

1 BEFORE THE PUBLIC SERVICE COMMISSION 2 STATE OF MISSOURI 3 4 TRANSCRIPT OF PROCEEDINGS 5 Evidentiary Hearing 6 September 24, 2019 7 Jefferson City, Missouri 8 Volume 2 9 10 In The Matter Of Kansas City 11) Power & Light Company's Notice)) File No. EO-2019-0132 Of Intent To File An 12 Application For Authority To) Establish A Demand-Side 13) Programs Investment Mechanism) 14 In The Matter Of KCP&L Greater) Missouri Operations Company's 15) Notice Of Intent To File An) File No. EO-2019-0133 Application For Authority To 16) Establish A Demand-Side) 17 Programs Investment Mechanism) 18 JOHN T. CLARK, Presiding REGULATORY LAW JUDGE 19 WILLIAM P. KENNEY, 20 DANIEL Y. HALL, SCOTT T. RUPP, 21 COMMISSIONERS 22 23 REPORTED BY: Beverly Jean Bentch, CCR No. 640 24 TIGER COURT REPORTING, INC. 25

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1	PROCEEDINGS
2	JUDGE CLARK: Let's go on the record. Good
3	morning. Today is September 24, 2019. The current time
4	is 10:02 a.m. We're in Room 310 of the Governor Office
5	Building in Jefferson City, Missouri. We're here for
б	day two of the hearing of In the Matter of Kansas City
7	Power & Light Company's Notice of Intent to File an
8	Application for Authority to Establish a Demand-Side
9	Programs Investment Mechanism and that is File No.
10	EO-2019-0132 and In the Matter of KCP&L Greater Missouri
11	Operations Company's Notice of Intent to File an
12	Application for Authority to Establish a Demand-Side
13	Programs Investment Mechanism. That is File
14	EO-2019-0133. That 133 file is consolidated. Both of
15	those files were consolidated under the EO-2019-0132
16	number.
17	We're in day two. I'm going to have counsel
18	that's here enter their appearance for the record again
19	starting with Kansas City Power & Light Company?
20	MR. STEINER: Roger W. Steiner and James
21	Fischer.
22	JUDGE CLARK: For the Commission Staff?
23	MS. MERS: Nicole Mers and Travis Pringle.
24	JUDGE CLARK: For the Office of Public
25	Counsel?

MR. HALL: Caleb Hall appearing on behalf of 1 2 the Office of Public Counsel. JUDGE CLARK: City of St. Joseph was excused 3 4 from the hearing. From Midwest Energy Consumers Group? Mr. Woodsmall does not appear to be here. From the 5 6 Missouri Division of Energy? 7 MR. WESTEN: Jacob Westen appearing on behalf 8 of the Division of Energy. 9 JUDGE CLARK: For Renew Missouri? MR. OPITZ: Tim Opitz for Renew Missouri. 10 11 JUDGE CLARK: The National Housing Trust 12 appears to be absent today, and that was with The National Resources Defense Council? 13 permission. MR. ROBERTSON: Henry Robertson for NRDC. 14 15 JUDGE CLARK: Spire Missouri was excused from 16 the hearing, and the West Side Housing Organization is 17 also represented by Mr. Linhares who was excused from 18 the hearing. 19 Yesterday before I left as a last matter of 20 housekeeping I'd ask the parties to give me a list of 21 witnesses that they had all agreed to waive appearance 22 I have a couple questions and then I'm prepared to on. 23 go through that list and say who's going to be excused. 24 In regard to Byron Murray, is he going to be testifying about anything other than EV charging 25

stations? 1 2 MS. MERS: No. 3 JUDGE CLARK: Okay. In that regard then, is 4 there anybody who having thought about it now has any questions that they wanted to ask Kory Boustead? 5 I hear 6 none. Byron Murray? I hear none. Seoung Joun Won? 7 I hear none. 8 Jane Epperson? I hear none. Philip 9 Mosenthal? I hear none. James Owen? I hear none. And 10 I'm going to mispronounce this name. I know you 11 corrected me yesterday. Philip Fracica? 12 MR. OPITZ: Fracica. 13 JUDGE CLARK: Fracica. Any questions for 14 Philip Fracica? Okay. 15 MR. STEINER: Your Honor, we also don't have 16 any questions for Robin Kliethermes. We mentioned we 17 might yesterday but we don't. 18 JUDGE CLARK: Does anybody else have any 19 questions for Robin Kliethermes? And for my information 20 what is it that Ms. Kliethermes would be testifying 21 about? 22 MS. MERS: She had testified on components of 23 the DSM mechanism, but I think most of those have been 24 resolved to my understanding. But there was also the 25 remaining live issue of the home energy reports.

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1	JUDGE CLARK: Actually I'd like to have her.
2	I might have a question or two about the home energy
3	reports. I'm going to leave her on that. Those other
4	witnesses are excused from appearance. I'm going to
5	rely on counsel to enter their testimony at the
6	appropriate time, or do we just want to do that now?
7	MR. WESTEN: Judge, if there's no objection
8	from the parties, DE would be happy to offer its
9	testimony for Mr. Martin Hyman and Jane Epperson at this
10	point in time and if that testimony is so admitted then
11	move to be excused.
12	JUDGE CLARK: Does anybody have any objection
13	to admitting Division of Energy Exhibit 350 and 351 onto
14	the hearing record and those are the Rebuttal Testimony
15	of Martin Hyman and Rebuttal Testimony of Jane
16	Epperson? I hear no objections. Those are offered and
17	350 and 351 are admitted onto the hearing record and the
18	Division of Energy is excused from the hearing if the
19	Division of Energy wishes to be.
20	MR. WESTEN: Thank you, Judge. I appreciate
21	that very much.
22	(DE EXHIBITS 350 AND 351 WERE RECEIVED INTO
23	EVIDENCE AND MADE A PART OF THIS RECORD.)
24	MR. OPITZ: Your Honor, since we're on the
25	topic, I'd offer our remaining three exhibits and that's

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1	Exhibit 451, the Rebuttal Testimony of James Owen;
2	Exhibit 452, the Surrebuttal Testimony of James Owen;
3	and Exhibit 453 is the Rebuttal Testimony of Philip
4	Fracica.
5	JUDGE CLARK: Okay. Is there any objection
6	from any of the parties to admitting 451, 452 or 453
7	onto the hearing record? I hear no objection. 451, 452
8	and 453 are admitted onto the hearing record.
9	MR. OPITZ: Thank you, Your Honor.
10	(RENEW MISSOURI EXHIBITS 451, 452 AND 453 WERE
11	RECEIVED INTO EVIDENCE AND MADE A PART OF THIS RECORD.)
12	JUDGE CLARK: Mr. Robertson, did you have
13	testimony that you wanted to admit onto the hearing
14	record?
15	MR. ROBERTSON: It was admitted yesterday.
16	JUDGE CLARK: It was admitted yesterday. And
17	you had discussed being excused yesterday; is that
18	correct?
19	MR. ROBERTSON: Yes.
20	JUDGE CLARK: Do you wish to be excused?
21	MR. ROBERTSON: Yes. I'll be here for a
22	little while.
23	JUDGE CLARK: Okay. Whenever the Natural
24	Resources Defense Council wants to leave the hearing,
25	you're welcome to do so.

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1 MR. ROBERTSON: Thank you, Judge. 2 JUDGE CLARK: That just leaves the remaining three Staff witnesses. They don't have individual 3 4 testimony, do they? It's just included in Staff's 5 Surrebuttal Report? 6 MS. MERS: Actually Staff Witness Brad Fortson 7 has Surrebuttal Testimony. 8 JUDGE CLARK: I didn't see where Brad Fortson 9 was excused. MS. MERS: I apologize. The witnesses who 10 11 have been excused do not have individual testimony. 12 JUDGE CLARK: That's inclusive -- Well, no, I 13 didn't excuse her. Okay. I think we're up to speed. 14 Staff, you can call your next witness. 15 MS. MERS: Staff would call John Rogers to the 16 stand. 17 JUDGE CLARK: Mr. Rogers, would you raise your 18 right hand and be sworn? 19 (Witness sworn.) 20 JUDGE CLARK: Please be seated and state and 21 spell your name for the record. 22 THE WITNESS: John Rogers, J-o-h-n 23 R-o-g-e-r-s. 24 JOHN ROGERS, being sworn, testified as follows: 25 DIRECT EXAMINATION BY MS. MERS:

Mr. Rogers, who are you employed by and in 1 Ο. 2 what capacity? Missouri Public Service Commission as a 3 Α. 4 miscellaneous professional. And you retired from the Commission; is that 5 0. 6 correct? 7 Yes, March 1 of this year. Α. 8 Ο. All right. And prior to your retirement, what 9 was your position at the Commission? 10 Utility regulatory manager of the energy Α. 11 resources department for ten years. 12 Okay. And did you contribute to the Staff 0. Rebuttal Report that's been marked as Exhibit 101 in 13 public and confidential form? 14 15 Yes, I did. Α. 16 Do you have any corrections to that testimony? 0. 17 Α. Yes, I do. 18 Okay. 0. 19 On pages 33, 34 and 35, I have the same Α. 20 correction to the two charts on each of those three 21 So there's six corrections and they're all the pages. 22 same and they're all in the header for each of those six 23 charts, and the correction is to change the 24 parenthetical at the end of the header from (\$nominal) 25 to (\$2019) making that correction to be consistent with

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the fact that the second table on page 32, the amounts 1 2 in that table are all in dollars discounted to 2019. That change doesn't change any of the 3 Ο. underlying analysis or figures? 4 5 Α. No. It just makes the underlying analysis in 6 the presentation of the charts consistent. 7 Thank you for clarifying that. Do you have 0. 8 any other changes? 9 The other change is on page 40, line 3. At Α. the end of that line it ends with some market energy. 10 Ι 11 would like to insert the words, after energy, insert and 12 demand benefits. 13 Do you have any other corrections or is that Ο. the final one? 14 15 No other corrections. Α. Okay. And with those corrections in mind, is 16 0. 17 this testimony true and accurate to the best of your knowledge and belief? 18 19 Yes, it is. Α. With those corrections in mind, if I asked you 20 Ο. 21 the same questions today would your answers be the same? 22 Α. Yes. 23 MS. MERS: All right. I will go ahead and tender the witness for cross. 24 25 JUDGE CLARK: Cross-examination by the Office

of Public Counsel? 1 2 MR. HALL: Mr. Rogers, good morning. THE WITNESS: Good morning. 3 CROSS-EXAMINATION BY MR. HALL: 4 5 0. You mentioned that your position now is 6 miscellaneous professional, correct? 7 Correct. Α. 8 0. This is a new position. When did you start 9 this position? 10 August 7 I believe was the date of this year. Α. 11 This new position was preceded by your Ο. 12 retirement in March did you say? March 1, 2019. 13 Α. 14 Ο. As late as March then, you were Staff's 15 utility regulatory manager then? My last day of employment was the last day of 16 Α. 17 February 2019. 18 Up until then, you oversaw all of Staff's 0. 19 recommendations on demand-side management programs, 20 correct? 21 I was on the team, yes, as department head. Α. 22 The oversight has always been provided by Ms. Dietrich. 23 Q. And I take it your institutional knowledge was so vital, is that why you came back? 24 25 I was asked to come back and help out with Α.

