

Ozark Shores Water Company

Company Full Certificated Name

*Do not abbreviate and include any Commission approved
AKA/DBA/Fictitious Name, if applicable.*

WATER and/or SEWER ANNUAL REPORT

SMALL COMPANY

(Fewer than 8,000 customers)

TO THE

MISSOURI PUBLIC SERVICE COMMISSION

January 1 - December 31, 2013

☒ Water Service Provider

☐ Sewer Service Provider

Please choose one of the following filing type options:

☒ **Public Submission** *(NOT Highly Confidential)*

☐ **Non-Public Submission** *(Highly Confidential / Filed Under Seal)*
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Issue Date: 12/12/13

Revised: 2/3/14, 2/26/14, 3/7/14, 3/13/14, 3/26/14

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2013

2 **Company Name:** Ozark Shores Water Company

2a **Parent Company Name:** _____
(if applicable)

3 **Company Street Address:** 62 Bittersweet Road, Four Seasons MO 65049

4 **Company Mailing Address:** P.O. Box 9, Lake Ozark MO 65049

5 **Company Phone Number:** 573-365-6792 **Company Email Address:** jrsummers@lakeozarks.com

Name, address, phone number and e-mail of person(s) to contact concerning information contained in this report:

6	<u>John R Summers</u>	<u>John R Summers</u>
	<u>Name</u>	<u>Name</u>
7	<u>P.O. Box 9, Lake Ozark MO 65049</u>	<u>P.O. Box 9, Lake Ozark MO 65049</u>
	<u>Mailing Address</u>	<u>Mailing Address</u>
7a	<u>62 Bittersweet Road, Four Seasons MO 65049</u>	<u>62 Bittersweet Road, Four Seasons MO 65049</u>
	<u>Street Address</u>	<u>Street Address</u>
8	<u>Lake Ozark MO 65049</u>	<u>Four Seasons MO</u>
	<u>City State Zip</u>	<u>City State Zip</u>
9	<u>573-365-6792</u>	<u>573-365-6792</u>
	<u>Telephone Number</u>	<u>Telephone Number</u>
10	<u>jrsummers@lakeozarks.com</u>	<u>jrsummers@lakeozarks.com</u>
	<u>E-mail Address</u>	<u>E-mail Address</u>

11 Please provide the **Total Company and gross intrastate Operating Revenues** (i.e. Missouri Jurisdictional) for Calendar Year _____

(BOTH COLUMNS MUST BE COMPLETED)

	**	MO Jurisdictional	**	**	Total Company	**
12 Water Revenues						
Total Operating Revenues (From Pg. W-2, Line 22)		\$ 579,557.38			\$ 579,557.38	
13 Total Non-Tariffed Revenues (Pg. W-2, Line 25)		\$ 4,482.89			\$ 4,482.89	
14 TOTAL REVENUES		\$ 584,040.27			\$ 584,040.27	

(Total MO Jurisdictional Revenue (Line 14 above) should match Statement of Revenue (MoPSC Assessment).)

	**	MO Jurisdictional	**	**	Total Company	**
15 Sewer Revenues						
Total Operating Revenues (From Pg. S-2, Line 19)		\$ -				
16 Total Non-Tariffed Revenues (From Pg. S-2, Line 22)		\$ -				
17 TOTAL REVENUES		\$ -			\$ -	

(Total MO Jurisdictional Revenue (Line 17 above) should match Statement of Revenue (MoPSC Assessment).)

Indicates a link to or from another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

For the calendar year of January 1 - December 31, 2013

Company Name: Ozark Shores Water Company

CAPITAL STOCK (COMMON AND PREFERRED)

Class and Series of Stock (a)	Number of Shares Authorized (b)	Par or Stated Value Per Share (c)	Number of Shares Issued (d)
Common	1,000	\$ 1.00	900

SECURITY HOLDERS AND VOTING POWERS

Report below the **NAMES** and **ADDRESSES** of the 10 stockholders who, at the end of the year, had the greatest voting powers in the respondent, **AND STATE THE NUMBER OF VOTES** each would have had a right to cast on that date. If any such holder held in trust, give the nature of the trust and the beneficial owner. Show also total votes of **ALL** securities with voting powers.

Names and Addresses (a)	Number of Votes (b)
North Suburban Public Utility Company	900
10777 Barkley, Suite 210, Overland Park, KS 66211	
Total Number of Votes Held by Above	900
Total Number of Votes of all Securities with Voting Powers	900

Identify the principal or general officers of the company at the end of the year. Please include an additional sheet, if enough space is not provided on this page, to completely provide the requested information.

