

**FISCHER & DORITY**  
PROFESSIONAL CORPORATION

Attorneys at Law  
Regulatory & Governmental Consultants

101 Madison, Suite 400  
Jefferson City, MO 65101  
Telephone: (573) 636-6758  
Fax: (573) 636-0383

James M. Fischer  
Larry W. DORITY

April 25, 2003

**FILED<sup>3</sup>**

APR 25 2003

Missouri Public  
Service Commission

Secretary of the Commission  
Missouri Public Service Commission  
200 Madison Street, Suite 100  
P.O. Box 360  
Jefferson City, Missouri 65102-0360

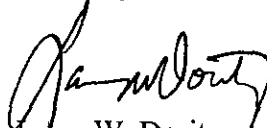
RE: *In the Matter of the Application of the Public Funding Corporation  
of the City of Ozark, Missouri for authority to issue notes and other  
evidences of indebtedness.*  
Case No. \_\_\_\_\_

Dear Mr. Roberts:

Enclosed for filing with the Commission are the original and eight (8) copies of the Application of the Public Funding Corporation of the City of Ozark, Missouri. A copy of the foregoing Application has been hand-delivered this date to the General Counsel and the Office of the Public Counsel.

Thank you for your attention to this matter.

Sincerely,

  
Larry W. DORITY

Enclosures

cc: Office of the Public Counsel  
Dan K. Joyce, General Counsel

**FILED<sup>3</sup>**

APR 25 2003

Missouri Public  
Service Commission

**BEFORE THE PUBLIC SERVICE COMMISSION  
STATE OF MISSOURI**

In the Matter of the Application of )  
the Public Funding Corporation of )  
the City of Ozark, Missouri for authority )  
to issue notes and other evidences of )  
indebtedness. )

Case No. \_\_\_\_\_

**APPLICATION**

COMES NOW The Public Funding Corporation of the City of Ozark, Missouri ("PFC"), pursuant to Sections 393.180 and 393.200, RSMo 2000 and 4 CSR 240-2.060(11), and for its Application for authority to issue notes and other evidences of indebtedness respectfully states as follows:

1. The Public Funding Corporation of the City of Ozark, Missouri is a not-for-profit corporation existing under the laws of the State of Missouri. PFC's Certificate of Corporate Good Standing was previously submitted to this Commission as an exhibit to the Joint Application in Case No. WM-2002-394 and, pursuant to 4 CSR 240-2.060(G), is incorporated herein by reference.

2. Correspondence, communications, orders, and decisions in this matter should be addressed to:

Collin Quigley, City Administrator  
City of Ozark  
P.O. Box 295  
Ozark, Missouri 65721  
Telephone: (417) 581-2407

James M. Fischer  
Larry W. Dority  
Fischer & Dority, P.C.  
101 Madison Street, Suite 400  
Jefferson City, Missouri 65101  
Telephone: (573) 636-6758

3. In its Order Approving Sale, Order Granting Intervention, and Order Requiring Tariff issued in Case No. WM-95-423, the Commission approved the sale of the assets of Finley Valley Water Company to PFC, with the actual control of the water system assets and water service being provided by the City of Ozark pursuant to a lease/purchase agreement with PFC. Said approval was conditioned upon PFC submitting a tariff for Commission approval, such that its service to what will be referred to as the Finley Valley service area would remain regulated. Accordingly, PFC did submit a tariff, which the Commission approved, and PFC's service to its customers in the Finley Valley service area remains regulated to this day. The Commission has not historically exercised jurisdiction over municipally-owned utilities even where such utilities serve customers outside of a municipality's corporate limits, with this one notable exception.

4. A certified copy of Ordinance No. 03-019, authorizing the acceptance of the bid proposal for the financing of the 2003 Finley Valley Water Company Project of The Public Funding Corporation of the City of Ozark, Mo., as approved by the Board of Aldermen of the City of Ozark, Missouri, is marked as **Exhibit A**, is attached hereto and incorporated herein by reference. The bid proposal referenced in and attached to said Ordinance, sets forth the specifics of the proposal for refinancing the Finley Valley Water Company Note, and the general terms and conditions to be contained in the instruments which are proposed to be executed.

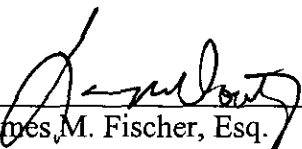
5. As a refinancing of an existing note, no portion of the issue is subject to the fee schedule in section 386.300, RSMo. Pursuant to 4 CSR 240-2.060(11)(E) and (F), a balance sheet and income statement showing the effects of the refinancing is attached hereto as **Exhibit B** and incorporated herein by reference; a five (5)-year capitalization expenditure schedule as required by section 393.200, RSMo, is attached hereto as **Exhibit C** and incorporated herein by reference.

6. The proposed transaction is not detrimental to the public interest in that service provided will not be changed. By refinancing the existing Finley Valley Water Company Note at a lower interest rate, PFC will be in a better financial position to adequately maintain the water system to ensure that customers receive safe and adequate water service in the future.

7. PFC has no pending actions or final unsatisfied judgements or decisions against it from any state or federal agency or court which involve customer service or rates, which action, judgment or decision has occurred within three (3) years of the date of the application. PFC has no annual reports or assessment fees that are overdue.

WHEREFORE, The Public Funding Corporation of the City of Ozark, Missouri respectfully requests an order and decision of this Commission authorizing PFC to issue notes and other evidence of indebtedness as specifically set forth herein, and for such other relief deemed meet and proper to accomplish the purposes of this Application.