these two cases and these two cases only. 1 2 Mr. Rogers, did you review the testimony of 0. Dr. Geoff Marke? 3 Α. Yes. 4 5 Ο. Did you review his rebuttal testimony? 6 Α. Yes. 7 Do you have a copy of it in front of you? 0. 8 Α. I do. 9 Could you please turn to pages 5 through 7? Ο. 10 Α. Okay. 11 I'm looking at a back and forth that was 0. 12 quoted between you and Commissioner Hall. Are you following me? 13 14 Α. Yes. 15 Could you please briefly review what was Ο. 16 quoted and then try to explain what context was this 17 conversation given? 18 Α. Okay. This context was in the agenda meeting 19 on November 15 of 2018. On the docket, the agenda 20 docket that day was discussion of the joint filing that 21 parties had made in the IRP case for Kansas City Power & 22 Light and for GMO for their 2018 IRP that's the Chapter 23 22 triennial compliance filing. 24 Does that have any relation to this case? 0. 25 Α. Yes, it does.

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Explain or please explain. 1 Ο. 2 Α. The analysis in the IRP was similar to a lot of the analysis that we see in this MEEIA case for KCP&L 3 The IRP was the first time that Staff raised 4 and GMO. 5 issues that are now being heard today related to the avoided capacity -- well, the avoided cost of capacity. 6 7 And Staff identified that we had an issue with the 8 avoided cost of capacity for KCP&L only in their 2018 9 IRP and not a problem with the avoided cost of capacity 10 for GMO in their 2018 IRP. 11 And the reason we did have a difference of 12 opinion between KCP&L and GMO was that at that time both 13 utilities were being evaluated through the Chapter 22 14 process as separate utilities. This is very important 15 to this case, because if GMO were a standalone utility, 16 we don't have a problem with using the combustion turbine as the avoided cost of capacity for GMO. 17 18 But together you're not finding avoided costs? 0. 19 In the IRP we were not. We were evaluating at Α. 20 that time the two utilities separately and to our 21 knowledge, to Staff's knowledge and understanding at 22 that time, SPP, the joint integrated transmission 23 service agreement was not in play in the IRP. 24 Mr. Rogers, you voiced this opinion back in 0. November of 2018. Is that accurate? 25

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1	A. Yes.
2	Q. Were you in the room yesterday when your
3	counsel Mr. Pringle was questioning Mr. Caisley from the
4	Company?
5	A. Yes.
6	Q. Do you recall the questioning Mr. Pringle
7	asked about any of Staff's prior public expressions of
8	concern regarding the application?
9	A. Not specifically, but I don't remember the
10	specific questions and the answers that he gave.
11	Q. Do you remember the subject area though?
12	A. Yes.
13	Q. Do you believe that These comments you made
14	in this agenda meeting, this was public, right?
15	A. Yes.
16	Q. Do you believe this may have been what
17	Mr. Pringle was referring to?
18	A. Yes.
19	Q. Mr. Rogers, could you please turn to pages
20	look through pages 7, 8 and 9. Dr. Marke has in bullet
21	point form highlighted several of the deficiencies that
22	Staff noted that we just discussed. Could you please
23	review and confirm that these are accurate to your
24	knowledge?
25	A. Yes. I've read these and they are accurate to

my knowledge. 1 2 For the benefit of the record and the parties, Ο. could you please read off the bolded and highlighted --3 4 bolded and underlined portions of what Dr. Marke has 5 listed? 6 Α. Right. Part of this is confidential and it's 7 not marked that way. 8 Q. Wherever you noted something is -- Wherever 9 you note something is confidential, please refrain from 10 noting that -- please refrain from stating that 11 publicly, of course. 12 KCP&L's use of, and there's the capacity, Α. 13 avoided cost of capacity that was used in the IRP which 14 is confidential, drastically overstates KCP&L's avoided 15 capacity cost of generation, transmission, and 16 distribution facilities adjusted to reflect reliability 17 reserve margins and capacity losses on the transmission 18 and distribution systems. 19 Because KCP&L considered and analyzed 20 alternative resource plans with demand-side resources 21 when it is not in need of any new non-renewable 22 supply-side resources for the entire 20-year planning 23 horizon and did not consider nor analyze alternative 24 resource plans with new low cost supply-side resources 25 to compete with the new demand-side resources on an

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1 equivalent basis.

Because KCP&L has used drastically overstated avoided capacity cost benefits when calculating the total resource cost test results for its demand-side programs and portfolio, the programs may not comply with the MEEIA statute Section 3.

Because KCP&L's demand-side programs do not defer any non-renewable supply-side resources during the 20-year planning horizon, it is expected that there will be little, if any, benefits for customers who do not participate in the programs, resulting in violation of the MEEIA statute Sections 3 and 4.

Because KCP&L did not include any analysis required by, then this is a citation to a MEEIA rule, 20.094(4)(C)4 in its 2018 IRP. Staff is concerned that the earnings opportunity component of a DSIM included in the IRP and in the anticipated KCP&L MEEIA Cycle 3 application may not be as well informed as it should be.

19 The last bullet is KCP&L's decision makers may 20 have selected an adopted preferred resource plan which 21 includes a MEEIA R-A-P, RAP, R-A-P, portfolio of 22 demand-side programs which does not comply with the 23 legal mandate in 393.1075.4, because the RAP programs 24 may not provide benefits to all customers, including 25 those customers who do not participate in the programs.

Thank you, Mr. Rogers. You were in charge of 1 Ο. 2 a filing that listed out these deficiencies, correct? 3 Α. Yes. And you personally believe these were accurate 4 Ο. 5 deficiencies, correct? 6 Α. Yes. Do you still have the same concerns today as 7 0. 8 you did approximately a year ago? 9 Α. Yes, I do. One of the programs that I noted that Staff is 10 0. 11 critical of is the home energy reports but then there's 12 the issue of persistence that's cited. Can you explain 13 persistence as to a home energy report and what Staff is meaning by that? 14 15 That's probably a better question for Α. Mr. Fortson. Persistent basically means that a program 16 17 has a one-year life so it's only creating savings for 18 the period of time that the measures are being 19 installed, and in this case it would be, since it's a 20 report, home energy report, the savings are only 21 effective during the period of time that the report is 22 being delivered to the customers. 23 Q. Okay. Mr. Rogers, do you recall Mr. Caisley 24 explaining his frustration with Staff's supposed 25 inability to settle?

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1	Α.	Yes.
2	Q.	And do you recall him explaining his prior
3	experienc	e with the MEEIA programs and applications?
4	A.	Generally.
5	Q.	Given your experience in this field, have you
6	ever inte	racted with Mr. Caisley at a demand-side
7	managemen	t quarterly meeting?
8	Α.	I do not recall him attending any meetings,
9	although	I may have missed one or two in ten years.
10	Q.	That answers my next question. Have you ever
11	interacte	d with Mr. Caisley at an EM&V meeting?
12	A.	No.
13	Q.	At an IRP meeting?
14	A.	No.
15	Q.	Have you interacted with Mr. Caisley at a
16	market potential meeting?	
17	A.	No.
18	Q.	Have you been involved with all the MEEIA
19	applicati	ons?
20	Α.	Yes, I have.
21	Q.	And Staff hasn't always recommended approval
22	in every	MEEIA application?
23	Α.	Correct.
24	Q.	Do you agree with the characterization that
25	Staff is	being inconsistent now in recommending a

1 disapproval of a MEEIA application? 2 Α. No, I do. MR. HALL: No further questions. 3 4 JUDGE CLARK: Any cross-examination from 5 National Resources Defense Council? 6 MR. ROBERTSON: No, Judge. 7 JUDGE CLARK: Any cross-examination from Renew Missouri? 8 9 MR. OPITZ: No, thank you, Judge. 10 JUDGE CLARK: Any cross-examination from the 11 Company? MR. STEINER: Yes, Your Honor. Good morning, 12 Mr. Rogers. Welcome back. 13 14 THE WITNESS: Good morning. 15 CROSS-EXAMINATION BY MR. STEINER: 16 Staff Rebuttal Report. Let's go to page 39. 0. 17 Α. Okay. 18 And looking at lines 18 and 19, I'm having Ο. 19 some trouble figuring out this \$88 number on line 19. 20 Do you see that? 21 Α. Yes. 22 Look at your work papers. I'm going to give a 0. 23 copy of them to you. 24 MR. HALL: Roger, what page? 25 MR. STEINER: 39.

