## Notice of Ex Parte Contact

- TO: Data Center All Parties in Case No. WR-2010-0131 & SR-2010-0135
- FROM: Chairman Robert M. Clayton MC Commissioner Jeff Davis Commissioner Terry M. Jarrett TM Commissioner Kevin Gunn DA Commissioner Robert S. Kenney



DATE: November 23, 2009

On November 20, 2009, we received the attached letter from Frank L. Kartmann, President of Missouri American Water. The Commission is currently considering issues in Case No. WR-2010-0131 & SR-2010-0135, which are contested cases. The Commission is bound by its *ex parte* rule, and, we are therefore giving notice to the parties this communication has been received.

Although communications from members of the public and members of the legislature are always welcome, those communications must be made known to all parties to a contested case so that those parties have the opportunity to respond. According to the Commission's rules (4 CSR 240-4.020(8)), when a communication from any person interested in a case (either oral or written) occurs outside the hearing process, any member of the Commission or Regulatory Law Judge who received the communication shall prepare a written report concerning the communication and submit it to each member of the Commission and the parties to the case. The report shall identify the person(s) who participated in the *ex parte* communication, the circumstances which resulted in the communication, the substance of the communication, and the relationship of the communication to a particular matter at issue before the Commission.

Therefore, we submit this report pursuant to the rules cited above. This will ensure that any party to these cases will have notice of the attached information and a full and fair opportunity to respond to the comments contained therein.

cc: Commissioners Executive Director Secretary/Chief Regulatory Law Judge General Counsel MISSOURI AMERICAN WATER

Frank L. Kartmann President frank.kartmann@amwater.com WR-2010-0131 SR-2010-0135

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November 19, 2009

Wess Henderson Executive Director Missouri Public Service Commission Governor Office Building, Suite 900 200 Madison St. Jefferson City, MO 65102

Dear Mr. Henderson:

I am writing to inform you that today 37.4 million shares of RWE stock in American Water Works Company were priced for sale at \$21.63 each. RWE granted the underwriters a 30-day option to purchase up to 3.7 million additional shares. After the offering, assuming full exercise of the underwriters' option, RWE will have sold all of its shares of American Water's common stock.

Please let me know if you have any questions or would like to discuss this further.

Sincerely,

Frank L. K

President

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Cc: Chairman Robert Clayton III, Missouri Public Service Commission Commissioner Jeff Davis, Missouri Public Service Commission Commissioner Kevin Gunn, Missouri Public Service Commission Commissioner Terry Jarrett, Missouri Public Service Commission Commissioner Robert Kenney, Missouri Public Service Commission Jim Busch, Manager, Water & Sewer Department, Missouri Public Service Commission