Title of General Officer	Name of Person Holding Office
President	Vernon Stump
Secretary	Brian Schwermann

Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2013

2 Company Name: Ozark Shores Water Company

Describe **MAJOR** transactions occurring during the year which will have a effect on operations, such as rate changes, replacement of major equipment and other abnormal cash expenditures of \$250 or more. *(Dollar amounts to be recorded on Page W-5 and/or Page S-4 columns d and/or e.)*

3 Painting of Water Tower - \$24,795

4 Well Chlorinators - \$5533.77

5 Well Electrical Damage - \$53231.68

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(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2013

2 Company Name: Ozark Shores Water Company**NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.**

BALANCE SHEET
WATER AND SEWER OPERATIONS
ASSETS

	Account Description (a)	**	Amount (b)	**
3	Water Plant In Service (From Pg. W-5)		\$ 4,874,436.00	
4	LESS: Water Depreciation Reserve (From Pg. W-5)		\$ 2,174,857.00	
5	Net Water Plant in Service (i.e., Water Plant In Service MINUS Water Depreciation Reserve) (From Pg. W-5)		\$ 2,699,579.00	
6	Water Materials and Supplies		\$ 84,330.29	
7	Water Construction Work in Progress		\$ -	
8	Water Plant Held for Future Use		\$ -	
9	Water Plant Acquisition Adjustment		\$ 36,784.00	
10	Sewer Plant in Service (From Pg. S-4)		\$ -	
11	LESS: Sewer Depreciation Reserve (From Pg. S-4)		\$ -	
12	Net Sewer Plant in Service (i.e., Sewer Plant in Service MINUS Sewer Depreciation Reserve) (From Pg. S-4)		\$ -	
13	Sewer Materials and Supplies			
14	Sewer Construction Work in Progress			
15	Sewer Plant Held for Future Use			
16	Sewer Plant Acquisition Adjustment			
17	Other Plant			
18	Cash		\$ 27,847.47	
19	Accounts Receivable (i.e., Amounts due from customers or other parties.)		\$ 128,479.62	
20	Other Assets			
21	Total Assets*		\$ 2,977,020.38	

* **Total Assets should balance with Total Equity and Liabilities on Page 5 (see instructions). Difference between Equity & Liabilities and Assets (from Pg. 5).**

Indicates a link to another worksheet within workbook
Indicates formula cell(s)

(To be used when filing under seal.)

1

For the calendar year of January 1 - December 31, 2013

2 Company Name: Ozark Shores Water Company

NOTE: Please do not type over formulas. Totals will calculate automatically in this spreadsheet.

BALANCE SHEET
WATER AND SEWER OPERATIONS
EQUITY AND LIABILITIES

	Account Description (a)	**	Amount (b)	**
3	Capital Stock	\$	3,519,500.00	
4	Retained Earnings	\$	(1,296,247.19)	
5	Long-Term Debt to Affiliates (owners, other owner controlled companies, etc.- over one year) (From Pg. 9)			
6	Short-Term Debt to Affiliates (owners, other owner controlled companies, etc.- less than one year)(From Pg. 9)	\$	272,385.08	
7	Long-Term Debt (banks, etc.- over 1 year) (From Pg. 9)			
8	Short-Term Debt (banks, etc.- less than 1 year) (From Pg. 9)	\$	1,533.98	
9	Water Customer Deposits	\$	8,250.00	
10	Water Advances for Construction			
11	Water CIAC (From Pg. 8, Line 16)	\$	462,059.00	
12	LESS: Water Amortization of CIAC (From Pg. 8, Line 23)	\$	96,059.82	
13	Net Water CIAC (i.e., Water CIAC MINUS Water Amortization of CIAC)	\$	365,999.18	
14	Sewer Customer Deposits			
15	Sewer Advances for Construction			
16	Sewer CIAC (From Pg. 8, Line 16)	\$	-	
17	LESS: Sewer Amortization of CIAC (From Pg. 8, Line 23)	\$	-	
18	Net Sewer CIAC (i.e., Sewer CIAC MINUS Sewer Amortization of CIAC)	\$	-	
19	Deferred Taxes - ITC			
20	Deferred Taxes - Other			
21	Accounts Payable; (Amounts owed to other parties; other than debt listed above.)	\$	105,599.33	
22	Other Liabilities			
23	Total Equity and Liabilities*	\$	2,977,020.38	

* Total Equity and Liabilities should balance with Total Assets on Pg. 4 (see instructions).

Difference between Equity & Liabilities and Assets (From Pg. 4).

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

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2 Company Name: Ozark Shores Water Company

PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES

INSTRUCTIONS: Report below all information concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, public relations, or other similar professional services rendered the respondent under written or verbal arrangements, for which total payments during the year to any corporation, partnership, individual (other than for services as an employee) or organization of any kind whatsoever. Use additional sheets if necessary.