1	BY MR. STEINER:
2	Q. And it's a spreadsheet so that always throws
3	me off immediately. If you look at I'm seeing that
4	the \$88 number should be \$85.88?
5	A. Looking at the work paper, I would let's
6	see. Looking at the work paper that you provided to me,
7	I would have to agree.
8	Q. Okay. So can we make that correction there in
9	the testimony the \$88 figure?
10	A. That should be \$86.
11	Q. Or \$85.88?
12	A. That would be fine.
13	Q. Okay. All right. So the point of the now
14	corrected number \$85.88 is because a nonparticipant
15	customer pays approximately \$86 more over seven years,
16	Staff is saying that Section 393.175.4 is not satisfied
17	by the application; is that correct?
18	A. Yes.
19	MR. STEINER: Okay. Thank you. I think
20	that's all I have.
21	JUDGE CLARK: Just for my clarity, can
22	somebody tell me what that 175.4 you just talked about
23	was?
24	MR. STEINER: That's the MEEIA statute that
25	says

I just want to know what that 1 JUDGE CLARK: 2 section says that relates to that. 3 MR. STEINER: It says that programs need to be 4 approved by the Commission, result in energy demand 5 savings and are beneficial to all customers in the 6 customer class in which the programs are proposed 7 regardless of whether the programs are utilized by all 8 customers. That's the MEEIA statute, part of it. 9 JUDGE CLARK: Thank you. Any questions from the Commission? 10 11 COMMISSIONER HALL: No questions. Thank you. 12 JUDGE CLARK: I've got a few questions, 13 Mr. Rogers. It may take a little bit just for the 14 clarity of the record from my perspective. 15 QUESTIONS BY JUDGE CLARK: 16 What is MEEIA? Ο. 17 Α. Missouri Energy Efficiency Investment Act. 18 And what are the components of MEEIA? 0. 19 The act allows the utilities to voluntarily Α. 20 request approval from the Commission of energy 21 efficiency programs and demand response programs. And 22 if approved, it also includes approval of a demand-side programs investment mechanism which allows the utilities 23 24 to recover cost of the programs to deliver the programs, 25 as well as a throughput disincentive to recover the cost

1 of basically the lost margin revenue through a reduction 2 in sales as a result of the programs. The third component of the investment mechanism is an earnings 3 4 opportunity. What is a throughput disincentive? 5 Ο. Throughput disincentive, let me explain it 6 Α. 7 this way. Utilities under traditional regulation are 8 incented to make more sales because more sales means 9 more revenue and all else equal it means more profit. 10 So there's a disincentive for the utilities to engage in 11 energy efficiency programs because it reduces the level 12 of sales that they would otherwise have. And so the throughput disincentive is to recognize that and provide 13 14 a way to make the utility whole for those sales that 15 they otherwise would have made if there were no 16 programs. 17 0. And how does the MEEIA mechanism work? That's not a very good question. Why are avoided costs 18 19 important to Staff? 20 Α. Avoided costs provide the benefits to the 21 customers in several different ways. The primary issue 22 in this case is the avoided cost of capacity. I 23 mentioned earlier, Judge, that in the IRP Staff had no 24 problem with the GMO avoided cost and the reason we 25 didn't is because GMO needs new supply-side resources.

And so the energy efficiency programs have a lot of
 value for a utility that needs supply-side resources and
 especially when they need them as soon as GMO does.

GMO needs resources, supply-side resources 4 5 very early in the planning horizon. So to the extent 6 that the programs can reduce in particular the need for new capacity, avoided capacity, the programs add a lot 7 8 of value. What's happened since the IRP and the MEEIA 9 case is that KCP&L and GMO now have a joint network integrated transmission service agreement with SPP. 10 And 11 they operate differently than they've operated prior to 12 that agreement.

13 Prior to that agreement they were standalone utilities and they were analyzed that way in both the 14 15 IRP and the MEEIA. Once that joint agreement, the network integrated transmission services agreement was 16 17 put in place, we have to analyze GMO and KCP&L as one utility. When we do that, the benefits to GMO go away 18 in this case because on a combined basis now the 19 20 combined utilities does not need any new generation for 21 20 years at least. And so the benefits from the program 22 to the avoided cost of capacity on a joint basis are 23 very minimal.

24 Staff has -- to contrast the vast difference 25 in the application that the Company has and what Staff's

position is we've just calculated everything using zero,
 although Mr. Luebbert recognizes that there are some
 other benefits through SPP but they're very minor.

Now, yesterday when Mr. Luebbert was 4 0. 5 testifying, he indicated that it was so many years out 6 in the future, that there was capacity for GMO in so 7 many years out in the future, that there was capacity 8 for Kansas City Power & Light. And those were two 9 different numbers and maybe I just don't understand. 10 Both those numbers I believe were in the teens. It was 11 like 14 and 16 or 13 and 16. Does that small a 12 difference in the number make a difference in the need for supply-side resource or is it just because the SPP 13 14 where you're looking at it as approval?

A. If I'm recalling what you're referring to was
the need to have some generation added in the 14th year
of this 16th year.

18

Q. That's correct.

A. That's true. What you have to look at is the difference between when those generating units are needed without any demand-side programs and then with demand-side programs. And what happens in this case is it doesn't matter. If you have the Cycle 3 programs or you don't, the same resource supply-side resources are needed. So it doesn't postpone the need for any

supply-side resources having the programs, if that makes
 sense.

Q. It does. There's been a lot of questions regarding the belief by the Company that there's been a change in how Staff evaluates avoided costs. Has there been a change in how Staff evaluates avoided costs?

A. There's been a change over time. There's not
been a change in the Ameren Cycle 3 case and the KCP&L
and GMO Cycle 3 cases. I can talk more on that but --

Q. I'm not so much interested in the comparison as I'm interested in what it is that has made Staff change the way in which it looks at avoided costs.

A. Staff has not changed the way it looks at
avoided costs. We follow the Commission rules in each
IRP case or MEEIA case. I don't believe we have changed
the way we view avoided cost.

Q. Now, Ms. Dietrich testified yesterday that there was a rule revision to the MEEIA rules in 2017 in regards to the 4240-20.094 the impacts of retirements and postponed new plant that may have affected how Staff is examining avoided costs. You don't believe that's the case?

A. Well, the rule did change. Even prior to the rule change Staff was performing its analysis consistent with the rule change. Let me be sure I have the right

rule reference. I have the MEEIA rules with me. 1 Tt's 2 09 -- is it -- can you tell me --I'm sorry. I think it was 4240-20.094 3 Ο. subdivision 3 and 4. 4 5 Α. Okay. If I'm off, I apologize. I just wrote it down 6 Ο. 7 yesterday when it was being talked about. 8 Α. Okay. Subdivision -- okay. Section (4)(C) and then subsection 4 under (C). So when -- this is in 9 10 the MEEIA rule, but it's using analysis from Chapter 22 11 to express the impact of, and this is what 094(4)(C)412 The impact from all demand-side programs reads. 13 included in the application on any postponement of new 14 supply-side resources and the early retirement of 15 existing supply-side resources including annual and net 16 present value of any lost utility earnings related 17 thereto. So this is -- This part of the MEEIA rule is 18 requiring that the utilities calculate the impact and 19 present it in the MEEIA application, the impact for any 20 postponement of new supply-side resources or the early 21 retirement of any existing supply-side resources as a 22 result of the MEEIA programs. 23 Ο. And that's what Staff is looking at as the avoided cost? 24 25 It's actually what Staff is looking at is the Α.

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earnings opportunity impact of having the demand-side 1 2 programs. Because no supply-side resources are being postponed, there's no lost future earnings opportunity 3 4 from those supply-side resources that are being invested 5 Utility earns on its investments. And if the MEEIA in. 6 programs are not moving in time the need for those 7 investments, there's no lost earnings opportunity and 8 that's the case in this MEEIA application.

9 Q. Okay. That makes sense. You'll have to bear 10 with me. As I said, a lot of these questions are 11 foundational. What do you mean when you say net present 12 value?

13 The net present value is just taking a stream Α. of annual cost or in the MEEIA case they could be annual 14 15 benefits over time. So the cost of the programs occur in the three years and a little more. The second three 16 17 years for the low income programs. So you have costs in 18 The benefits from the programs occur over a each year. 19 longer period of time.

In fact, some of the benefits go out as much as 20 or 25 years depending upon what the efficiency measure is. And those benefits result from the reduction in the need for energy or capacity and the valuing of those savings, energy and capacity savings, by using the avoided cost of energy or the avoided cost

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1	of capacity. So you have a stream of costs over time.
2	You have a stream of benefits that occur over time and
3	even a longer time in the future. What the net present
4	value does is it uses the utility's cost of capital to
5	discount that stream of cost and benefits back to the
6	first year of the stream. So in this case when the
7	application was filed the first year of the program was
8	to be 2019. So you would present value the cost and
9	benefits back to that year so that you can compare
10	everything on an equivalent basis. This recognizes the
11	time value of money.
12	Q. Okay. What are the components that you look
13	at when determining whether a program is cost effective?
14	A. The statute says that the total resource cost
15	test, the TRC, is a primary test, it's not the only one.
16	Q. Can you explain that total resource cost test
17	to me?
18	A. Total resource cost test will take the it
19	will present value, as I just described, the cost of the
20	program and so that's the utility's cost to deliver the
21	programs including the incentives they pay to customers,
22	the cost to administer the programs and to do the
23	evaluation, measurement and verification. The TRC also
23 24	evaluation, measurement and verification. The TRC also includes the participant's cost of the upgrade in the

customer that engages in the program. So there's the cost, and they occur over time, so that gets present value, and then the TRC also calculates the present value of the benefits from a program or the whole portfolio. Again, those benefits occur over a long period of time. We talked about how you value those benefits.

8 Energy savings in kilowatt hours times the 9 avoided cost of energy plus if there's any capacity 10 savings times the avoided cost of capacity and it gets 11 present value. The TRC just takes the present value of 12 the benefits and divides it by the present value of the 13 If the TRC is greater than one, it tells you that cost. 14 the benefits on a net present value basis are greater 15 than the cost on a net present value basis. TRC greater 16 than one we say that measure or that program or that 17 portfolio is cost effective.

18 Now, you said because of SPP and because of 0. 19 both companies participation in SPP that Staff 20 determined that it was necessary to examine the 21 companies, the two companies as a singular unit. Do vou 22 believe that because of SPP, the Commission has two 23 applications before it, that because of SPP that the 24 Commission has to look at these two applications as 25 linked? In other words, I mean, would the Commission be

1 free to, say, approve one application and reject the 2 other? As I've already testified, prior to the joint 3 Α. 4 network integrated transmission service agreement, Staff 5 would have said, you know, this is a wonderful program for GMO and we're not so sure about KCP&L. But because 6 of that agreement, the joint NITS agreement, Staff has 7 8 taken the position in this case that they should be 9 evaluated as a joint utility. 10 Are the programs between the two companies 0. 11 substantially similar? 12 Α. Yes. Is the only difference that resulted in, if 13 Ο. 14 Staff looked at them individually, between GMO, is the 15 only difference between Staff would have recommended 16 approving GMO and not recommending approving Kansas City 17 Power & Light Company, is the only difference the 18 availability of supply-side resources? 19 Α. Yes. 20 Ο. I think one final --21 That's the overwhelming difference. Α. 22 Actually that does bring up one other 0. 23 question. I'm not exactly sure, and maybe I just didn't 24 understand from your testimony, why Staff was -- well, actually I think I understand but maybe you can 25

reiterate to me, why Staff was okay with them using a 1 2 hypothetical combustion turbine as an avoided cost for GMO and not for Kansas City Power & Light Company? 3 The reason is GMO is a standalone utility, 4 Α. 5 needs additional resources right now. 6 0. And that's what I thought you were going to 7 say. My last question is, I've heard a lot of mention 8 about the IRP. I've heard a lot of -- I remember 9 reading testimony that there was -- that in the way the 10 Company had valued avoided cost fundamentally violated 11 the future resource planning as required by the IRP. Do 12 you understand what I'm talking about? 13 Let's go through that again. Α. That did get a little convoluted. 14 Ο. Okay. I'11 15 start simply. I've heard a lot about the IRP. Why are we talking about the IRP in relation to MEEIA? Is there 16 17 a relationship between them? There's definitely a relationship between the 18 Α. 19 two. 20 0. How would they relate? 21 Well, in the IRP -- the primary function of Α. 22 the IRP is for the utility to perform a comprehensive planning study in order to understand the impact on 23 24 revenue requirements, on rates, the impact on their 25 financial metrics for different alternative resource

plans. The utility selects an adopted preferred resource plan and then they're expected to execute that plan. Under the Chapter 22 rules they have to select an adopted preferred resource plan. The plan that they select in Chapter 22 should be the same plan that they file in MEEIA.