Respectfully submitted,

  
James M. Fischer, Esq. MBN 27543  
Larry W. Dority, Esq. MBN 25617  
FISCHER & DORITY, P.C.  
101 Madison Street, Suite 400  
Jefferson City, Missouri 65101  
Telephone: (573) 636-6758  
Facsimile: (573) 636-0383  
E-mail: [jfischerpc@aol.com](mailto:jfischerpc@aol.com)  
E-mail: [lwdority@sprintmail.com](mailto:lwdority@sprintmail.com)

Attorneys for The Public Funding Corporation of the  
City of Ozark, Missouri

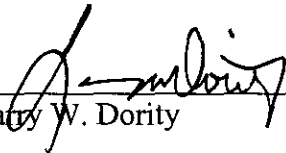
## CERTIFICATE OF SERVICE

I do hereby certify that a true and correct copy of the foregoing document has been hand-delivered, e-mailed, or mailed, First Class, postage prepaid, this 25th day of April, 2003, to:

Dana K. Joyce, General Counsel  
Missouri Public Service Commission  
P.O. Box 360  
Jefferson City MO 65102

Office of the Public Counsel  
P.O. Box 7800  
Jefferson City MO 65102

Collin Quigley  
City Administrator  
City of Ozark  
P.O. Box 295  
Ozark MO 65721

  
\_\_\_\_\_  
Latry W. Dority

VERIFICATION

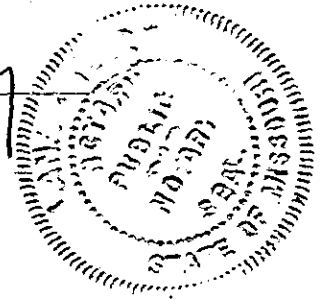
STATE OF MISSOURI )  
 ) ss.  
COUNTY OF CHRISTIAN )

Collin Quigley, being of age and duly sworn, states that he is City Administrator for the City of Ozark, Missouri, and that he has the authority to make this Application for authority to issue notes and other evidence of indebtedness, on behalf of the Public Funding Corporation of the City of Ozark, Missouri, and that he has read the Application and the allegations contained therein are true and correct according to his best knowledge, information and belief.

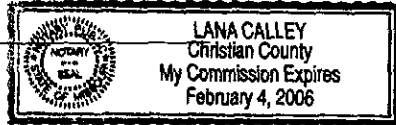
*Collin Quigley*  
Collin Quigley, City Administrator

SUBSCRIBED AND SWORN to before me this 02 of April, 2003.

*Lana Calley*  
Notary Public



My Commission Expires:



BILL NO.: 1939

ORDINANCE NO.: 03-019

AN ORDINANCE

ACCEPTING A BID PROPOSAL FOR THE FINANCING OF THE 2003 FINLEY VALLEY WATER COMPANY PROJECT WITH THE PUBLIC FUNDING CORPORATION OF THE CITY OF OZARK, MO., AND AUTHORIZING AND DIRECTING OTHER ACTIONS TO BE TAKEN IN CONNECTION WITH SUCH FINANCING.

BE IT ORDAINED BY THE BOARD OF ALDERMEN OF THE CITY OF OZARK, MISSOURI as follows:

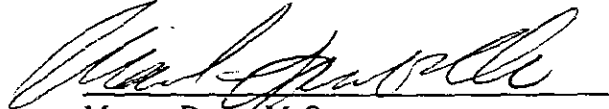
Section 1. The bid proposal for the financing of the 2003 Finley Valley Water Company Project of The Public Funding Corporation of the City of Ozark, Mo. as set forth on the attached Exhibit "A" is hereby accepted, and the successful bidder is authorized to take all actions necessary to accomplish such financing.

Section 2. Yates, Mauck, Bohrer, Elliff, & Croessmann, P.C., as special counsel, together with the officers and the officials of the City of Ozark, Missouri (the "City"), are hereby authorized to work with the successful bidder, to prepare for submission to and final action by the Board of Aldermen of the City, all documents necessary for the financing.

Section 3. The appropriate officers and officials of the City are hereby authorized to do all things necessary to accomplish the financing of the 2003 Finley Valley Water Company Project.

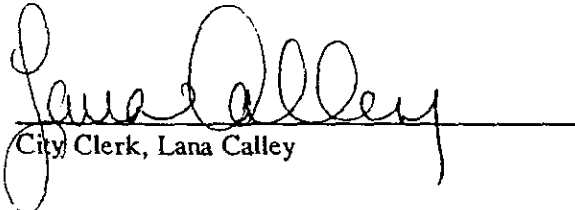
Section 4. This ordinance shall be in full force and effect from and after passage and approval.

Read, agreed to and passed this the 18<sup>th</sup> day of February, 2003.

