

## PROTECTIVE ORDER

- A. The following definitions shall apply to information which a party claims should not be made public.

**HIGHLY CONFIDENTIAL**: Information concerning (1) material or documents that contain information relating directly to specific customers; (2) employee-sensitive information; (3) marketing analyses or other market-specific information relating to services offered in competition with others; (4) reports, work papers or other documentation related to work produced by internal or external auditors or consultants; (5) strategies employed, to be employed, or under consideration in contract negotiations. Additionally, HIGHLY CONFIDENTIAL - LIMITED ACCESS: a subset of HIGHLY CONFIDENTIAL that refers to documents or information which a producing party claims is of such a highly sensitive nature that making copies of such documents or information or providing access to such documents to employees of the receiving party (except as set forth herein) would expose a producing party to unreasonable risk of harm. HIGHLY CONFIDENTIAL - LIMITED ACCESS includes, but is not limited to: (a) customer proprietary network information protected by 47 U.S.C. § 222; (b) contractual information pertaining to contracts that specify that their terms are confidential; (c) market-sensitive price forecasts, wholesale transactions information and/or market-sensitive marketing plans; (d) network facility location information; and (e) business, operations or financial revenue and cost information that is commercially or competitively sensitive.

**PROPRIETARY**: Information concerning trade secrets, as well as confidential or private technical, financial and business information.

B. During the course of discovery a party may designate information as HIGHLY CONFIDENTIAL (including as LIMITED ACCESS) or PROPRIETARY (hereinafter, "designated information") and shall make such designated information available to the party seeking discovery, if such information is not objectionable on any other ground, under the restrictions set out in paragraphs C and D. The party designating the information as HIGHLY CONFIDENTIAL or PROPRIETARY shall provide to counsel for the requesting party, at the time the designation is made, the ground or grounds for the designation. The requesting party may then file a motion challenging the designation. The party designating the information confidential shall have two days after the filing of the challenge to file a response. No other filings are authorized.

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C. Disclosure of, HIGHLY CONFIDENTIAL information shall be made only to attorneys, and to such employees who are working as consultants to such attorney or intend to file testimony in these proceedings, or to persons designated by a party as outside experts. Employees to whom such disclosure is to be made must be identified to the other party by name, title and job description prior to disclosure. Information designated as HIGHLY CONFIDENTIAL shall be served on the attorney(s) for the requesting party. On-premises inspection shall not be required for HIGHLY CONFIDENTIAL information, except in the case of voluminous documents (see paragraph K). Any employees of the party who wish to review such HIGHLY CONFIDENTIAL materials shall first read this order and certify in writing that (s)he has reviewed same and consented to its terms. The acknowledgment so executed shall

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contain the signatory's full name, job description, permanent address, title or position, date signed, and an affirmation that the signer is acting on behalf of his/her employer. Such acknowledgment shall be delivered to counsel for the party furnishing the information or documents before disclosure is made. Materials or information designated as HIGHLY CONFIDENTIAL - LIMITED ACCESS shall be made available only to a reasonable number of the receiving party's counsel, in-house experts, and a reasonable number of outside experts or independent consultants in this proceeding who have a need to know and who are not responsible for developing, planning, marketing, or selling retail or wholesale services or other offerings such as unbundled network elements, strategic or business planning, competitive assessment, OSS planning or procurement, and/or network or system planning or procurement on behalf of the receiving party, unless the producing party gives prior written authorization for specific individuals, which shall not be unreasonably withheld, or the Commission grants authorization, for specific individuals in the prohibited categories above to review the information. Notwithstanding the foregoing, for parties with less than 2000 employees, including the employees of affiliated companies, materials or information designated as HIGHLY CONFIDENTIAL - LIMITED ACCESS may be disclosed to employees who are working as consultants to the attorney or intend to file testimony in these proceedings except for employees who are primarily involved in marketing activities for the company, unless the producing party gives prior written authorization for specific individuals, which shall not be unreasonably withheld, or the Commission grants authorization, for specific individuals in the prohibited category to review the information.