7 Chapter 22 also includes a requirement that if 8 the utility's business plan that they're executing is 9 different than their adopted preferred resource plan 10 that they need to come to the utility and explain why 11 their plan is different or have a new adopted preferred 12 resource plan. There has to be a linkage between 13 Chapter 22 and the preferred resource plan in Chapter 22 14 and what you do in MEEIA.

Q. In this case, did the plan put forth in MEEIA, was that the same plan that was put forth in their preferred resource plan?

18 Generally, but the problem we have here is Α. 19 that the same deficiencies and concerns that Mr. Hall 20 had me read off they still apply today except they now 21 apply to both utilities and not just to Kansas City 22 Power & Light because in the IRP we were still reviewing 23 the utilities as separate utilities because there was no 24 -- at that time there was no joint network integrated 25 transmission service agreement with MISO -- excuse me,

with SPP. 1 Okay. Thank you. I appreciate 2 JUDGE CLARK: Those are all the questions that I have. 3 that. Any 4 recross based upon bench questions? The Office of 5 Public Counsel? 6 MR. HALL: Yes, briefly. 7 RECROSS-EXAMINATION BY MR. HALL: 8 Q. Mr. Rogers, in response to a question from 9 Judge Clark, you remarked about changes in the Company's 10 position as from IRP to the MEEIA application. Is the 11 Company's election of PISA another change from during 12 the IRP planning to now? 13 The last part of the question? Α. Let me rephrase that. Has the Company's 14 Ο. 15 election of plant-in-service accounting been another 16 change from the IRP planning until now? I believe so. I believe that future IRPs 17 Α. 18 would include the new legislation for plant-in-service 19 accounting. 20 THE COURT REPORTER: I'm sorry? 21 THE WITNESS: Plant-in-service accounting; is 22 that right? 23 MR. HALL: Yes. No further questions. Do we 24 need to take a moment for the court reporter to get the 25 answer correctly? I think I spoke over the witness for

1 a moment. 2 JUDGE CLARK: Ms. Bentch, do you need a If you want to read the question and answer 3 moment? 4 back to him, that might help him. 5 THE COURT REPORTER: How about if I read the 6 question? 7 THE WITNESS: Maybe I can answer it this way 8 that Senate Bill 564 will impact future IRP filings. 9 JUDGE CLARK: Go on. 10 MR. HALL: No, thank you. I have no further 11 questions. 12 JUDGE CLARK: Natural Resources Defense 13 Council is gone. Any questions from Renew Missouri? MR. OPITZ: Thank you, Judge. 14 15 RECROSS-EXAMINATION BY MR. OPITZ: 16 Mr. Rogers, you were discussing with Judge 0. 17 Clark about the benefits to GMO going away, do you 18 recall that, related to avoided capacity cost? 19 Α. Yes. 20 Ο. But that's a pretty narrow view of benefits of 21 the MEEIA program; is that right? Would you agree that 22 a MEEIA portfolio can result in environmental benefits? 23 Α. Yes. 24 And a MEEIA portfolio can result in certain Ο. 25 economic benefits in terms of spending on measures in

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TIGER COURT REPORTING, LLC WWW.TIGERCR.COM 573.999.2662 1 JUDGE CLARK: Any recross based upon bench 2 questions from the Company? MR. STEINER: Yes. 3 4 RECROSS-EXAMINATION BY MR. STEINER: 5 Do you recall discussing with Judge Clark 0. about SPP? 6 7 Α. Yes. 8 Ο. Do you recall, Mr. Rogers, didn't the Company 9 propose to view KCPL and GMO as a single -- view them 10 together several IRPs ago? 11 The Company has presented the combined utility Α. 12 in their IRP for a long time. 13 Right. Do you recall didn't Staff oppose it 0. at that time? 14 15 There may have been an IRP prior to the 2018 Α. 16 integrated resource -- or the triennial compliance 17 filing where Staff had opposed including the analysis 18 that we didn't feel it added value because the rule 19 required that the utilities be evaluated as separate 20 utilities but I would agree. 21 Okay. You had a discussion with Judge Clark Ο. 22 about rule changes. Do you recall that? 23 Α. Yes. 24 The rule that you were discussing, I believe 0. it specifies how work papers are provided by the 25

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1	Company.	
2	Α.	It does.
3	Q.	Isn't that what the rule is specifying?
4	Α.	It lists what the work what work papers
5	need to b	e provided and so work papers have to include
6	that requ	irement.
7	Q.	That's a totality of that rule change how work
8	papers	it specifies how the work papers should be
9	provided?	
10	Α.	But there was a new requirement and that was
11	this subs	ection 094(4)(C)4.
12	Q.	Right.
13	Α.	That was a new requirement.
14	Q.	New requirement of the work papers, correct?
15	Α.	New requirement that work papers be provided,
16	yes.	
17	Q.	Got it. Do you recall discussing with the
18	judge abo	ut how Staff has not changed its position?
19	Α.	Yes.
20	Q.	Okay. In MEEIA 1 and 2, did Staff recommend
21	an avoide	d cost of zero for KCPL?
22	Α.	No.
23	Q.	Did KCPL need capacity in those cases?
24	Α.	I don't recall. I don't recall.
25	Q.	Do you recall in those cases was the Company

building Iatan 2 to meet its capacity needs? 1 2 Α. No. Iatan 2 was already installed in 2010. So the Company wouldn't have needed capacity 3 0. at that time for MEEIA 1 and 2; is that correct? 4 The IRP is a long range plan of 20 years, and 5 Α. 6 what I'm struggling with is to recall the capacity 7 balance sheet and the need to add resources after Iatan 8 2 and when those resource, supply-side resource needs 9 occurred and not just in the preferred plan but again 10 you have to look at the adopted preferred plan with the 11 demand-side resources compared to the plan, the 12 alternative resource plan that had no demand-side resources. And I can't recall all the detail. 13 14 Ο. But you do recall that Staff did not recommend 15 an avoided cost of zero in those cases? 16 I do. I recall that. Α. 17 Ο. You did not recommend that, correct? You used the cost of a CT in those cases? 18 19 Actually, to my best recollection, this is the Α. 20 first case that the utility has used the cost of a CT in 21 the first year and every year of the 20-year planning 22 horizon. My best recollection is that the avoided cost 23 of capacity in earlier triennial compliance filings 24 began with a low level of avoided cost of capacity 25 because of the capacity, the existence of capacity in

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1	the marketplace even in the SPP. So you start with a
2	low number and the avoided cost would ramp up to a CT in
3	later years. That's my best recollection. This is the
4	very first time in an IRP where I recall seeing that CT
5	used even though there's no need for additional capacity
6	for the entire 20 years and yet the utility was using
7	the avoided cost of a CT in every year to evaluate the
8	demand-side programs.
9	Q. But Staff accepted KCPL's avoided cost number
10	in MEEIA 1 and 2 and that number was not zero; is that
11	correct?
12	A. Yes.
13	Q. Do you recall discussing with Judge Clark the
14	avoided what avoided cost of capacity meant?
15	A. Yes.
16	Q. Did you have a chance to review the Company's
17	alternative market based approach for avoided cost
18	values that was in its surrebuttal?
19	A. I didn't really focus on that. Mr. Luebbert
20	would have done that for Staff.
21	Q. So you didn't review it?
22	A. Not for comprehension.
23	MR. STEINER: Okay. Thank you.
24	JUDGE CLARK: Any redirect by Staff?
25	REDIRECT EXAMINATION BY MS. MERS:

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1	Q. Counsel for the Company asked you about your
2	work papers. Do you recall?
3	A. Yes.
4	Q. What was that work paper comparing?
5	A. The work paper was comparing this work
6	paper was to try to understand what the impact of a
7	nonparticipant customer bill would be recognizing that
8	there are some benefits from the energy market through
9	lower prices that would flow through to a little lower
10	bill for a nonparticipant.
11	Q. What are the primary ways that nonparticipants
12	would benefit in general, not in this application?
13	A. In general and not in this application?
14	Q. Like in a successful MEEIA program.
15	A. The primary benefit for a nonparticipant, and
16	the reason I think we have MEEIA legislated in the first
17	place, is to use energy efficiency programs to postpone
18	the need for large expensive supply-side resources.
19	Q. Would another benefit be in the form of
20	increased off systems sales revenue?
21	A. That does occur. That was actually part of
22	the analysis that was performed in this work paper.
23	Q. Did you recall testimony yesterday about
24	plants running less and the possibility of off systems
25	sales then being reduced?

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Α.

Yes.

2 How would that impact your work paper? 0. Well, it's included in this work paper. 3 Α. This work paper was the result of Staff Data Request 0019 4 5 where we basically asked the utility to tell us what the 6 benefit was from lower energy prices from the SPP, and 7 so the work papers actually looking at okay, here's a 8 nonparticipant, they're paying the MEEIA charge, and so 9 we're pro forming what the entire MEEIA charge would be 10 under the application and then what the reduction in the 11 price of energy would be as a result of the programs and 12 that comes from the integrated resource analysis which 13 is modeling the SPP marketplace. You received a question from Judge Clark about 14 0. 15 the throughput disincentive and what that's designed to compensate for. Do you recall? 16 17 Α. Yes. What is the earnings opportunity designed to 18 Ο. 19 compensate for that the throughput disincentive does not 20 cover? 21 I'm just going to read the definition Α. Okav. 22 of the earnings opportunity in the MEEIA rules so that I 23 don't get this wrong. 24 0. Okay. 25 Α. The earnings opportunity component of a DSIM

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means the methodology approved by the Commission in a 1 2 utility's filing for the demand-side program approval to allow the utility to receive an earnings opportunity. 3 4 An earnings opportunity component of a DSIM shall be implemented on a retrospective basis and all energy and 5 6 demand savings used to determine the DSIM earnings 7 opportunity amount shall be verified and documented 8 through EM&V reports. So the programs save energy and 9 they save demand.