  
\_\_\_\_\_  
Mayor, Donna McQuay

[SEAL]

Attest:

  
\_\_\_\_\_  
City Clerk, Lana Calley

# OZARK BANK

Post Office Box 220  
Ozark, Missouri 65721  
417-581-2321  
FAX 417-581-2235  
www.Ozarkbank.com

February 6, 2003

Ms. Lana Calley, City Clerk  
City of Ozark, Missouri  
P.O. Box 295  
Ozark, MO 65721

Re: "Financing Proposal: Finley Valley Proposal"

Dear Ms. Calley:

On behalf of Ozark Bank, I would like to express our appreciation for the opportunity to submit a proposal for refinancing the Finley Valley Water Company Note. The terms of the commitment are as follows:

Loan Amount:	\$190,000.00 (Subject to revision upon the timing of the awarded Bid)
Rate:	The interest rate for this loan shall be fixed at 3.65%. (The interest rate is contingent upon certification by Yates, Mauck, Boher, Elliff, & Wieland, P.C., that the interest is exempt from all present federal and Missouri state income taxes. The note shall be bank qualified and not subject to the alternative minimum tax for individuals.)
Security:	Assignment of the lease between The Public Funding Corporation of the City of Ozark, Missouri and The City of Ozark, Missouri.
Term:	The loan shall mature on 1/1/2011. Principal and interest payments shall be due monthly.
Prepayment Penalty:	None.
Costs:	The City of Ozark shall be responsible for all direct costs incurred in the origination of this loan.

The anticipated loan closing date will be on or before April 11, 2003.

Ozark Bank has worked closely with the Public Funding Corporation of the City of Ozark on past projects and we look forward to working with you on this venture.

Please feel free to contact me if you have any questions or if you need additional information.

Sincerely,

A handwritten signature in cursive script that reads "R. Scott Harris". The signature is written in black ink and is positioned above the printed name.

R. Scott Harris  
Senior Executive Vice President

CITY OF OZARK, MISSOURI  
Water  
Statement of Revenues and Expenses  
For the Year Ended December 31, 2002

201	Water Fund	Before Refinance		After Refinance	
		2002 Total	Finley Valley	2002 Total	Finley Valley
	Operating Revenues:				
000-410.100	Water Income	1,021,209.67	-	1,021,209.67	-
000-411.100	Finley Valley Water Income	46,376.58	46,376.58	46,376.58	46,376.58
000-411.200	Quail Run Water Income	15,469.68	-	15,469.68	-
000-412.100	Sales Tax Revenue	-	-	-	-
000-414.200	Interest on C. D.	11,101.41	-	11,101.41	-
000-414.300	Interest on C. D. - OTC Cont Capital	-	-	-	-
000-414.400	Earned Interest - Windridge Dist Acct	-	-	-	-
000-420.100	Hookups	-	-	-	-
000-420.200	Reconnects	14,600.00	570.00	14,600.00	570.00
000-420.300	Inspections	-	-	-	-
000-420.400	Meter Installation	25,330.50	-	25,330.50	-
000-426.100	Applied Credit	-	-	-	-
000-428.100	Meter Reading Device Income	39,150.00	-	39,150.00	-
000-430.100	Miscellaneous Income	20,678.86	806.00	20,678.86	806.00
000-430.200	Water Penalties Income	19,466.29	-	19,466.29	-
000-430.300	F V Water Penalties Income	873.24	873.24	873.24	873.24
000-430.400	Water Impact Fees	67,460.00	-	67,460.00	-
000-430.500	F V Water Processing Fee	-	-	-	-
000-430.600	Connection Charge - 65 Mkt Place	-	-	-	-
000-430.700	Quail Run Water Penalties	178.88	-	178.88	-
000-431.100	Tower Rent Income	13,040.00	-	13,040.00	-
000-435.100	Savings Transfer	-	-	-	-
000-436.100	Bond Proceeds-Water Well/Tower 2000	-	-	-	-
000-440.100	Reimb for N. School Waterline	7,592.14	-	7,592.14	-
000-440.200	Reimb for High School Waterline	3,967.73	-	3,967.73	-
	<b>Total Water Revenues</b>	<b>1,306,494.98</b>	<b>48,625.82</b>	<b>1,306,494.98</b>	<b>48,625.82</b>

	Before Refinance		After Refinance		
	2002 Total	Finley Valley	2002 Total	Finley Valley	
Operating Expenses:					
040-610.100	Salaries	363,370.63	14,334.00	363,370.63	14,334.00
040-645.100	FICA Employer Contributions	22,529.05	889.00	22,529.05	889.00
040-646.100	Medicare Employer Contributions	5,269.05	208.00	5,269.05	208.00
040-660.100	Medical Insurance	64,734.47	2,554.00	64,734.47	2,554.00
040-662.200	Workmens Compensation	11,703.36	462.00	11,703.36	462.00
040-660.300	Property/Equipment Insurance	20,835.54	822.00	20,835.54	822.00
040-660.400	Surety Bonds	534.50	21.00	534.50	21.00
040-660.500	Unemployment Security	1,001.96	40.00	1,001.96	40.00
040-665.100	Lagers Employer Contributions	42,693.15	1,684.00	42,693.15	1,684.00
040-670.100	Electric Expense	126,622.84	4,790.00	126,622.84	4,790.00
040-670.200	Heating Gas Expense	2,336.88	92.00	2,336.88	92.00
040-670.300	Telephone Expense	7,027.62	277.00	7,027.62	277.00
040-675.100	Shop Expense	4,611.64	182.00	4,611.64	182.00
040-680.100	New Equipment	23,245.60	294.00	23,245.60	294.00
040-682.100	Water Well & Tower 2000	-	-	-	-
040-683.100	Riverside Waterline Construction	-	-	-	-
040-685.100	New Shop Building Expense	-	-	-	-
040-690.120	Communication Equipment	2,087.59	82.00	2,087.59	82.00
040-695.100	Lease Pay't-Computer Equipment	-	-	-	-
040-700.100	Lease Pay't-Public Funding Corp	229,749.55	32,982.00	224,267.79	27,500.24
040-702.100	Lease Pay't-Equipment	3,376.56	133.00	3,376.56	133.00
040-705.100	Lease Pay't-Tractor	-	-	-	-
040-710.100	Sales Tax	-	-	-	-
040-712.100	Temporary Personnel Expense	-	-	-	-
040-715.100	Audit Expense	1,071.43	42.00	1,071.43	42.00
040-715.200	Engineering Expense	34,815.23	-	34,815.23	-
040-716.100	Meter Installation	1,071.62	-	1,071.62	-
040-720.100	Gas & Oil Expense	7,806.26	308.00	7,806.26	308.00
040-725.100	Maint/Repair - Water lines	61,583.87	2,429.00	61,583.87	2,429.00
040-725.200	Maint/Repair - Equipment	2,946.23	116.00	2,946.23	116.00
040-725.300	Maint/Repair - Vehicles	6,522.87	257.00	6,522.87	257.00
040-725.400	Maint/Repair - Water Wells	14,129.22	-	14,129.22	-
040-725.500	Maint/Repair - Water Towers	12,285.70	-	12,285.70	-
040-730.100	Refunds	(461.06)	(18.00)	(461.06)	(18.00)
040-735.100	Principal Payment	50,000.00	-	50,000.00	-
040-735.200	Interest Payment	44,676.77	-	44,676.77	-