Name of Recipient and Description of Service (a)	Amount of Payments:			
	Water		Sewer	
	Expensed (b)	Capitalized (c)	Expensed (d)	Capitalized (e)
3 NSPUC - Management Fees	\$ 146,087.90			
4 Miller, Bales & Cunningham P.C. - accounting	\$ 17,600.00			
5 Newman, Comley & Ruth - legal fees	\$ 3,226.32			
6 Pohl & Pohl, P.C.	\$ 150.00			
7 B & D Services - office cleaning	\$ 3,230.00			
8 Camden County PWSD#4 - contract labor	\$ 168,941.95	\$ 2,451.20		
9 Missouri One Call - locates	\$ 2,748.20			
10 WCA Waste Corporation - sanitation	\$ 1,321.37			
11				
12				
13				
14				
15				
16				
17				
18				
19 Total	\$ 343,305.74	\$ 2,451.20	\$ -	\$ -
	(Total to Pg. W-1)		(Total to Pg. S-1)	

1

For the calendar year of January 1 - December 31, 2013

2 Company Name: Ozark Shores Water Company**CONTRIBUTIONS IN AID OF CONSTRUCTION**

INSTRUCTIONS: This account shall include donations or contributions in cash, services, or property for construction purposes. The records supporting the entries to this account shall be so kept that the utility can furnish information as to the purpose of each donation, the conditions, if any, upon which it was made, the amount of each donation, and the amount applicable to each utility department. The credits (deductions) to this account shall not be transferred to any other account without the approval of the Commission.

(a)		Water (b)	Sewer (c)
3	Balance at Beginning of Year <i>(From last years report, Pg. 9)</i>	\$ 458,414.00	
4	PLUS: Additions During the Year <i>(Please provide a detailed explanation.)</i>		
5	New Services	\$ 3,645.00	
6			
7			
8			
9	Total Additions	\$ 3,645.00	\$ -
10	LESS: Deductions During the Year <i>(Please provide a detailed explanation.)</i>		
11			
12			
13	Retire and cap off service connection, but no connection fee money returned = no entry here		
14		\$ -	
15	Total Deductions	\$ -	\$ -
16	Balance at End of Year	\$ 462,059.00	\$ -
		<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

AMORTIZATION OF CONTRIBUTIONS IN AID OF CONSTRUCTION*(Please identify as Account Number 271A)*PLEASE CHOOSE FROM THE DROP DOWN BOX WHICH METHOD THE UTILITY UTILIZES FOR ITS RECORDS Distribution Method **Distribution Method**

(a)		Water (b)	Sewer (c)
17	Balance of Amortization at First of Year <i>(not Total of CIAC line 3)</i>	\$ 87,678.00	
18	Total Contributions in Aid at End of Year <i>(see above)</i>	\$ 462,059.00	\$ -
19	Total Plant in Service at End of Year <i>(From Pg. W-5 or S-4)</i>	\$ 4,874,436.00	\$ -
20	Percentage Contributions to Plant	9.48%	0.00%
21	Total Depreciation Expense <i>(From Pg. W-5 or S-4)</i>	\$ 88,423.00	\$ -
22	Total Amortization of Contributions <i>(To Pg. W-1, S-1)</i>	\$ 8,381.82	\$ -
23	Balance at End of Year	\$ 96,059.82	\$ -
		<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

OR**Attached Method**

(a)		Water (b)	Sewer (c)
24	Balance of Amortization at First of Year <i>(not Total of CIAC Line 3)</i>	\$ -	
25	Total Amortization of Contributions <i>(To Pg. W-1, S-1)</i>	\$ -	
26	Balance at End of Year		
		<i>(Total to Pg. 5)</i>	<i>(Total to Pg. 5)</i>

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

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INTEREST EXPENSE, NOTES PAYABLE, BONDS, BANK LOANS AND OTHER DEBTS

INSTRUCTIONS: Please report information for each separate item of debt. Please identify the named borrower for each debt, if different from the company. Show principal amount to which each interest rate applies. Include all items on which interest was paid during the year. Use additional sheets if necessary.

Type of Debt, (i.e., Notes Payable, Bonds, Bank Loans, Shareholder Loans, Affiliate Loans, etc.) and Contact Information of Each Lender (Name, Address, Phone No., & Email) (a)	Origination Date (b)	Frequency of Payments (Semi-Monthly, Monthly, Quarterly, Annually, etc.) (c)	Date of Maturity (d)	Initial Loan Amount (e)	Balance of Loan at the Annual Report Year End 12/31/XX (f)	Interest Rate (g)	Is Interest Rate Fixed or Variable? (h)	Total Interest Paid (i)	Interest Paid - Charged To:	
									Water Utility (j)	Sewer Utility (k)
N/A										
Total				\$ (Total to Pg. 5)				\$ (Total to Pg. 4)	\$ (Total to Pg. 5)	\$ (Total to Pg. 5)

22 If the answer to column (g) is variable, please explain the method used for the interest rate calculation below with corresponding line number from above.