10 And what we've had in recent MEEIA cases is a 11 recognition that some programs save energy, some 12 programs save demand. And there's actually the earnings 13 opportunity are not just the lost earnings. Can be and 14 there has been approved by the Commission some component 15 of the earnings opportunity to recognize that there's 16 value to the customers and the utility is compensated 17 for some of the lost -- some of the energy saved from 18 specific programs.

19 Q. But the demand component is the largest part 20 of?

A. Normally it is and that's what recent rulingsby the Commission has pointed in that direction.

Q. Okay. You were asked some questions by the
judge about the rule revision. Do you recall?
A. Yes.

1	Q. And you responded with Staff's prior analysis
2	was consistent with how that rule changed. Do you
3	recall that answer?
4	A. Yes.
5	Q. Can you explain if Staff weighted or valued
6	its analysis differently due to that rule change?
7	A. We did not.
8	Q. You were also asked about the differences
9	between the KCPL and GMO application in this case by the
10	judge and if avoided costs were the only reasons Staff
11	had issue. Do you recall?
12	A. In the MEEIA case?
13	Q. Yes, in this current case.
14	A. Can you ask that again?
15	Q. Sure. You were asked a question by the judge
16	about the if there were differences, I believe it was
17	in reference to programs, between the GMO and KCPL cases
18	or if it was primarily the avoided cost issue that Staff
19	had issue with the application. Do you recall that line
20	of questioning?
21	A. Yes.
22	Q. Did Staff have recommendations regarding
23	program design though?
24	A. Yes.
25	Q. Who would be the best witness for that topic?

1	Α.	Mr. Fortson.
2	Q.	You were also asked some questions by counsel
3	for Renew	about benefits. Do you recall that question?
4	Α.	Yes.
5	Q.	Do any of the other benefits that Mr. Opitz
6	was refer	ring to overcome Staff's issue about benefits
7	to nonpar	ticipants?
8	Α.	No.
9	Q.	And you were also asked by the Company's
10	counsel a	bout objecting to a combined IRP. Do you
11	recall th	at?
12	Α.	Yes.
13	Q.	When in time was that?
14	Α.	Well, that's what I was I think I testified
15	to. It wa	as prior to the most recent IRP so it would
16	have been	at least the 2015 IRP if not the 2012 IRP.
17	Q.	Okay.
18	Α.	Or possibly both, but I don't recall
19	specifica	lly.
20	Q.	So prior to the SPP agreement being in place?
21	Α.	Yes.
22	Q.	And you were also asked by counsel for the
23	Company al	bout the change to the rule regarding work
24	papers.	Do you recall that?
25	Α.	Yes.

Q. What's the importance of work papers in a
case?
A. The MEEIA applications are really built on a
lot of assumptions. And the work papers are very
important to allow transparency to see exactly how
different parts of the application were developed. I'll

stop at that point.

7

Q. Would a change in work paper focus, do you
9 have any belief on if that would indicate a change in
10 what should be analyzed or proven in a case?

11 Α. Yes. The revision to the MEEIA rules were 12 made and effective 2000 -- yeah, September of 2017. So the initial rules were in effect 2011 and some time went 13 14 by and we all learned through experience with Cycle 1 15 and Cycle 2 that there was the need to revise the rules 16 to make them more consistent with some of the 17 Commission's orders as well as just some of the 18 experience that we've had with what's working well and not working well and how can we make this whole process 19 20 better. And the addition of the rule that -- the rule 21 change in 094(4)(C)4 was actually one that at that time 22 Chairman Hall initiated as part of the rulemaking 23 because he was interested in that. He was interested in understanding how the MEEIA programs were impacting the 24 25 utilities earnings opportunity.

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1	Q. You were also asked by counsel for the Company
2	about previous their previous MEEIA applications. Do
3	you recall that line of questioning?
4	A. Yes.
5	Q. Are there differences in this case in the
6	cycle's application from the prior two?
7	A. Well, of course, yes.
8	Q. Turned my mike off. I apologize. Could you
9	explain or shed some color on how with each review of
10	each proposed cycle that Staff has developed more
11	understanding of how MEEIA programs are designed, how
12	they're evaluated and ultimately how they benefit
13	customers or how benefit for customers may be derived?
14	A. Yes. In Cycle 1, there wasn't much focus on
15	the benefits for all customers, and my recollection is
16	that there was no real analysis of benefits for all
17	customers including nonparticipants in Cycle 1. We were
18	too busy moving up the learning curve. MEEIA was brand
19	new. And we learned a lot from Cycle 1. As we moved
20	into Cycle 2, we started to see things a little
21	differently and some different issues were raised during
22	the process of processing MEEIA Cycle 2 and benefits for
23	all customers became an issue in the MEEIA Cycle 2 case
24	and specifically in the Ameren application for their
25	MEEIA Cycle 2, and the Commission had three when they

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1	rejected Ameren's MEEIA Cycle 2, they had three areas
2	that they were really focused on and one was benefits
3	for all customers. And the direction that we got from
4	the Commission as a result of their Report and Order in
5	the Ameren MEEIA Cycle 2 case caused all the parties and
6	the utilities to consider benefits for all customers as
7	they negotiated the settlement that resulted in both the
8	Ameren and the KCP&L and GMO MEEIA Cycle 2 stipulation
9	and agreements that made Cycle 2 possible.
10	Q. Finally, you had some discussion with the
11	judge regarding how the IRP and MEEIA are interrelated.
12	Do you recall that line of questioning?
13	A. Yes.
14	Q. Did the Company vary the timing of the
15	demand-side the DSM implementation within the IRP
16	analysis?
17	A. Did they vary In other words, did they
18	start the implementation later than the first year?
19	Q. Yes.
20	A. No, they did not.
21	Q. And how would that have been helpful to
22	understand the net present value revenue requirement
23	effects of a MEEIA Cycle 3 compared to future cycles?
24	A. Well, this would not be well, it could be
25	part of an IRP. It was not. So you're basically asking

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1	me if there were no Cycle 3 now but there were a Cycle 3
2	later in time; is that the question?
3	Q. Would that analysis have been helpful to
4	understand the most beneficial or lowest net value
5	present net present value of revenue requirement?
6	A. Possibly. I mean, what we have presently and
7	for this case it's primarily the analysis that's in the
8	application's updated Appendix 8.11. We have a number
9	of different alternative resource plans that were
10	evaluated for the MEEIA case. We don't have any that do
11	what you're suggesting. We have no MEEIA and then we
12	have a variation of different levels of MEEIA and
13	different assumptions that Mr. Crawford offered for
14	consideration.
15	Let me just say this related to the question.
16	We've never looked at postponing a MEEIA, the timing of
17	MEEIA. So we've never really any of us have had any
18	experience with doing that kind of analysis.
19	MS. MERS: Did I have nothing further.
20	Thank you.
21	JUDGE CLARK: Mr. Rogers, you can step down.
22	(Witness excused.)
23	JUDGE CLARK: Staff, you can call your next
24	witness.
25	MR. HALL: Your Honor, if I may. If the next

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1	witness is Tammy Huber, I indicated last night that we
2	may have questions. We've determined that Public
3	Counsel has no questions. So unless any other party has
4	questions of that witness, or the Commission, of course,
5	I believe she can be waived.
б	JUDGE CLARK: Is there any party that has
7	questions for Ms. Huber? Does Ms. Huber have individual
8	testimony or just as part of the report?
9	MS. MERS: Just part of the report.
10	JUDGE CLARK: And what does her part of the
11	report concern?
12	MS. MERS: She discusses the customer feedback
13	and the surveys that the Company has performed.
14	JUDGE CLARK: Does the Commission have any
15	questions in regard to that? If all the parties are
16	willing to waive Ms. Huber's appearance, that would be
17	fine with the Commission.
18	MS. MERS: All right. Then Staff would call
19	Brad Fortson to the stand.
20	JUDGE CLARK: Mr. Fortson, would you raise
21	your right hand to be sworn?
22	(Witness sworn.)
23	JUDGE CLARK: Please be seated and state and
24	spell your name for the record.
25	THE WITNESS: Brad, B-r-a-d, Fortson,

1	F-o-r-t-s-o-n.
2	MR. PRINGLE: Good morning, Mr. Fortson.
3	THE WITNESS: Good morning.
4	BRAD FORTSON, being sworn, testified as follows:
5	DIRECT EXAMINATION BY MR. PRINGLE:
6	Q. Could you please tell us by whom are you
7	employed and in what capacity?
8	A. I'm employed by the Missouri Public Service
9	Commission as a utility regulatory manager.
10	Q. Did you contribute to the Staff Report in this
11	matter that has been marked as Exhibit 101?
12	A. I did.
13	Q. Did you also provide a Surrebuttal Testimony
14	in this case?
15	A. I did.
16	Q. That Surrebuttal Testimony has been marked as
17	Exhibit 103?
18	A. Yes.
19	Q. Do you have any changes or corrections to the
20	Staff Report or your Surrebuttal Testimony?
21	A. I do not.
22	Q. And the information contained in both the
23	report and your surrebuttal testimony is true and
24	correct to the best of your belief and knowledge?
25	A. Yes.

MR. PRINGLE: At this time I would move to 1 2 enter into the record Exhibit 103. JUDGE CLARK: I believe 103 is the data 3 request interrogatories for Mr. Luebbert. I think 102 4 5 is the surrebuttal. MR. PRINGLE: 102 is the surrebuttal. 6 7 JUDGE CLARK: Am I incorrect on that? 8 MR. PRINGLE: Yes, you are correct, Judge. 9 That's my mistake. 10 JUDGE CLARK: So you're moving for the admission of Exhibit 102? 11 12 MR. PRINGLE: 102, yes. JUDGE CLARK: Any objections to admitting 13 14 Exhibit 102 onto the hearing record? I hear no 15 objections. Exhibit 102 will be admitted onto the 16 hearing record. 17 (STAFF'S EXHIBIT 102 WAS RECEIVED INTO 18 EVIDENCE AND MADE A PART OF THIS RECORD.) MR. PRINGLE: At this time I tender Mr. 19 20 Fortson for cross-examination. 21 JUDGE CLARK: Any cross-examination from the 22 Office of Public Counsel? 23 MR. HALL: Yes, thank you. Good morning, Mr. Fortson. 24 25 THE WITNESS: Good morning.