**Deleted:** may, at the option of the furnishing party, be made available only on the furnishing party's premises and may be reviewed only by attorneys or outside experts who have been retained for the purpose of this case,

**Deleted:** unless good cause can be shown for disclosure of the information off-premises and the designated information is delivered to the custody of the requesting party's attorney. Outside expert witnesses shall not be employees, officers or directors of any of the parties in this proceeding. No copies of such material or information shall be made and only limited notes may be taken, and such notes shall be treated as the HIGHLY CONFIDENTIAL information from which notes were taken.

- D. Disclosure of PROPRIETARY information shall be made only to attorneys, and to such employees who are working as consultants to such attorney or intend to file testimony in these proceedings, or to persons designated by a party as outside experts. Employees to whom such disclosure is to be made must be identified to the other party by name, title and job classification prior to disclosure. Information designated as PROPRIETARY shall be served on the attorney(s) for the requesting party. On-premises inspection shall not be required for PROPRIETARY information, except in the case of voluminous documents (see paragraph K). Any employees of the party who wish to review such PROPRIETARY materials shall first read this order and certify in writing that (s)he has reviewed same and consented to its terms. The acknowledgment so executed shall contain the signatory's full name, permanent address, title or position, date signed, and an affirmation that the signer is acting on behalf of his/her employer. Such acknowledgment shall be delivered to counsel for the party furnishing the information or documents before disclosure is made.
- E. Attorneys, in-house experts or outside experts who have been provided access to material or information designated HIGHLY CONFIDENTIAL ([including LIMITED ACCESS](#)) or PROPRIETARY shall be subject to the nondisclosure requirements set forth in paragraphs C or D, whichever is applicable, and S.
- F. If material or information to be disclosed in response to a data request contains material or information concerning another party which the other party has indicated is confidential, the furnishing party shall notify the other party of the intent to disclose the

information. The other party may then choose to designate the material or information as HIGHLY CONFIDENTIAL ([including LIMITED ACCESS](#)) or PROPRIETARY under the provisions of this Protective Order.

G. Any party may use material or information designated as HIGHLY CONFIDENTIAL or PROPRIETARY in prefiled or oral testimony at hearing provided that the same level of confidentiality assigned by the furnishing party is maintained, unless otherwise classified by the Commission. In filing testimony all parties shall designate as HIGHLY CONFIDENTIAL ([including LIMITED ACCESS](#)) or PROPRIETARY only those portions of their testimony which contain information so designated by the furnishing party. If any party plans to use information and testimony which has been obtained outside this proceeding, it must ascertain from the furnishing party if any of such information is claimed to be HIGHLY CONFIDENTIAL or PROPRIETARY prior to filing.

H. A party may designate prefiled or live testimony, or portions thereof, submitted in this case as HIGHLY CONFIDENTIAL ([including LIMITED ACCESS](#)) or PROPRIETARY (hereinafter, "designated testimony"). Prefiled testimony designated as HIGHLY CONFIDENTIAL or PROPRIETARY shall be filed under seal and served upon all attorneys of record. Only those portions of the prefiled testimony designated as HIGHLY CONFIDENTIAL or PROPRIETARY should be filed under seal, and should be marked in a manner which clearly indicates which materials are considered HIGHLY CONFIDENTIAL and which are considered PROPRIETARY.

I. When filing designated testimony, the party asserting the claim shall also file with the Commission the specific ground or grounds for each claim. Such filing shall show the nature of the information sought to be protected and specifically state the alleged harm

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of disclosure. Such filing shall be filed under seal only if it contains either PROPRIETARY or HIGHLY CONFIDENTIAL information and shall be served upon all attorneys of record.