For the calendar year of January 1 - December 31, 2013

Company Name: Ozark Shores Water Company

WATER OPERATING REVENUES, EXPENSES AND STATISTICS

Description (a)	Amount (b)
3 Total Revenues (From Pg. W-2)	\$ 584,040.27
<u>Operating Expenses</u>	
4 Salaries & Wages (From Pg. 6)	\$ -
5 Employee Pensions and Benefits	\$ -
6 Purchased Water	\$ 67,767.21
7 Plant Operations Expenses (From Pg. W-3, Line 12)	\$ 101,624.22
8 Billing Expenses	\$ 11,244.65
9 Supplies and Expenses	\$ 7,780.03
10 Transportation Expenses	\$ 17,243.14
11 Rent Expense	\$ 30,400.00
12 Insurance Expense	\$ 18,722.57
13 Outside Services Employed (i.e., Legal, Accounting, etc.) (From Pg.7)	\$ 343,305.74
14 Regulatory Commission Expenses	\$ 4,261.78
15 Uncollectible Expenses	\$ 6,188.15
16 Depreciation Expense (From Pg. W-5, Line 49)	\$ 88,423.00
17 Amortization of Contributions in Aid of Construction (From Page 8)	\$ (8,381.82)
18 Amortization Expense	
19 Tax Expenses (i.e., Property, State, Federal, etc.) (From Pg. W-3, Line 20)	\$ 9,691.85
20 Interest Expense (From Pg. 9)	\$ -
21 Other Expenses	\$ 32,067.49
22 Total Operating Expenses	\$ 730,338.01
23 Net Income (Loss) (A negative number indicated by () represents a loss.)	\$ (146,297.74)

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

(To be used when filing under seal.)

2 Company Name: Ozark Shores Water Company**WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)**

(Please indicate if metered amounts are in cubic feet measurements.)

Description (a)	No. of Customers		No. of Gallons Sold (000's Omitted) (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Unmetered Sales of Water</u>				
3 Residential - Single Family			XXXX	
4 Residential - Apartments			XXXX	
5 Residential - Mobile Homes			XXXX	
6 Commercial			XXXX	
7 Other Sales to Public Authorities			XXXX	
8 Other			XXXX	
9 Total Unmetered Sales (To Pg. 1, Line 12)	-	-		\$ -
<u>Metered Sales of Water</u>				
10 5/8" Meter	-	-		
11 3/4" Meter	1,782	1,787	95,387	\$ 316,622.00
12 1" Meter	31	32	6,293	\$ 17,172.00
13 1 1/2" Meter	-	-	-	
14 2" Meter	20	21	5,090	\$ 19,586.00
15 Other	21	21	99,616	\$ 216,312.83
16 Total Metered Sales (To Pg. 1, Line 12)	1,854	1,861	206,386	\$ 569,692.83
<u>Tariffed Operating Revenues</u>				
17 Late Payment Fees				\$ 9,864.55
18 Returned Check Fees				
19 Inspection Fees				
20 Reconnect Fees				
21 Other Revenue				
22 Total Operating Revenues (From Tariffed Services) (To Pg. 1, line 12)				\$ 579,557.38
<u>Non Tariffed Revenues</u>				
23 Rent Income				
24 Other Income, (i.e., from Merchandising, Jobbing & Contract Work, etc.)				\$ 4,482.89
25 Total Non-Tariffed Revenues (To Pg. 1, Line 13)				\$ 4,482.89
26 Total Revenues * (To Pg. 1, Line 14)				\$ 584,040.27
(Total to Pg. W-1 and Pg. 1)				

* Total Operating Revenues should match Statement of Revenue (MOPSC Assessment).

1 For the calendar year of January 1 - December 31, 2013
 2 Company Name: Ozark Shores Water Company

WATER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

Description of Expenses (a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Repairs of Water Plant - Pump Repair	
4 Repairs of Water Plant - Well Repair	\$ 4,335.68
5 Repairs of Water Plant - Water Line Repair	\$ 14,141.29
6 Repairs of Water Plant - Equipment Repair	\$ 24,795.00
7 Repairs of Water Plant - Other	\$ -
8 Fuel or Power Purchases for Pumping (i.e., Electric Bills, etc.)	\$ 50,332.79
9 Chemicals	\$ 6,210.26
10 Water Testing Expenses	\$ 1,260.00
11 Other Plant Operations Expenses	\$ 549.20
12 Total Plant Operations Expenses	\$ 101,624.22
	(Total to Page W-1)
<u>Tax Expenses</u>	
13 Tax Expense - Property Taxes	\$ 9,491.50
14 Tax Expense - Payroll Taxes	
15 Tax Expense - Franchise Taxes	
16 Tax Expense - Other Taxes	\$ 200.35
17 Tax Expense - Federal Income Taxes	
18 Tax Expense - State Income Taxes	
19 Tax Expense - Investment Tax Credits	
20 Total Tax Expenses	\$ 9,691.85
	(Total to Pg. W-1)

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

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PUMPING AND PURCHASED WATER STATISTICS

(Omit 000's in reporting number of gallons or cubic feet of water. Use additional sheets if necessary.)