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CROSS-EXAMINATION BY MR. HALL: 1 2 Did you hear Mr. Rogers explain that you would 0. be a better witness to describe home energy reports and 3 4 persistence? 5 Α. I did, yes. 6 0. Let me rephrase the question for you then. 7 What is Staff's issue with the home energy reports as 8 proposed by the Company as to this issue of persistence? 9 Could you explain that? 10 Yeah, that's an issue that we've actually Α. 11 brought up in the past that we've had concerns with. As 12 it relates to persistence, as Mr. Rogers touched on, 13 it's with the home energy report that they have a 14 one-year measured life or assumed to have a one-year 15 measured life. So with a majority of energy efficiency 16 measures, they have a longer measured life. So there is 17 more persistence. Once that energy efficiency measure 18 is installed, is assumed to have multiple years of 19 savings, hence the persistence with the home energy 20 report. Given that it's assumed to have just a one-year 21 measured life, there is no persistent savings and the 22 thought behind that is then that the home energy report 23 has to be received year after year and those costs have 24 to be -- would ultimately be borne by ratepayers year after year in order to get those savings each year. 25

1	Q. Mr. Fortson, when I read your testimony you
2	respond to Mr. Mosenthal and you talk about energy
3	efficiency resource standards; is that correct?
4	A. Correct.
5	Q. Is Missouri an energy efficiency resource
6	standard state?
7	A. No, it is not.
8	Q. Has anyone in this docket purported to say
9	that Missouri is an energy efficiency resource standard
10	state?
11	A. No. Mr. Mosenthal just compared Missouri to
12	other states that have energy efficiency resource
13	standards.
14	Q. I think that actually touches upon my follow
15	up. I was going to ask why did you bring it up in your
16	testimony then?
17	A. It was mainly to illustrate well, one, to
18	point out that Missouri does not have an energy
19	efficiency resource standard but second to illustrate
20	just where Missouri ranks within all states,
21	specifically those that have an energy resource standard
22	and to point out those savings of those states with an
23	energy efficiency resource standard compared to
24	Missouri.
25	Q. Under an energy efficiency resource standard,

1 regulated utilities are required to produce so much 2 energy efficiency, correct? Correct. 3 Α. It's similar to a renewable energy standard? 4 Ο. 5 Α. Correct. You stated earlier that Missouri is not an 6 Ο. 7 energy efficiency resource standard state. Are energy 8 efficiency programs an entitlement under Missouri? 9 Α. Utilities are not obligated to provide energy 10 efficiency programs in Missouri. 11 Thank you. Mr. Fortson, were you in the Ο. 12 hearing room yesterday when I spoke with KCPL witness 13 Brian File? 14 Α. Yes. 15 Do you recall me asking him about the number Ο. 16 of, quote, events called in the residential demand 17 response program? 18 I remember that discussion. Α. 19 Can you speak to the residential demand Ο. 20 response program? 21 Yeah. At a high level. Α. 22 Can you tell me what it means to call an event 0. 23 then? 24 In the residential demand response? Α. 25 Ο. Yes.

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1	A. Basically within that program customers that
2	want to participate are ultimately given a free
3	thermostat on the notion that the company can call an
4	event that would adjust their thermostat. I don't
5	recall the actual numbers that were thrown out, numbers
6	of events, but it was very minimal.
7	Q. Do you remember me Do you remember Mr. File
8	noting that the number of events called has decreased
9	from 2016 to 2018?
10	A. Yes, I do recall that.
11	Q. Is that decreasing number concerning for you?
12	A. Yeah, it is a concern. Actually I believe
13	that Staff witness Mr. Luebbert spoke to that and
14	Staff's overall concern with just that entire design and
15	lack of events being called.
16	Q. Mr. Rogers noted that you could speak to
17	program design. What exactly is Staff's problem with
18	the design of residential demand response program?
19	A. Actually as it pertains to demand response
20	Mr. Luebbert can speak to that better or in more detail.
21	I believe his testimony does that. But ultimately with
22	the program design as I mentioned there is a big concern
23	with the giving of thermostats and the minimal use of
24	events called.
25	Q. Thank you. Mr. Fortson, can you speak to

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Staff's position on cost recovery? 1 2 Α. Yes, actually I can. So we made a recommendation that only programs that are cost 3 effective should be eligible for cost recovery and 4 5 earnings opportunity recovery. That's really in my mind 6 just our position has evolved to that. I would point to 7 the statute that really is the basis for that 8 recommendation 393.1075 (3.) I'll just read it and try 9 and emphasize on the part that we really focused on. It 10 shall be the policy of the state to value demand-side 11 investments equal to traditional investments in supply 12 and delivery infrastructure, and here's what I would emphasize, and allow recovery of all reasonable and 13 prudent costs of delivering cost-effective demand-side 14 15 programs.

I would then refer down to subsection (3) that states provide timely earnings opportunities associated with cost-effective measurable and verifiable efficiency savings.

20 Q. Am I understanding you correctly that that 21 recommendation is being made because of your reading of 22 the statute?

A. Yes.

23

Q. Has Staff made a similar recommendation beforeregarding cost savings in a MEEIA application?

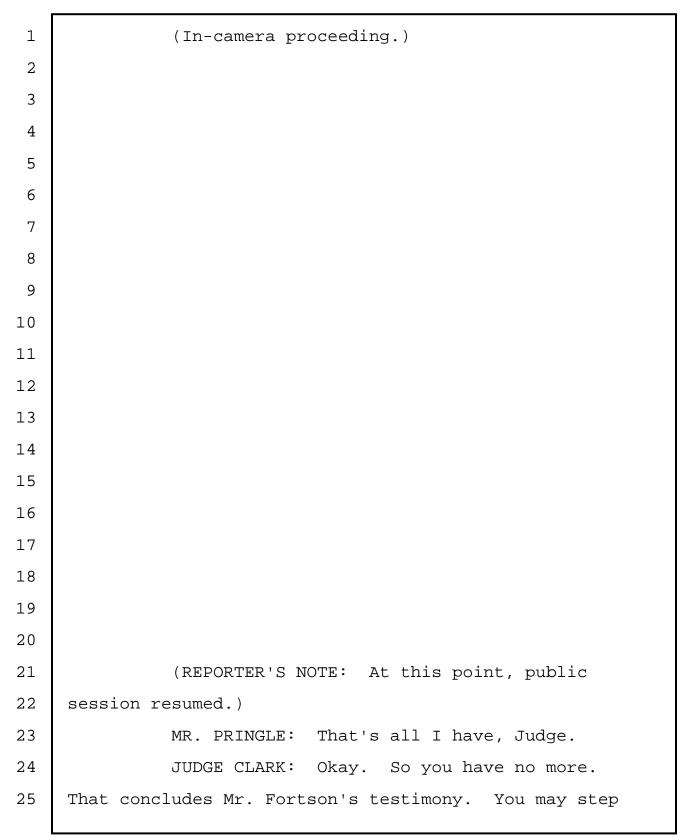
Not to this extent. I would say that the 1 Α. 2 timing of this compared to say, for instance, Ameren's 3 application, we had ultimately reached settlement by the time this thought came to be. 4 When you say Ameren's application, are you 5 Ο. 6 referring to Cycle 3? 7 Α. I am, sorry. 8 MR. HALL: No further questions. Thank you. 9 JUDGE CLARK: Any cross-examination from Renew Missouri? 10 11 MR. OPITZ: No, thank you, Judge. 12 JUDGE CLARK: Any cross-examination from the 13 Company? 14 CROSS-EXAMINATION BY MR. FISCHER: 15 Mr. Fortson, you were talking about I think 0. 16 the cost effectiveness of the programs and you found 17 that there weren't very many that were cost effective. 18 Do you recall that? 19 Based off Staff's avoided cost analysis, yeah. Α. 20 Ο. Did you have the occasion to review the 21 Company's market-based capacity approach in reviewing cost effectiveness? Did Staff do that? 22 23 No, not to my knowledge. I did not do that. Α. 24 MR. FISCHER: Judge, can I go in-camera? 25 JUDGE CLARK: Yes, hold on. Okay. We are

1	in-camera.
2	BY MR. FISCHER:
3	Q. Mr. Fortson, would you turn to page 8.
4	JUDGE CLARK: Wait two seconds. I'm sorry.
5	If there's anybody in the room who's not authorized to
6	hear this information, is not part of a party that's in
7	the room, I'm going to have to ask you to exit. Okay.
8	I don't see anybody. You can continue.
9	(REPORTER'S NOTE: The hearing went into an
10	in-camera proceeding.)
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1 2 3 (REPORTER'S NOTE: At this point, public session resumed.) 4 5 MR. FISCHER: With that, Judge, I think that's 6 all the questions I have. Thank you. 7 JUDGE CLARK: Any questions from the Commission? 8 9 COMMISSIONER RUPP: None from me. 10 JUDGE CLARK: Any redirect from Staff? 11 MR. PRINGLE: One moment, Judge. 12 REDIRECT EXAMINATION BY MR. PRINGLE: 13 Mr. Fortson, I just want to ask you real quick 0. 14 about the component of Ameren's EO. Did any component 15 of Ameren's earnings opportunity matrix require the 16 program to be cost effective? 17 Α. Yes. I believe the home energy report had a requirement within the matrix of being cost effective. 18 19 MR. PRINGLE: And also, Judge, this is where I 20 would like to go in-camera. I'll be basing question off 21 of the Company's. 22 JUDGE CLARK: Okay. We'll go in-camera. Go 23 ahead. 24 (REPORTER'S NOTE: The hearing went into an 25 in-camera proceeding.)



down. 1 2 (Witness excused.) JUDGE CLARK: Staff, you may call your next 3 4 witness. 5 MS. MERS: Staff would call Dana Eaves to the 6 stand. 7 JUDGE CLARK: Would you raise your right hand 8 and be sworn? 9 (Witness sworn.) JUDGE CLARK: Please be seated and state and 10 11 spell your name for the record? 12 THE WITNESS: My name is Dana Eaves, D-a-n-a. 13 Last name is E-a-v-e-s. DANA EAVES, being sworn, testified as follows: 14 15 DIRECT EXAMINATION BY MS. MERS: 16 By whom are you employed and in what capacity? 0. 17 Α. I'm employed with the Missouri Public Service 18 Commission as a Utility Regulatory Auditor V. 19 Did you contribute to Staff's Rebuttal Report 0. 20 that has been marked as Exhibit 101 public and 21 confidential? 22 Α. Yes. 23 Do you have any changes to that testimony? Q. 24 No. Α. 25 0. Is that testimony true and accurate to the

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best of your knowledge and belief? 1 2 Α. Yes. If I asked you the same questions today, would 3 0. 4 your answers be the same? 5 Α. Yes. MS. MERS: I will tender the witness for 6 7 cross. 8 JUDGE CLARK: Any cross-examination from the 9 Office of Public Counsel? 10 MR. HALL: None at this time. Thank you. 11 JUDGE CLARK: Any cross-examination from Renew 12 Missouri? 13 MR. OPITZ: No, thank you, Judge. 14 JUDGE CLARK: Any cross-examination from the 15 Company? MR. FISCHER: Just briefly, Judge. Good 16 morning, Mr. Eaves. 17 18 THE WITNESS: Good morning, Mr. Fischer. CROSS-EXAMINATION BY MR. FISCHER: 19 20 0. I understand that you're the Staff witness, 21 the technical witness sponsoring the topic of earnings 22 opportunity in Staff's rebuttal testimony. I think it 23 begins on page 81 of the report; is that right? 24 Α. Yes. Would you agree with Ms. Dietrich, as she 25 0.