040-735.300	Fees Payment	709.47	-	709.47	-
040-740.100	Principal Payment - SRF Loan	35,000.04	-	35,000.04	-
040-740.200	Interest Payment - SRF Loan	3,955.62	-	3,955.62	-
040-740.300	Fees Payment - SRF Loan	304.68	-	304.68	-
040-745.100	Bond Reserves	-	-	-	-
040-750.100	Sample Water Testing Expense	7,654.00	130.00	7,654.00	130.00
040-755.100	Waterline Extensions	-	-	-	-
040-770.100	Office Supplies	7,041.08	278.00	7,041.08	278.00
040-771.100	Legal Expense	12,648.90	499.00	16,648.90	4,499.00
040-772.100	Meter Reading Device Expense	61,622.93	-	61,622.93	-
040-773.100	City Hall Maintenance Expense	2,225.95	88.00	2,225.95	88.00
040-776.100	Office Equipment Maint/Lease	5,590.55	221.00	5,590.55	221.00
040-780.100	Postage Expense	14,412.41	569.00	14,412.41	569.00
040-782.100	Uniform Expense	4,473.81	176.00	4,473.81	176.00
040-783.100	Internet Access Expense	425.90	17.00	425.90	17.00
040-784.100	Computer Supplies	861.60	34.00	861.60	34.00
040-784.200	Computer Equipment	4,438.95	175.00	4,438.95	175.00
040-784.300	Computer Maintenance	2,778.79	110.00	2,778.79	110.00
040-790.100	Bad Check Expense	293.26	12.00	293.26	12.00
040-792.100	Uncollectible Water Accounts	-	-	-	-
040-793.100	Training/Travel Expense	2,682.96	106.00	2,682.96	106.00
040-794.100	Copy Machine Lease	2,109.95	83.00	2,109.95	83.00
040-795.100	Cash Shortage/Overage	2,178.25	86.00	2,178.25	86.00
040-800.100	Miscellaneous Expense	6,898.67	272.00	6,898.67	272.00
	Total Water Expenses	1,346,485.90	65,836.00	1,345,004.14	64,354.24

BALANCE SHEET  
For period ending 12/31/02

Page: 1  
Date: 04/03/03  
Time: 09:52:02

City Of Ozark, Missouri  
Operator: ALICE  
101 GENERAL FUND

Assets

000-001.000	CASH ACCOUNT	199,734.26
000-002.000	PETTY CASH	1,200.00
000-003.000	CASH IN BANK-CONCESSION ACCT	0.00
000-010.000	ACCOUNTS RECEIVABLE	0.00
000-030.000	RECEIVABLE-PUBLIC FUNDING CORP	0.00
000-040.000	CITY SALES TAX RECEIVABLE	98,538.70
000-050.000	RR/UTILITY TAX RECEIVABLE	7,792.89
000-060.000	CURRENT REAL ESTATE TAX RECEIV	331,411.66
000-070.000	DELINQUENT REAL ESTATE TAX REC	9,494.64
000-075.000	ALLOWANCE-UNCOLLECTIBLE ACCTS	4,417.35-
000-080.000	STATE GASOLINE TAX RECEIVABLE	32,610.40
000-081.000	CABLE FRANCHISE RECEIVABLE	3,175.51
000-082.000	FINES RECEIVABLE	2,403.50
000-083.000	MGE GAS FRANCHISE RECEIVABLE	27,409.77
000-084.000	EMPIRE DISTRICT RECEIVABLE	7,294.97
000-085.000	SPECIAL RD DIST RECEIVABLE	34,165.16
000-086.000	FINANCIAL INST TAX RECEIVABLE	76.13
000-087.000	C C SALES TAX RECEIVABLE	12,989.49
000-088.000	F.F.F. ACCT-OZARK BANK (P.D.)	0.00
000-089.000	LLEBG GRANT FUNDS-OZARK BANK (PD)	0.00
000-090.000	C. U. FRANCHISE RECEIVABLE	264.27
000-091.000	CDBG GRANT ACCT - OZARK BANK	600.00
000-130.000	DUE FROM OTHER FUNDS	69,670.56
000-150.001	C.D. BOND RETIREMENT-UNION PLANTERS	184,863.91
000-150.002	C.D. BOND RETIREMENT-OZARK BANK	54,720.67
000-150.003	C.D. 1996 PFC LEASE-GEN FUND	6,805.28
000-150.004	C.D. 1997 FIRE DEPT FUND	0.00
000-150.005	C.D. 1997 HISTORIC COMM FUND	4,163.53
000-150.006	C.D. FIRE DEPT FIRE FLIR DONATIONS	0.00
000-150.007	C.D. LEONARD TRUST	7,000.00
000-150.008	C.D. POLICE K-9 FUND	6,994.46
000-150.009	C.D. FEMA DISASTER ASSISTANCE	26,053.46