For the calendar year of January 1 - December 31 2013

Company Name: Ozark Shores Water Company

Gallons Pumped Into System	Source of Supply (Please name each source below in columns (a)-(d), i.e. Well #1, etc.)				Total of all Methods (a+b+c+d=e) (e)
	Oswc Wells	Purchased from Camden County PWSD4	(b)	(c)	
	(a)			(d)	
Please indicate whether measurements given are in gallons or cubic feet. ☐					
January	6,237,000	9,989,014			16,226,014
February	6,294,200	10,050,178			16,344,378
March	6,435,000	9,671,106			16,106,106
April	8,204,800	12,834,656			21,039,456
May	10,673,000	15,766,567			26,439,567
June	9,831,600	14,872,909			24,704,509
July	18,591,000	21,364,094			39,955,094
August	13,247,300	18,165,922			31,413,222
September	14,878,200	17,418,719			32,296,919
October	11,263,300	16,502,146			27,765,446
November	7,117,000	10,245,460			17,362,460
December	9,418,000	14,517,037			23,935,037
Total for Year	122,190,400	171,397,806			293,588,206

Maximum Quantity Supplied to the System in Any One Day: 151,000

Minimum: 43-50

Range of Pressure in the Mains as Measured at the Highest Point on System:

If Water is Sold to Other Utilities for Resale, List Names, Addresses, Phone Numbers and Quantities Below:		
Name of Reseller	Address	Phone Number
N/A		

WATER UTILITY PLANT IN SERVICE**DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)	Account. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
	Class B, C or D										
3 Organization	301	28,717					28,717				0
4 Franchise and Consents	302	34,118					34,118				0
5 Miscellaneous Intangible Plant	303	3,122					3,122				0
<u>Source of Supply Plant</u>											
6 Land and Land Rights	310	712					712				0
7 Structures and Improvements	311						0				0
8 Collecting & Impounding Reservoirs	312						0				0
9 Lake, River, and Other Intakes	313						0				0
10 Wells and Springs	314	404,194	10,937				415,131	118,033	1.50%	6,227	124,260
11 Infiltration Galleries and Tunnels	315						0				0
12 Supply Mains	316	16,801					16,801	9,735	2.20%	370	10,105
13 Other Water Source Plant	317						0				0
<u>Pumping Plant</u>											
14 Land and Land Rights	320	24,664					24,664		0.00%		0
15 Structures and Improvements	321	67,624					67,624	41,450	2.00%	1,352	42,802
16 Boiler Plant Equipment	322						0				0
17 Other Power Production Equipment	323						0				0
18 Submersible Electric Pumping	325.1	104,997		0		0	104,997	104,997	4.00%	0	104,997
19 High Service or Booster Pumps	325.2						0				0
20 Diesel Pumping Equipment	326						0				0
21 Hydraulic Pumping Equipment	327						0				0
22 Other Pumping Equipment	328	126					126	126	0.00%	0	126

Ozark Shores Water Company

2 Company Name:

WATER UTILITY PLANT IN SERVICE**DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)	Account. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
<u>Water Treatment Plant</u>											
23 Land and Land Rights	330						0				0
24 Structures and Improvements	331						0				0
25 Water Treatment Equipment	332	23,908		0		0	23,908	29,083	5.00%	-5,175	23,908
<u>Transmission & Distribution Plant</u>											
26 Land and Land Rights	340	1,293					1,293		0.00%		0
27 Structures and Improvements	341						0				0
28 Distribution Reservoirs & Standpipes	342	844,783					844,783	323,118	2.20%	18,585	341,703
29 Transmission & Distribution Mains	343	2,273,007	11,739	0			2,284,746	1,139,247	2.20%	50,264	1,189,511
30 Fire Mains	344						0				0
31 Services	345						0				0
32 Meters	346	624,399	2,293	0			626,692	182,293	2.50%	15,667	197,960
33 Meter Installations	347						0				0
34 Hydrants	348	361					361	203	2.50%	9	212
35 Other Transmission & Distribution Plant	349	342,536					342,536	87,577	0.00%		87,577
<u>General Plant - (Class B&C are Same)</u>											
B & C		D									
36 Land and Land Rights	389						0				0
37 Structures and Improvements	390						0				0
38 Office Furniture and Equipment	391	14,329					14,329	12,982		716	13,698
39 Office Computer & Electronic Equipment	391						0			0	0
40 Transportation Equipment	392						0				0
41 Other General Equipment	none						0				0
42 Stores Equipment	393						0				0