1 testified yesterday, that there are also public policy 2 reasons to support energy efficiency programs and DSM 3 programs?

Α.

Yes.

Q. Do you agree with her that MEEIA creates an opportunity to change that financial incentive to better align the utility's financial interest with the public interest in encouraging efficient use of energy?

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A. Pretty long question. One more time.

Q. Let me ask it this way. Do you agree that one of the purposes of MEEIA is to better align the utility's financial interest with the public interest in encouraging the efficient use of energy?

A. Yes.

Q. Now, as I understand your statements in the Staff Report at page 86, lines 14 and 15 you say for these reasons Staff cannot recommend approval of the earnings opportunity proposed by GMO and KCPL in their application; is that right?

20 A. Yes, and that sentence relates to the previous21 paragraph.

Q. And that explains your concerns with what theCompany proposed, right?

A. Correct.

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Q. Did you propose an alternative earnings

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1	opportunity level in the Staff's Rebuttal Report?
2	A. No.
3	Q. Is it correct that Staff is not recommending
4	the adoption of any earnings opportunity for KCPL and
5	GMO in this case?
6	A. Based upon the application that's filed,
7	correct.
8	Q. Without an earnings opportunity to financially
9	benefit from offering energy efficiency programs or DSM
10	programs, do you believe that public utilities in
11	Missouri are likely to promote wholeheartedly energy
12	efficiency and demand-side management programs?
13	A. Yes.
14	Q. They'll do that without any financial benefit?
15	A. I think there are financial benefits to MEEIA
16	absent an EO. I think you can get there. You won't
17	have the EO. You won't have that part of it, but you do
18	have the recovery of the throughput disincentive and
19	expedited recovery of costs.
20	Q. Hasn't the Commission recognized that under
21	traditional regulation the financial incentive or the
22	financial incentive is really for the electric utility
23	to try to sell as much electricity to its customers as
24	it can?
25	A. That's correct. But the EO as a substitute

1 for that. I think just as there can be other benefits 2 to the utility's customers because of energy efficiency 3 there can be benefits absent an EO to the utility as 4 well.

Q. So Staff believes that there is a financial reason for the Company, absent any opportunity to earn anything on the programs, for the Company to provide energy efficiency and demand-side management programs?

I think it depends on which perspective you're 9 Α. looking at. I mean, if you're not delaying or pushing 10 11 off a resource, absent -- there would be no avoided 12 investment and therefore you would have no return. If, 13 you know, if you're looking from a perspective of providing energy efficiency programs and a timely cost 14 15 recovery of those as well as recovery of any lost sales 16 margins that you would have through the throughput 17 disincentive, then, yeah, I think there can be 18 advantages to the Company for that.

Q. I may misunderstand Staff's position I guess, but you're suggesting that the Company will benefit even if there is no earnings opportunity given for getting customers to reduce their consumption levels?

- 23
- A. I think we may be talking past each other.
- 24 Q.
- 25
- . Probably so.
- A. Are you talking about Staff's position or are

you having an open question about I think you asked me
 can a utility benefit without an EO and I tried to
 explain what my position was, not necessarily Staff's
 position.

Q. Okay. Well, from your perspective, would it be better to let successful energy efficiency and DSM programs expire than give the opportunity an opportunity to earn a return on that?

9 A. I think as the Company has presented their 10 application, the application doesn't meet the statutory 11 requirements that would allow Staff to move forward on 12 working with the Company as far as designing an EO that 13 would be appropriate.

Q. Well, that wasn't my question. It was really would it be better to let successful energy efficiency programs and DSM programs expire than to give the Company an opportunity to earn a return on their investment in those programs?

19 A. I guess I'm not seeing the connection there.
20 Are you saying should we allow -- should Staff -- or
21 should the Commission allow the Cycle 2 to expire as
22 designed and not replace it with a Cycle 3; is that what
23 you're asking?

24 Q. What I'm asking is, yes, whether it would be 25 better for the Commission from a public policy

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1	standpoint to let all the energy efficiency and DSM
2	programs expire than to give the Company an earnings
3	opportunity which it's had in MEEIA 1 and 2? In this
4	case Staff is recommending zero for earnings
5	opportunity, right?
6	A. That's correct, because you haven't met the
7	statutory requirements that would allow Staff
8	Q. And that's based upon your view of what's cost
9	effective programs
10	JUDGE CLARK: Mr. Fischer
11	MR. FISCHER: I'm sorry?
12	JUDGE CLARK: you asked two questions
13	there. He answered the second one. First I'd like to
14	go back to the first one.
15	MR. FISCHER: Could I ask the court reporter
16	to read that back?
17	(The last question was read back by the court
18	reporter.)
19	JUDGE CLARK: That's the question.
20	THE WITNESS: I think you're going to need to
21	rephrase the question. I don't think I can answer that.
22	I'll let you rephrase.
23	BY MR. FISCHER:
24	Q. What don't you understand about that?
25	A. The last part of it giving an EO to the

Company somehow. 1 2 Ο. Let's not use the term EO. Maybe that's the problem. Would it be better to let the Company's 3 successful energy efficiency and demand-side management 4 5 programs expire so we have those no longer in our 6 service territory if that meant that the Company needed 7 to have a financial benefit from doing the --8 Α. I think I know what you're asking me but I 9 don't know that I can answer the question you've posed 10 in the way that you've posed it. Cycle 2 for KCP&L and 11 GMO will expire absent a Cycle 3 or even with a Cycle 3, 12 Cycle 2 will expire. The Company has presented an 13 application to move forth with a Cycle 3 as designed. 14 That Cycle 3 Staff has posed no earnings opportunity 15 because of in Staff's view the programs are not cost effective because of an erroneous avoided cost 16 17 projection and there's not benefits for all customers. 18 The third leg of that stool would be verified savings 19 through EM&V, and I don't know that Staff has an 20 argument with the verified savings part in its current 21 case.

Q. MEEIA, I believe, says that it shall be the policy of the state to value demand-side investments equal to traditional investments and supply and delivery infrastructure and allow recovery of all reasonable and

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1	prudent costs of delivering cost effective demand-side
2	programs. In support of this policy the Commission
3	shall, and then if you go to the part about earnings
4	opportunity, it says in subsection 3 provide timely
5	earnings opportunities associated with cost effective
6	measures and verifiable efficiency savings. Is that
7	your understanding?
8	A. Yes. If you go to like the next paragraph 4,
9	it says beneficial to all customers in the customer
10	class. There's a lot more words but basically that's
11	the key phrase.
12	Q. I'd like for you to turn to page 81 of your
13	testimony I'm sorry. It's not 81 where you lay
14	out KCPL and GMO's earnings opportunity what they
15	propose from 7.9 million to 11.3 million for KCPL and
16	10.1 to 14.4 for GMO.
17	A. Yes, I'm there.
18	Q. What page are you on?
19	A. I'm on 82, Table 7 GMO.
20	Q. That's right. Okay. That's where I wanted to
21	go. Assuming that the Commission found that all of the
22	programs were cost effective, would the Staff have an
23	issue with granting the earnings opportunity that the
24	companies have proposed on those pages?
25	A. Yes.

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1	Q. Staff doesn't see the earnings opportunity as
2	the financial incentive for the Company to do a good job
3	in implementing the energy efficiency programs; is that
4	right?
5	A. Say that again. I'm sorry.
б	Q. Staff does not see the earnings opportunity as
7	the financial incentive for the Company to do a good job
8	of implementing cost effective energy efficiency
9	programs and DSM programs?
10	A. I don't think that's correct. I think we do.
11	Q. You do.
12	A. Earnings opportunity we used to call it
13	performance incentive back in other cycles. The
14	performance incentive was just that. It was an
15	incentive for the Company to deliver the programs in a
16	cost effective
17	Q. I totally agree with you. And if that's the
18	case, then why does it matter whether we're deferring
19	capacity?
20	A. Because that's part of the statute. They need
21	to be treated on an equal basis supply side and demand
22	side.
23	Q. And if the provision of these programs reduces
24	the present value revenue requirements to all customers,
25	doesn't that mean that those programs are cost effective

EO-2019-0132 & EO-2019-0133 Vol 2 and should be implemented by the Company? 1 2 Α. I don't believe so. Not how the modeling is done because it's absent some other -- can be absent of 3 4 some other components. Let's set that aside and assume that the 5 0. Commission found that these were cost effective 6 7 programs. Now, under the statute, shouldn't the Company 8 -- or shouldn't the Commission provide a timely earnings 9 opportunity associated with cost effective measures? 10 Yes, and they have. Α. 11 But your Staff is recommending zero in this Ο. 12 case, but that's based upon your concern that they're not cost effective and that there's no deferral of 13 14 capacity, right? 15 And not benefits for all customers. Α. 16 If the Commission finds that they are cost 0. effective based upon the companies, then would the Staff 17 18 find that an earnings opportunity is appropriate? 19 I don't think the Commission needs Staff Α. 20 approval to find anything that they would like to do. 21 They can find what they want to do. 22 So how would you recommend to the Commission 0. 23 to develop an earnings opportunity in its order in this 24 case? I don't know that the Commission has -- I 25 Α.