- J. Attorneys upon whom prefiled testimony designated HIGHLY CONFIDENTIAL or PROPRIETARY has been served shall make such testimony available only to those persons authorized to review such testimony under the restrictions in Paragraphs C or D, whichever is applicable. Any party providing HIGHLY CONFIDENTIAL (including LIMITED ACCESS) or PROPRIETARY Information may object to the designation of any individual as a person who may review such information. Such objection shall be made in writing to counsel submitting the challenged individual's Nondisclosure Certificate within two days after receipt of the Nondisclosure Certificate. Counsel for the providing party may also request further information regarding the individual's job responsibilities after receipt of the Nondisclosure Certificate. Such request shall toll the objection deadline until a response is provided. Any objection to a Nondisclosure Certificate must demonstrate good cause to exclude the challenged individual from the review of the information. Written response to any objection shall be made within two days after receipt of an objection. If, after receiving a written response to a party's objection, the objecting party still objects to disclosure to the challenged individual, the Commission shall determine whether such information must be disclosed to the challenged individual.
- K. If a response to a discovery request requires the duplication of voluminous material or material not easily copied because of its binding or size, the furnishing party may

require the voluminous material be reviewed on its own premises. Voluminous material shall mean a single document, book or paper which consists of more than 150 pages.

L. Attorneys of record in this case shall require that the in-house or outside expert read this Protective Order and certify in a written nondisclosure agreement that the person has reviewed the Protective Order and consented to be bound by its terms. The nondisclosure agreement shall contain the signatory's full name, permanent address, [job description](#), employer and the name of the party with whom the signatory is associated. Such agreement shall be filed with the Commission. Attached hereto as Appendix "A" and incorporated by reference herein is a form for use in complying with the terms of this paragraph.

M. In the event a witness discloses the contents of designated prefiled testimony in his or her own prefiled testimony, such testimony shall also be designated in the same manner as the designated prefiled testimony and handled in accordance with this order.

N. Unless good cause is shown, challenges to the confidential nature of prefiled designated testimony shall be filed with the Commission no later than [two](#) days after the grounds supporting the designations are filed or at the hearing, whichever occurs first. The party making the designation shall have [two](#) days to respond to the challenge or may respond at the hearing, whichever occurs first.

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O. The Commission or Regulatory Law Judge may rule on the challenge to the designations prior to the hearing, or at the hearings.

P. In the event no party challenges prefiled designated testimony, or in the event the Commission or its Regulatory Law Judge rules that testimony was properly designated,

then such testimony shall be received into evidence, subject to any other objections being made and ruled upon, and kept under seal.

- Q. In addition, all live testimony, including cross-examination and oral argument which reveals the content of prefiled designated testimony or which is otherwise held to be confidential, including any argument as to whether certain testimony is properly designated, shall be made only after the hearing room is cleared of all persons besides the Commission, its Regulatory Law Judges, court reporters, attorneys of record and witnesses to whom the designated information is available pursuant to the terms of this Protective Order. The transcript of such live testimony or oral argument shall be kept under seal and copies shall only be provided to the Commission, its Regulatory Law Judges, and attorneys of record. Such attorneys shall not disclose the contents of such transcripts to anyone other than those who may have access to the designated information under the terms of this Protective Order. Persons who have access to the designated information under the terms of this Protective Order shall treat the contents of such transcript as any other designated information under the terms of this Protective Order.
- R. References to designated testimony, whether prefiled or live and transcribed, in any pleadings before the Commission, shall be by citation only and not by quotation. Subject to the jurisdiction of any reviewing court, references to designated testimony in pleadings or oral arguments made to such reviewing court shall also be by citation only.
- S. All persons who are afforded access to information under the terms of this Protective Order shall neither use nor disclose such information for purposes of business or



competition or any other purpose other than the purpose of preparation for and conduct of this proceeding and then solely as contemplated herein, or for other similar proceedings to be conducted by the Commission or by other state public utility commissions or the FCC provided similar protective order restrictions are placed upon the disclosure of such information in such other proceedings, and shall keep the information secure and in accordance with the purposes and intent of this order. Any copies or notes shall be treated in the same manner as original documents unless such notes are limited to a description of the document and a general characterization of its subject matter in a manner that does not state any substantive information contained in the document. An authorized reviewing party may make only such copies and notes as are necessary for the preparation of the case for hearing, including use in a deposition, provided that the other provisions of this Protective Order are applicable to any such use.