2 Company Name:

Ozark Shores Water Company

WATER UTILITY PLANT IN SERVICE**DEPRECIATION EXPENSES AND RESERVE - WATER UTILITY PLANT**

Account Description (A)	Account No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Retirement of Property			Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E-F+G+K) (L)
				Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)					
43 Tools, Shop and Garage Equipment	394 none	5,698					5,698	3,728		285	4,013
44 Laboratory Equipment	395 none	2,462					2,462	2,246		123	2,369
45 Power-operated Equipment	396 none	30,745					30,745	30,745		0	30,745
46 Communication Equipment	397 none	871					871	871		0	871
47 Miscellaneous Equipment	398 none						0				0
48 Other Tangible Property	399 none						0				0
49 Total Water Utility Plant In Service	Totals	4,849,467	24,969	0	0	0	4,874,436	2,086,434		88,423	2,174,857
(Total to Pages 4 & 9)								(Total to Pg.8)	(Total to Pg. 8 & Pg. W-1)		(Total to Pg. 4)

Indicates a link to another worksheet within workbook

Indicates formula cell(s)



(To be used when filing under seal.)

* All entries included in Columns "E", "F" and "G" should be supported by records that identify the property retired and the cost of removal or salvage in detail.

** Annual Depreciation Expense should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.

** The depreciation expense formula provided is only an approximation assuming all activity for the year occurred mid year.

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "E", "F" and/or "G", use additional sheets.

1 For the calendar year of January 1 - December 31, 2013

2 Company Name:

Ozark Shores Water Company

PUMP INFORMATION

	Manufacturer and Type of Pump (i.e., High Service, Well, Standby, etc.) (a)	Capacity (b)	Date Installed (c)	Date of Last Motor Replacement (d)	Date of Last Pump Replacement (e)
3	Grundfos	305 GPM	1971	2004	2000
4	Grundfos	165 GPM	1980	2013	2013
5	Grundfos	330 GPM	1983	2000	2000
6	Grundfos	500 GPM	1991	2001	2001
7					
8					
9					
10					
11					
12					

1

Company Name:

For the calendar year of January 1 - December 31, 2013

Ozark Shores Water Company

2

WELL INFORMATION

3	Description of Wells (a)	Well ID#/ Location	Well ID#/ Location	Well ID#/ Location	Well ID#/ Location
		No. 1	No. 2	No. 3	No. 4
		(b)	(c)	(d)	(e)
4	Year Constructed	1971	1980	1983	1991
5	Type of Construction				
6	Type and Depth of Casing	Steel	Steel	Steel	Steel
7	Depth and Diameter of Well	980' & 10"	1160' & 10"	1160' & 10"	1200' & 10"
8	Yield of Well in Gallons per day	439,200	237,600	475,200	720,000
9	Type -				
10	Annual Cost -				
11	Annual Quantity -				

Page W-6 Pt. 2



(To be used when filing under seal.)

1

2 Company Name: Ozark Shores Water Company

METERS AND METER SETTINGS

Customer Class (a)	Meter Size (b)	Total at Beginning of Year (c)	Total No. of Additions (d)	Total No. Removed or Disconnected (e)	Total at End of Year (g)
Residential:	3/4"	1,782	5		1,787
	1"	23	1	3	21
	2-6"	11			11
Other Customers:					
	3/4"-1"	20	1		21
	2-6"	18	3		21
Total in Use by Customers		1,854	10	3	1,861
Not in Use: (i.e., Inventory)		46		5	41
Total Meters		1,900	10	8	1,902

STORAGE FACILITIES

Type of Storage (i.e., Pneumatic, Ground, Standpipes, Elevated Tanks, etc.) (a)	Construction Material (b)	Last Date Painted if Applicable (indicate interior or exterior) (c)	Capacity (d)
Standpipe	Steel	2011, exterior	86,000
Ground Storage	Steel	1988, both	750,000
Elevated	Steel	1998, both	400,000

Indicates formula cell(s)

(To be used when filing under seal.)

WATER MAINS (measurement in feet)

SERVICE CONNECTIONS AVAILABLE FOR USE (from Main to Property Line)

(To be used when filing under seal.)

1 For the calendar year of January 1 - December 31, 2013

2 Company Name: Ozark Shores Water Company

SEWER OPERATING REVENUES, EXPENSES AND STATISTICS

Description (a)	Amount (b)
3 Total Revenues <i>(From Page S-2)</i>	\$ -
<u>Operating Expenses</u>	
4 Salaries & Wages <i>(From Pg. 6)</i>	\$ -
5 Employee Pensions and Benefits	
6 Purchased Water	
7 Plant Operations Expenses <i>(From Pg. S-3)</i>	\$ -
8 Billing Expenses	
9 Supplies and Expenses	
10 Transportation Expenses	
11 Rent Expense	
12 Insurance Expense	
13 Outside Services Employed <i>(i.e., Legal, Accounting, etc.) (From Pg. 7)</i>	\$ -
14 Regulatory Commission Expenses	
15 Depreciation Expense <i>(From Pg. S-4)</i>	\$ -
16 Amortization of Contributions in Aid of Construction <i>(From Pg. 8)</i>	\$ -
17 Amortization Expense	
18 Tax Expenses <i>(From Pg. S-3)</i>	\$ -
19 Interest Expense <i>(From Pg. 9)</i>	\$ -
20 Other Expenses	
21 Total Operating Expenses	\$ -
22 Net Income (Loss) - <i>(This number should be negative as it is an offset to depreciation expense)</i>	\$ -

Indicates a link to another worksheet within workbook

Indicates formula cell(s)



(To be used when filing under seal.)