Total Assets

1,125,015.87

Liabilities

000-201.100	ACCOUNTS PAYABLE	90,503.80
000-230.000	DUE TO OTHER FUNDS	193.95
000-249.000	FEDERAL TAX WITHHOLDING	0.00
000-251.000	FICA WITHHOLDING	0.00
000-251.001	MEDICARE WITHHOLDING	0.00
000-252.000	MISSOURI TAX WITHHOLDING	0.00
000-253.000	CAPITAL AMERICA INSURANCE W/H	0.00
000-254.000	PRUDENTIAL INSURANCE W/H	0.00
000-255.000	MEDICAL INSURANCE WITHHOLDING	0.00
000-256.000	DEFERRED COMP W/H	0.00
000-257.000	LAGERS WITHHOLDING	0.00
000-258.000	GARNISHMENT WITHHOLDING	0.00
000-259.000	FIRST ALL AMER LIFE INS W/H	0.00
000-259.001	COLONIAL INSURANCE WITHHOLDING	0.00
000-259.002	SECURE BENEFITS FLEX WITHHOLDING	0.00
000-310.000	MO UNEMPLOYMENT/COMP PAYABLE	196.11
000-320.000	MO LAGERS PAYABLE	0.00

BALANCE SHEET  
For period ending 12/31/02

Page: 2  
Date: 04/03/03  
Time: 09:52:06

City Of Ozark, Missouri  
Operator: ALICE  
101 GENERAL FUND

000-330.000	RURAL FIRE DEPOSIT-FIRE TRUCK	0.00
000-334.000	D. C. LEONARD TRUST	7,000.00
000-335.000	TRUST FOR TURNBERRY ESTATES	1,467.44
000-340.000	TAX ANTICIPATION NOTE PAYABLE-SHOP	0.00

Total Liabilities 99,361.30

Capital

000-390.000	FUND BALANCE	853,613.46
System Fund Balance		172,041.11
Total Capital		1,025,654.57

Total Liabilities and Capital 1,125,015.87

BALANCE SHEET  
For period ending 12/31/02

City Of Ozark, Missouri  
Operator: ALICE  
201 WATER FUND

Page: 3  
Date: 04/03/03  
Time: 09:52:09

Assets

000-001.000	CASH ACCOUNT	634,534.61
000-002.000	PETTY CASH	200.00
000-003.000	CASH IN BANK-WINDRIDGE IMP DIST	0.00
000-005.000	DEPOSITS	0.00
000-010.000	ACCOUNTS RECEIVABLE	187,134.64
000-020.000	RESERVE FOR DOUBTFUL ACCOUNTS	0.00
000-030.000	REC SALES & USE TAX	26,103.42
000-040.000	SAFE HAVEN ACCT-HARTFORD (WWTP)	0.00
000-100.000	INVENTORY - SUPPLIES	1,000.00
000-101.000	PLANT - WATERWORKS	495,386.96
000-102.000	WELL & TOWER #6 - TRACKER	627,965.00
000-103.000	WELL & TOWER #5	389,985.00
000-103.001	WELL & TOWER #12	924,319.23
000-104.000	CASSIDY WATER PLANT	46,460.00
000-105.000	PLANT - FASCO	113,641.03
000-106.000	MACHINERY AND EQUIPMENT	499,672.76
000-107.000	LAND PURCHASE	40,000.00
000-107.001	LAND - RIVERSIDE SEWER EXTENSION	3,000.00
000-107.002	RIVERSIDE SEWER EXTENSION	6,967.32
000-107.003	O.T.C. UTILITY EXPANSION	91,054.38
000-108.000	EASEMENT	1,500.00
000-109.000	CASSIDY WATER SYSTEM	350,000.00
000-110.000	SEWER PLANT	5,056,465.85
000-111.000	SOUTH LIFT STATION-SEWER SYSTM	161,450.00
000-112.000	300M GAL WATER ST FACILITY	25,270.21
000-113.000	NORTH SCHOOL WA/SW LINES	40,724.94
000-114.000	TRACKER/FASCO WATERLINE EXT	118,192.66
000-115.000	FINLEY VALLEY WATER	0.00
000-116.000	TREATMENT PLANT EXPANSION	3,103,517.73
000-117.000	WELL #9	3,983.31
000-118.000	WWTP EXP - MATERIAL SUPPLIES	0.00
000-119.000	MAINT SHOP BUILDING	12,569.19
000-120.000	ACCUM DEPRECIATION-WATER PLANT	453,846.00-
000-121.000	ACCUM DEPRECIATION-WELL #6	237,865.00-
000-122.000	ACCUM DEPRECIATION-WELL #5	206,811.00-
000-122.001	ACCUM DEPRECIATION-WELL #12	2,995.00-
000-123.000	ACCUM DEPRECIATION-CASSIDY PLT	132,576.00-
000-124.000	ACUM DEPREC-CASSIDY WATER LINE	16,191.00-
000-125.000	ACCUM DEPRECIATION-FASCO PLANT	113,641.00-
000-126.000	ACCUM DEPRECIATION-EQUIPMENT	337,280.00-
000-127.000	ACCUM DEPRECIATION-SEWER PLANT	2,653,442.00-
000-128.000	ACCUM DEPRECIATION-SO LIFT STA	62,008.00-
000-129.000	ACCUM DEPRC-300M WATER STORAGE	6,957.00-
000-129.001	ACCUM DEPRC-N SCHOOL W/S LINE	11,093.00-
000-129.002	ACCUM DEPRC-TRACKER/FASCO LINE	26,267.00-
000-129.003	ACCUM DEPRC-WWTP EXPANSION	335,909.00-
000-129.004	ACCUM DEPRC-WELL #9	3,653.00-
000-129.005	ACCUM DEPR-2/3 SHOP BUILDING	952.00-
000-130.000	DUE FROM OTHER FUNDS	0.00
000-150.008	C.D. 1994 REV BOND-DEBT SERV	0.00
000-150.009	C.D. 1994 RESERVE ACCT	188,500.00
000-150.010	C.D. 1994 SURPLUS ACCT	0.00
000-150.011	C.D. 1996 SCHOOL SEWER SURPLUS	13,422.28
000-150.012	C.D. 1996 PFC LEASE - WA/SW	47,026.45
000-150.013	C.D. 1997 SEWER SURPLUS	0.00
000-150.014	C.D. 1994 DEPR & REPLACEMENT	86,171.23