- T. Subject to the jurisdiction of any reviewing court, designated testimony constituting part of the record before the Commission shall be delivered to any reviewing court under seal upon service of the appropriate writ of review.
- U. The Commission may modify this order on motion of a party or on its own motion upon reasonable notice to the parties and opportunity for hearing.
- V. Within 90 days after the completion of this proceeding, including judicial review thereof, all designated information, testimony, exhibits, transcripts or briefs in the possession of any party other than Staff or the Public Counsel shall be returned to the party claiming a confidential interest in such information and any notes pertaining to such information shall be destroyed, provided that if party discloses an intent to use

the information in other proceedings in accordance with paragraph S, then it may retain the information until 90 days after the completion of all such proceedings including judicial review thereof.

- W. The provisions of paragraph C, D, J and L of this Protective Order do not apply to Staff or Public Counsel. Staff and Public Counsel are subject to the nondisclosure provisions of Section 386.480, RSMo 2000. Staff and Public Counsel shall provide a list of the names of their employees who will have access to the designated information.
- X. Outside experts of Staff or Public Counsel who have been contracted to be witnesses in this proceeding shall have access to designated information and testimony on the same basis as Staff and Public Counsel except the outside expert shall read this order and sign the nondisclosure agreement attached as Appendix "A" hereto.
- Y. Outside experts of Staff and Public Counsel who have not been contracted to be witnesses in this proceeding are subject to the provisions of this Protective Order.
- Z. Prefiled testimony and exhibits, whether filed or offered at the hearing, shall be prepared in the manner described in Appendix "B".

**APPENDIX "A"**

**STATE OF MISSOURI  
PUBLIC SERVICE COMMISSION**

**NONDISCLOSURE AGREEMENT**

I, \_\_\_\_\_, have been presented a copy of this Protective Order issued in Case No. \_\_\_\_\_ on the \_\_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_\_.

I have requested review of the confidential information produced in Case No. \_\_\_\_\_ on behalf of \_\_\_\_\_

\_\_\_\_\_, for whom I hold the position of \_\_\_\_\_ with a job description of \_\_\_\_\_.

I hereby certify that I have read the above-mentioned Protective Order and agree to abide by its terms and conditions.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_\_.

\_\_\_\_\_  
Signature and Title

\_\_\_\_\_  
Employer

\_\_\_\_\_  
Party

\_\_\_\_\_  
\_\_\_\_\_  
Address

\_\_\_\_\_  
Telephone

1. If prefiled testimony contains parts which are classified as Proprietary or Highly Confidential, it shall be filed with the Commission's Secretary/Chief Regulatory Law Judge's Office as follows:
  - A. One public version of prefiled testimony with the Proprietary or Highly Confidential portions obliterated or removed shall be filed. The Proprietary pages shall be stamped "P" and the Proprietary information indicated by two asterisks before and after the information, **\*\*[Proprietary information removed]\*\***. The Highly Confidential pages shall be stamped "HC" with the Highly Confidential information indicated by two asterisks and underlining before and after the Highly Confidential information, **\*\*Highly Confidential information removed\*\***. The designated information shall be removed with blank spaces remaining so that the lineation and pagination of the public version remains the same as the Highly Confidential and Proprietary versions. [If Highly Confidential information is designated Limited Access, that shall be indicated.](#)
  - B. Eight copies of the complete prefiled testimony shall be filed under seal. The Proprietary pages shall be stamped "P" and the Proprietary information indicated by two asterisks before and after the information, **\*\*Proprietary\*\***. The Highly Confidential pages shall be stamped "HC" with the Highly Confidential information indicated by two asterisks and underlining before and after the Highly Confidential information, **\*\*Highly Confidential\*\***.

Any deviations from this format must be approved by the Regulatory Law Judge.

2. Three copies of exhibits, whether testimony or other, shall be filed at the hearing with the information separated as described in 1.A and 1.B above with each copy of the Proprietary and Highly Confidential portions placed into separate envelopes to be marked as Exhibit \_\_, Exhibit \_\_P and Exhibit \_\_HC.