2 Company Name: Ozark Shores Water Company

SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

(Please indicate if metered amounts are in cubic feet measurements.)

Description of Revenues (a)	No. of Customers		No. of Gallons Sold (000's Omitted) (d)	Revenue Amount (e)
	Beginning of Year (b)	End of Year (c)		
<u>Flat Rate Sales</u>				
3 Residential - Single Family			XXXX	
4 Residential - Apartments			XXXX	
5 Residential - Mobile Homes			XXXX	
6 Commercial			XXXX	
7 Other Sales to Public Authorities			XXXX	
8 Other			XXXX	
9 Total Unmetered Sales (To Pg. 1, Line 15)	-	-	XXXX	\$ -
<u>Metered Sales Based on Sewer Usage</u>				
10 Commercial				
11 Other Sales to Public Authorities				
12 Other				
13 Total Metered Sales (To Pg. 1, Line 15)	-	-	-	\$ -
<u>Tariffed Operating Revenues</u>				
14 Late Payment Fees				
15 Returned Check Fees				
16 Inspection Fees				
17 Reconnect Fees				
18 Other Revenue				
19 Total Operating Revenues (From Tariffed Services) (To Pg. 1, Line 15)				\$ -
<u>Non-Tariffed Revenues</u>				
20 Rent Income				
21 Other Income (i.e., from Merchandising, Jobbing & Contract Work, etc.)				
22 Total Non-Tariffed Revenues (To Pg. 1, Line 16)				\$ -
23 Total Revenues * (To Pg. 1, Line 17)				\$ -
				(Total to Pg. 1 & Pg. S-1)

* Total Revenues should match Statement of Revenue (MOPSC Assessment).

Indicates a link to another worksheet within workbook

Indicates formula cell(s)

1 For the calendar year of January 1 - December 31, 2013

2 Company Name: Ozark Shores Water Company

SEWER OPERATING REVENUES, EXPENSES AND STATISTICS (Continued)

Description (a)	Amount (b)
<u>Plant Operations Expenses</u>	
3 Contracted Maintenance Expenses	
4 Repairs of Sewer Plant - Pump Repair	
5 Repairs of Sewer Plant - Treatment Repair	
6 Repairs of Sewer Plant - Collecting Sewers and Manhole Repair	
7 Repairs of Sewer Plant - Equipment Repair	
8 Repairs of Sewer Plant - Other	
9 Utility Bills	
10 Chemicals	
11 Sludge Hauling Expenses	
12 Effluent Testing Expenses	
13 Other Plant Operations Expenses	
14 Total Plant Operations Expenses	\$ -
<u>Tax Expenses</u>	(Total to Pg. S-1)
15 Tax Expense - Property Taxes	
16 Tax Expense - Payroll Taxes	
17 Tax Expense - Franchise Taxes	
18 Tax Expense - Other Taxes	
19 Tax Expense - Federal Income Taxes	
20 Tax Expense - State Income Taxes	
21 Tax Expense - Investment Tax Credits	
22 Total Tax Expenses	\$ -
	(Total to Pg. S-1)

Indicates formula cell(s)



(To be used when filing under seal.)

Ozark Shores Water Company

2 Company Name:

SEWER UTILITY PLANT IN SERVICE DEPRECIATION EXPENSES AND RESERVE - SEWER UTILITY PLANT

Account Description (A)	Acct. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciatio n Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E- F+G+K) (L)
	Class B, C or D										
<u>Intangible Plant</u>	301	301					0				0
	302	302					0				0
	303	303					0				0
	none	310					0				0
	none	311					0				0
	350	none					0				0
	351	none					0				0
	352	352.1					0				0
	352	352.2					0				0
	353	353					0				0
<u>Pumping Plant</u>	354	354					0				0
	355	355					0				0
	360	none					0				0
	361	none					0				0
	362	362					0				0
	363	363					0				0
	370	none					0				0
	371	none					0				0
	none	372					0				0
	372	373					0				0
<u>Treatment & Disposal</u>	370	none					0				0
	371	none					0				0
	none	372					0				0
	372	373					0				0
	370	none					0				0
	371	none					0				0
	none	372					0				0
	372	373					0				0
	370	none					0				0
	371	none					0				0