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City Of Ozark, Missouri  
Operator: ALICE  
201 WATER FUND

000-150.015	C.D. 1998 SRF DEPR & REPL ACCT	196,182.63
000-150.016	C.D. CONTRIBUTED CAPITAL - O.T.C.	0.00
000-150.017	C.D. RIVERSIDE RD SEWER RETAINAGE	11,354.00
000-150.018	C.D. 2002 DEBT SERVICE FUND	111,851.01
000-150.019	C.D. 2002 WW/SS REPLACEMENT ACCT	100,000.00
000-150.020	C.D. 2001 SRF DEPR & REPL ACCT	0.00
000-150.030	CONST RESERVE FUNDS-SRF	0.00
000-150.031	INTEREST RESERVE-SRF	24,211.78
000-150.032	PRINCIPAL RESERVE - SRF	130,000.00
000-151.030	INTEREST RESERVE - 2001 SRF	24,343.75
000-151.031	CONST RESERVE FUND - 2001 SRF	78,479.88
000-160.000	INTEREST INCOME RECEIVABL-RSRF	49,669.72

Total Assets

9,420,824.97

Liabilities

000-201.100	ACCOUNTS PAYABLE	46,740.52
000-230.000	DUE TO OTHER FUNDS	69,968.49
000-235.000	SUTA PAYABLE	0.00
000-240.000	SALES TAX PAYABLE	1,154.37-
000-241.000	F V WATER SALES TAX PAYABLE	41.41
000-249.000	FEDERAL TAX WITHHOLDING	0.00
000-250.000	CUSTOMER DEPOSITS	176,095.00
000-251.000	FICA WITHHOLDING	0.00
000-251.001	MEDICARE WITHHOLDING	0.00
000-252.000	MISSOURI TAX WITHHOLDING	0.00
000-253.000	CAPITAL AMERICA INS W/H	0.00
000-254.000	PRUDENTIAL INSURANCE W/H	0.00
000-254.001	OPPENHEIMER FUNDS WITHHOLDING	0.00
000-255.000	MEDICAL INSURANCE WITHHOLDING	0.00
000-256.000	DEFERRED COMP W/H	0.00
000-257.000	LAGERS WITHHOLDING	0.00
000-258.000	GARNISHMENT WITHHOLDING	0.00
000-259.000	FIRST ALL AMER LIFE INS W/H	0.00
000-259.001	COLONIAL INSURANCE WITHHOLDING	0.00
000-259.002	SECURE BENEFITS FLEX WITHHOLDING	0.00
000-260.000	ACCRUED INTEREST	35,411.85
000-265.000	DEF COST ISSUANCE	192,434.08-
000-267.000	REFUNDING REVENUE BONDS PAYABLE	1,540,000.00
000-268.000	2002 REFUNDING REVENUE BOND PAYABLE	20,259.56
000-269.000	DEF COST OF ISSUANCE-2002 REFUND	16,498.20-
000-270.000	NOTE PAYABLE	0.00
000-271.000	LEASE - ROLLER/TRACTOR	19,962.74
000-272.000	LEASE-TWO 95 FORD TRUCKS	0.00
000-274.000	LEASE-SW RODDING MACH-BANC ONE	0.00
000-276.000	LEASE-1996 GMC TOPKICK	0.00
000-277.000	LEASE-COMPUTER HDWE-CLUNE	0.00
000-278.000	LEASE-SLUDGE TRUCK	43,695.59
000-279.000	RIVERSIDE RD SEWER RETAINAGE	11,354.00
000-280.000	REVENUE BONDS PAYABLE-BOATMENS	0.00
000-282.000	SRF LOAN PAYABLE-WWTP	2,625,000.00
000-285.000	DEF COST OF ISSUANCE-SRF	54,512.64-
000-287.000	ACCRUED INTEREST-SRF	70,240.63
000-290.000	SRF LOAN PAYABLE-WTR WELL & TOWER	975,000.00
000-291.000	DEF ISSUANCE COST - 2001 SRF	30,262.06-
000-300.000	CONTRIBUTED CAPITAL	1,515,406.00
000-301.000	CONTRIBUTED CAPITAL - O.T.C.	91,054.38

