Commission's affiliate transaction rule requirements? If so, please provide copies of the training material or advice provided to these employees, and the date(s) it was provided to them.

RESPONSE:

This response is provided subject to and without waiving the objections served on May 25, 2019.

Mr. Timpe, who is principally responsible for the Treasury functions for the Missouri applicants, has: a) been introduced to the Missouri affiliate rules both before and more intensively since commencement of this docket, b) been responsible for preparing the Empire District Electric Company's affiliate transaction reports filed with the Arkansas and Kansas Public Service Commissions and has received annual Arkansas affiliate rules training, both before and after the acquisition of The Empire District Electric Company by Liberty Utilities (Central) Corp., and c) has received affiliate rules training for California earlier this year. Thus, Mr. Timpe is familiar with the requirements of the Missouri Affiliate Transaction rules and affiliate rule concepts. In addition, other employees within the Central Region Finance team have received training on affiliate rules, including the Arkansas Public Service Commission Affiliate Transaction rules, and thus are versed in the fundamental concepts underlying affiliate transaction requirements.

OPC Exhibit No. 12

Date 6/27/19 Reporter 871

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