2 Company Name:

Ozark Shores Water Company

SEWER UTILITY PLANT IN SERVICE		DEPRECIATION EXPENSES AND RESERVE - SEWER UTILITY PLANT									
Account Description (A)	Acct. No. (B)	Plant Balance at Beginning of Year (C)	Additions During the Year (D)	Book Cost of Plant Retired* (E)	Cost of Removal* (F)	Salvage Credit* (G)	Plant Balance at End of Year (C+D-E) (H)	Reserve Balance at Beginning of Year (I)	Annual Depreciation Rate % (J)	Depreciation Expense** J*(C+H)/2 (K)	Reserve Balance at END of Year (I-E- F+G+K) (L)
	Class B, C or D	Retirement of Property									
23 Sewer Collection (Septic) Tanks	372 373.1						0				0
24 Plant Sewer	373 374						0				0
25 Outfall Sewer Lines	374 375						0				0
26 Equipment	375 376						0				0
General Plant											
27 Land and Land Rights	389 none						0				0
28 Structures and Improvements	390 none						0				0
29 Office Furniture and Equipment	391 391						0				0
30 Office Computer & Electronic Equipment	391 391.1						0				0
31 Transportation Equipment	392 392						0				0
32 Other General Equipment	none 393						0				0
33 Stores Equipment	393 none						0				0
34 Tools, Shop and Garage Equipment	394 none						0				0
35 Laboratory Equipment	395 none						0				0
36 Power-operated Equipment	396 none						0				0
37 Communication Equipment	397 none						0				0
38 Miscellaneous Equipment	398 none						0				0
39 Total Sewer Utility Plant In Service	Totals	0	0	0	0	0	0	0			0
(Total to Pages 4 & 8)								(Total to Pg. 8)	(Total to Pg. 8 & Pg. S-1)		
									(Total to Pg. 4)		

Indicates a link to another worksheet within work:

Indicates formula cell(s)

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** Annual Depreciation Expense should be calculated based upon actual in-service and retirement date(s) of new equipment and retirements during the period.

** The depreciation expense formula provided is only an approximation assuming all activity for the year occurred mid year.

NOTE: All entries should be supported by records that identify the property being added or retired, its location, and its original cost in as much detail as reasonably possible. If adjustments are included in Columns "E", "F" and/or "G", use additional sheets.

2 Company Name: Ozark Shores Water Company

GENERAL INFORMATION

3 Type of Treatment Facilities - Please describe (i.e., lagoon, mechanical or sand filter) and list all that apply.

4 What is the designed capacity of each treatment facility?

5 What percent of designed capacity of each facility is currently being utilized?

6 Did the company pump and haul the sludge? If no, provide the name of the third party providing service:

7 What is the ultimate disposal of waste solids (e.g. land application, disposal at qualified facility, etc.)?

8 List any equipment failures occurring during the year. Please state when failure occurred and briefly describe the failure and corrective measures taken.

COLLECTING SEWERS (measurement in feet)

	Kind of Pipe (i.e. Cast Iron, VCP, PVC, etc.) (a)	Diameter of Pipe (b)	Total No. at Beginning of Year (c)	Total No. of Additions During the Year (d)	Total No. Removed or Abandoned During the Year (e)	Total No. at End of Year (f)
9	Force:					
10						
11	Gravity:					
12						
13						

LIFT STATIONS

	Pumps: Name, Size, Type	Location	H.P.	GPM	TDH
14					
15					
16					
17					
18					
19					

SLUDGE

	Date of Haul	No. of Gallons Hauled	Facility/ Location
20			
21			
22			
23			
24			

VERIFICATION

The foregoing report must be verified by the oath of the President, Treasurer, General Manager or Receiver of the company. The oath required may be taken before any person authorized to administer an oath (*Notary Public*) by the laws of the State in which the same is taken.

OATH

State Of Missouri }
 County Of Camden } ss:

John R Summers makes oath and says that
 Name of Affiant (*Company Official/Representative*)

s/he is General Manager
 Official Title of the Affiant (*Company Official/Representative*)

of Ozark Shores Water Company
 Exact Legal Title or Name of the Respondent (*Certificated Company Name*)

and is located at 62 Bittersweet Road, Four Seasons, MO 65049 573-365-6792
 Address and Telephone Number of the Affiant (*Company Official/Representative*)

that s/he has examined the foregoing report; that to the best of his or her knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent.

from January 1, 2013, to and including December 31, 2013
 Month/Day Year Month/Day Year

[Signature]
 Signature of Affiant (*Company Official/Representative*)
 (Electronic signatures are acceptable; i.e., (insert a space) /s/ John Doe.)

Subscribed and sworn to before me, a Notary Public, in and for the State and County above named,

this 27th day of June, 2014.

My Commission expires

October 31, 2015



[Signature]
 Signature of Notary Public
 (Electronic signatures are acceptable; i.e., (insert a space) /s/ John Doe.)