BALANCE SHEET  
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City Of Ozark, Missouri  
Operator: ALICE  
201 WATER FUND

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000-302.000	CONTRIBUTED CAPITAL-WINDRIDGE DIST	0.00	
	Total Liabilities	6,945,368.82	
	Capital		
000-390.000	FUND BALANCE	2,137,440.44	
	System Fund Balance	338,015.71	
	Total Capital	2,475,456.15	
	Total Liabilities and Capital		9,420,824.97

## BALANCE SHEET

For period ending 12/31/02

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City Of Ozark, Missouri  
Operator: ALICE  
301 POOL

## Assets

000-001.000	CASH ACCOUNT	13,078.09
000-002.000	PETTY CASH	50.00
000-020.000	SALES TAX RECEIVABLE	6,289.70
000-130.000	DUE FROM OTHER FUNDS	193.95

Total Assets

19,611.74

## Liabilities

000-201.100	ACCOUNTS PAYABLE	6,293.96
000-230.000	DUE TO OTHER FUNDS	369.45
000-249.000	FEDERAL TAX WITHHOLDING	0.00
000-251.000	FICA WITHHOLDING	0.00
000-251.001	MEDICARE WITHHOLDING	0.00
000-252.000	MISSOURI TAX WITHHOLDING	0.00
000-253.000	CAPITAL AMERICA INS W/H	0.00
000-254.000	PRUDENTIAL INSURANCE W/H	0.00
000-255.000	MEDICAL INSURANCE WITHHOLDING	0.00
000-256.000	DEFERRED COMP W/H	0.00
000-257.000	LAGERS WITHHOLDING	0.00
000-258.000	GARNISHMENT WITHHOLDING	0.00
000-259.000	FIRST ALL AMER LIFE INS W/H	0.00
000-259.001	COLONIAL INSURANCE WITHHOLDING	0.00
000-259.002	SECURE BENEFITS FLEX WITHHOLDING	0.00
000-310.000	SUTA PAYABLE	0.00

Total Liabilities

6,663.41

## Capital

000-390.000	FUND BALANCE	9,168.19
System Fund Balance		3,780.14

Total Capital

12,948.33

Total Liabilities and Capital

19,611.74

## BALANCE SHEET

For period ending 12/31/02

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City Of Ozark, Missouri  
 Operator: ALICE  
 351 PARK FUND

## Assets

000-001.000	CASH ACCOUNT	0.00
000-002.000	PETTY CASH	0.00
000-010.000	ACCOUNTS RECEIVABLE	0.00
000-040.000	CITY SALES TAX RECEIVABLE	0.00
000-050.000	RR/UTILITY TAX RECEIVABLE	0.00
000-060.000	CURRENT REAL ESTATE TAX RECEIVABLE	0.00
000-070.000	DELINQUENT R. E. TAX RECEIVABLE	0.00
000-075.000	ALLOWANCE - UNCOLLECTIBLE ACCTS	0.00
000-086.000	FINANCIAL INST TAX RECEIVABLE	0.00
000-130.000	DUE FROM OTHER FUNDS	0.00

Total Assets

0.00

## Liabilities

000-201.100	ACCOUNTS PAYABLE	0.00
000-230.000	DUE TO OTHER FUNDS	0.00
000-249.000	FEDERAL TAX WITHHOLDING	0.00
000-251.000	FICA WITHHOLDING	0.00
000-251.001	MEDICARE WITHHOLDING	0.00
000-252.000	MISSOURI TAX WITHHOLDING	0.00
000-253.000	CAPITAL AMERICA INS WITHHOLDING	0.00
000-254.000	PRUDENTIAL INSURANCE WITHHOLDING	0.00
000-255.000	MEDICAL INSURANCE WITHHOLDING	0.00
000-256.000	DEFERRED COMP WITHHOLDING	0.00
000-257.000	LAGERS WITHHOLDING	0.00
000-258.000	GARNISHMENT WITHHOLDING	0.00
000-259.000	FIRST ALL AMER LIFE INS WITHHOLDING	0.00
000-259.001	COLONIAL INSURANCE WITHHOLDING	0.00
000-259.002	SECURE BENEFITS FLEX WITHHOLDING	0.00
000-310.000	MO UNEMPLOYMENT/COMP PAYABLE	0.00
000-320.000	MO LAGERS PAYABLE	0.00

Total Liabilities

0.00

## Capital

000-390.000	FUND BALANCE	0.00
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System Fund Balance

0.00

Total Capital

0.00

Total Liabilities and Capital

0.00

## BALANCE SHEET

For period ending 12/31/02

Page: 8

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City Of Ozark, Missouri

Operator: ALICE

401 CAPITAL IMPROVEMENT FUND

## Assets

000-001.000	CASH ACCOUNT	178,809.59
000-020.000	SALES TAX RECEIVABLE	26,207.14
000-130.000	DUE FROM OTHER FUNDS	667.38
000-150.001	C.D. 1996 PFC LEASE-CONTG FUND	4,203.91
000-150.002	C.D. CONTG FUND RESERVES #1	100,000.00
000-150.003	C.D. CONTG FUND RESERVE #2	100,000.00

Total Assets

409,888.02

## Liabilities

000-201.100	ACCOUNTS PAYABLE	15,122.90
000-230.000	DUE TO OTHER FUNDS	0.00

Total Liabilities

15,122.90

## Capital

000-390.000	FUND BALANCE	271,227.53
System Fund Balance		123,537.59

Total Capital

394,765.12

Total Liabilities and Capital

409,888.02

BALANCE SHEET  
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City Of Ozark, Missouri  
Operator: ALICE  
451 T.I.F. FUND

Assets

000-001.000	CASH ACCOUNT	0.00
000-030.000	TAX INCREMENT RECEIVABLE	0.00

Total Assets 0.00

Liabilities

000-201.100	ACCOUNTS PAYABLE	0.00
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Total Liabilities 0.00

Capital

000-390.000	FUND BALANCE	0.00
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System Fund Balance 0.00

Total Capital 0.00

Total Liabilities and Capital 0.00

BALANCE SHEET  
For period ending 12/31/02

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City Of Ozark, Missouri  
Operator: ALICE  
501 OFFICERS IMPLEMENTATION

Assets

000-001.000	CASH ACCOUNT	2,054.90	
Total Assets			2,054.90

Liabilities

000-201.100	ACCOUNTS PAYABLE	0.00	
Total Liabilities		0.00	

Capital

000-390.000	FUND BALANCE	2,778.89	
System Fund Balance		723.99-	
Total Capital		2,054.90	
Total Liabilities and Capital			2,054.90

BALANCE SHEET  
For period ending 12/31/02

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City Of Ozark, Missouri  
Operator: ALICE  
601 BOND RETIREMENT FUND

Assets

000-001.000	CASH ACCOUNT	0.00
000-050.000	RR/UTILITY TAX RECEIVABLE	0.00
000-060.000	CURRENT REAL ESTATE TAX RECEIVABLE	0.00
000-070.000	DELINQUENT REAL ESTATE TAX REC	0.00
000-075.000	ALLOWANCE-UNCOLLECTIBLE ACCTS	0.00
000-086.000	FINANCIAL INST TAX RECEIVABLE	0.00
000-130.000	DUE FROM OTHER FUNDS	0.00
000-150.001	C.D. BOND RETIREMENT-UNION PLANTERS	0.00
000-150.002	C.D. BOND RETIREMENT-OZARK BANK	0.00

Total Assets

0.00

Liabilities

000-201.100	ACCOUNTS PAYABLE	0.00
000-230.000	DUE TO OTHER FUNDS	0.00

Total Liabilities

0.00

Capital

000-390.000	FUND BALANCE	0.00
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System Fund Balance

0.00

Total Capital

0.00

Total Liabilities and Capital

0.00

BALANCE SHEET  
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City Of Ozark, Missouri  
Operator: ALICE  
701 SOLID WASTE FUND

Assets

000-001.000	CASH ACCOUNT	0.00
000-010.000	ACCOUNTS RECEIVABLE	0.00
000-130.000	DUE FROM OTHER FUNDS	0.00

Total Assets 0.00

Liabilities

000-201.100	ACCOUNTS PAYABLE	0.00
000-230.000	DUE TO OTHER FUNDS	0.00

Total Liabilities 0.00

Capital

000-390.000	FUND BALANCE	0.00
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System Fund Balance 0.00

Total Capital 0.00

Total Liabilities and Capital 0.00

BALANCE SHEET  
For period ending 12/31/02

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City Of Ozark, Missouri  
Operator: ALICE  
777 Clearing Fund

Assets

000-001.000	CASH ACCOUNT	12,374,062.55-
000-130.101	DUE FROM GENERAL FUND	5,155,027.81
000-130.201	DUE FROM WATER FUND	6,772,350.23
000-130.301	DUE FROM POOL FUND	225,825.21
000-130.351	DUE FROM PARK FUND	0.00
000-130.401	DUE FROM CAPITAL IMPROVEMENT FUND	147,615.18
000-130.451	DUE FROM T.I.F. FUND	69,999.99
000-130.501	DUE FROM OFFICERS IMPLM FUND	3,244.13
000-130.601	DUE FROM BOND RETIREMENT FUND	0.00
000-130.701	DUE FROM SOLID WASTE FUND	0.00

Total Assets

0.00

Liabilities

000-201.100	ACCOUNTS PAYABLE	0.00
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Total Liabilities 0.00

Capital

000-390.000	FUND BALANCE	0.00
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System Fund Balance 0.00

Total Capital 0.00

Total Liabilities and Capital

0.00

**Finley Valley Water System Capital Expenditures**  
(Previous Five Years)

1998:	New Well Pump	\$3,988.62
	Tower Cleaned	\$1,697.14
1999:	Replaced Chlorine Pump Well #9	\$ 275.00
	Replaced Chlorine Pump Well #10	\$ 275.00
	Replaced 3 meter assemblies	\$ 450.00
	Constructed splash plate on standpipe	\$ 300.00
2000:	None	
2001:	None	
2002:	Well #2 Motor and pump replacement	\$3,200.00