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1	think all earnings opportunity for all the various
2	cycles for all the various companies have been in
3	negotiated settlement. I don't know how to I don't
4	know if there's a tried and true approved methodology to
5	do it.
б	Q. So how did you develop the earnings
7	opportunity that totaled \$30 million in the Ameren case?
8	A. I don't know.
9	Q. You don't know?
10	A. I was not involved in settlement, no.
11	Q. It was just a matter of negotiation?
12	A. I was not involved in the settlement
13	discussions. I do not know.
14	Q. Assuming the Commission found that all of the
15	Company's programs were cost effective since they reduce
16	the revenue requirements in this case, and assuming that
17	the Company accepted Staff's conditions and suggested
18	modifications to its programs, what total earnings
19	opportunity would Staff support for the Company in this
20	case?
21	A. I haven't done any calculations on what would
22	be an appropriate earnings opportunity based upon facts
23	and circumstances that I'm not aware of.
24	Q. What specific principles does Staff use to
25	come up with an earnings opportunity recommendation in

1	the aggregate?
2	A. Again, I don't know if there's a specific
3	matrix that I would have in mind in order to do it. I
4	think it would have to be as a result of appropriate
5	values that were taken into consideration either in
6	another application filed by the utility or through some
7	negotiation process.
8	Q. So Staff can't give the Commission
9	recommendations on general principles about how to come
10	up with an earnings opportunity?
11	A. I think we could, but I can't do it sitting
12	here today on facts and circumstances.
13	Q. Does Ameren's three-year plan specifically
14	defer any supply-side resources?
15	A. Again, not being involved in directly
16	involved in negotiations, my best recollection is no.
17	Q. So they didn't defer any resources but you did
18	come up with a \$30 million earnings opportunity, right?
19	A. The parties came up with that number, yes. I
20	did not.
21	Q. So it was just a matter of negotiation out of
22	the air we came up with 30 million?
23	A. I doubt that I would use the phrase out of the
24	air. It has to be
25	Q. I'm sorry. That's pejorative. I'll withdraw

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1	that. If Staff's overall goal in this case is a zero
2	for earnings opportunity, is Staff really just trying to
3	tell the Company they should terminate these programs
4	and do not do energy efficiency and demand-side
5	management programs?
б	A. That is not Staff's goal is to provide a zero
7	earnings opportunity. The zero earnings opportunity is
8	based upon the Company's application as filed.
9	Q. And the Company has requested 7.9 to 11.3
10	million for KCPL and 10.1 to 14.4 for GMO and Staff has
11	said it ought to be zero, right?
12	A. Yeah. On the high side quick math is about 25
13	million if you meet the cap. And based upon the three
14	factors that I've talked about before, yes, it would be
15	zero and it revolves around the avoided cost issue.
16	Q. Yeah, the avoided cost issue is really the
17	ball game here, isn't it? If the Commission decides
18	that you have to defer capacity in order to have an
19	energy efficiency program, then we might as well go
20	home, right, because we're not deferring capacity for
21	quite awhile, right?
22	MR. HALL: Objection, argumentative at this
23	point.
24	MR. FISCHER: I'm sorry. I don't want to be
25	argumentative. Let me ask excuse me. Go ahead,

Judge. 1 JUDGE CLARK: I was going to overrule it. Why 2 don't you just rephrase it so it's not argumentative, 3 4 because I think you have a legitimate question. I think 5 you can get there. 6 MR. FISCHER: Ms. Bentch, would you read that 7 back? I'm getting old. I can't recall exactly how I 8 phrased that. 9 JUDGE CLARK: That would be sustained as to 10 the form of the question. 11 MR. FISCHER: Thank you. 12 (The last question was read back by the court 13 reporter.) 14 THE WITNESS: That's the question you'd like 15 for me to answer? MR. FISCHER: If you can, please. 16 17 JUDGE CLARK: That's the question you were 18 going to rephrase. MR. FISCHER: 19 That's the question I'm going to 20 rephrase. Okay. 21 BY MR. FISCHER: 22 Okay. So as you pointed out, avoided cost is 0. 23 a major issue in this case and that's because the 24 Company as a combined company doesn't need to defer 25 capacity or to build a new power plant or come up with a

supply-side resource for about 16 years; is that right? 1 2 Α. I think that's the -- I think that can be a quick answer. I would like to expand on that a little 3 bit. 4 5 Ο. Okay. Well, in redirect they'll do that for 6 you. That was also the issue in the Ameren case, right? 7 They didn't need any capacity for about 16 years either, 8 correct? 9 Α. That's true. 10 And yet you came up with an earnings 0. 11 opportunity in settlement of \$30 million for their 12 programs, right? I did not. Staff did. It was negotiated. 13 Α. Okay, Staff did. 14 Ο. 15 I think there was significant program changes, Α. 16 program design changes. There was some other 17 significant issues. But again, I was not in on 18 settlement negotiations. So the capacity situation is similar and that 19 0. 20 means the avoided cost situation is similar and so I would take it that Staff would conclude that they 21 22 weren't cost effective if they had a zero avoided cost, 23 correct, in Ameren? 24 Determining cost effectiveness, a key Α. 25 component of that is the savings or the avoided cost

1 that you experience as a result of the programs, yes.
2 Q. And yet you have come up with an earnings
3 opportunity of about \$30 million for Ameren; but as I
4 understand your testimony, you don't have an alternative
5 for the Company in this case, right?

A. That \$30 million again was developed through
settlement negotiations and significant redesign of
programs and I'm sure that there was a lot of other give
and take in order to get that earnings opportunity or
the performance incentive for delivering, you know,
strong, robust, meaningful energy efficiency programs in
the state.

13 Let me ask you one more time I guess. I don't 0. mean this to be argumentative. But if the Commission 14 15 finds that these programs are cost effective and statute 16 says that they are to provide a timely earnings 17 opportunity associated with cost effective measures, how 18 would Staff recommend that you develop an earnings 19 opportunity? How should they do that from the bench?

A. Again, I think that's a key component is the cost effectiveness, but again I think that's leaving out the very important issue of benefits for all customers. And I think John Rogers touched on that showing that nonparticipants, they don't get the benefits, and so I think we have to address that. I don't know that just

1 solving the avoided cost issue resolves all the issues 2 with your application. Well, Mr. Eaves, do you agree that if the 3 Ο. 4 programs reduce the net present value revenue 5 requirements to all customers that there would be benefits to all customers? 6 7 Α. No. 8 MR. FISCHER: Okay. I think we've got a 9 fundamental disagreement. I have no further questions. Thank you. 10 11 JUDGE CLARK: Any redirect by Staff? 12 Well, actually, I'm sorry. Hold on. Are 13 there any Commission questions? 14 COMMISSIONER RUPP: I have some. 15 JUDGE CLARK: Go ahead. I apologize. 16 COMMISSIONER RUPP: Good afternoon. 17 THE WITNESS: Good afternoon. 18 OUESTIONS BY COMMISSIONER RUPP: I'm just trying to clarify. So Staff's 19 0. 20 position is is that it is better for the ratepayers to 21 let MEEIA 2 expire and to replace it with nothing than 22 to approve this application? 23 Α. Yes, because it doesn't meet the statutory 24 requirements as I'm aware of. 25 It's better for ratepayers or it doesn't meet 0.

the words in the statute? 1 2 Α. All ratepayers -- as the utility's application stands today, it doesn't provide benefits to all 3 4 customers. So when you say ratepayers, ratepayers that 5 participate in the programs may receive some benefit. Participants that -- or customers that do not 6 7 participate in the programs do not receive any benefits. In fact, it's a material detriment to them whether it's 8 9 \$88 or \$86 or whatever from the analysis, and that's 10 based upon a lot of assumptions going forward as well. 11 So there could be more. There could be less. But as 12 the application is filed and stands, there's not benefits for all customers. All customers will receive 13 -- all residential customers will receive the MEEIA 14 15 charge whether they participate or not. The application is unclear whether or not 16 17 there's benefits for nonparticipating customers and, you 18 know, my experience with MEEIA programs are, you know, 19 we have to really take a close look at the benefits provided to participants. If I buy just one light bulb 20 21 and receive a rebate or markdown on that light bulb, 22 what benefits do I receive? I'm a participant but do I 23 receive the full benefits. I think there's some real 24 questions there about that.

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Q. Let me ask a question. Thank you for that

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So does Staff believe that the benefits to 1 answer. 2 nonparticipants need to be the same as the benefits to participants? 3 4 I'm sorry. One more time. Α. Does Staff believe that the benefits to 5 Ο. 6 nonparticipants needs to be the same as benefits to 7 participants? 8 Α. I think there needs to be some level of 9 benefits to all customers. 10 But they don't need to be the same? 0. 11 You would hope that there would be some equity Α. 12 there; but if you participate in a program, you may 13 receive more benefits by participating in a program than 14 if you do nothing. 15 So like where does Staff decide that cutoff Ο. 16 If you don't participate, you need to have this is? 17 amount of benefit regardless if you did or where is the 18 magic number for Staff for the nonparticipating benefit? 19 Well, I think the magic number would be, you Α. 20 know, the customer is no better off and no worse off 21 because of the program and if you have strong robust 22 energy efficiency programs there's sufficient long-term 23 benefits to nonparticipating customers that they benefit 24 just by the existence of the programs. That's not what we have here in this application. 25

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Q. Just help me. I'm just trying to get the big
picture. If you have a program, the whole idea of the
program is to encourage energy efficiency, is to
encourage participation and you have a program that I'm
going to benefit or I'm not going to be harmed or it's
going to be the same if I don't participate, would there
not be a larger incentive for me to participate if there
wasn't as much of a benefit to the nonparticipant as
there is to the participant from a price signal
standpoint?
A. Price signals are a key. You know, utility
sponsored energy efficiency programs as they're designed
under MEEIA, it's not the same as if I'm my utility
doesn't have a MEEIA program and I go out and I provide
energy efficiency measures at my own expense to my own
home. I'm going to see a direct benefit by less energy
consumption, therefore hopefully a cheaper bill. The
way the MEEIA programs are designed and why the avoided
cost or the benefits for all customers are so important
is that the Company is being rewarded with not only a
timely cost recovery of the actual program costs as well
as the throughput disincentive has been described before
it's the incremental or the marginal cost of the lost
energy sales as well as an earnings opportunity or a
profit for providing that. So there's a lot of costs

involved in the utility sponsored MEEIA program and 1 2 that's why it's so important to maximize the benefits 3 provided to customers that participate and customers 4 that don't participate. You know, the barriers involved 5 in participating in these programs can be substantial to 6 a certain class of customers. So you know, I think, you 7 know, I know Staff is very sensitive to benefits for all 8 customers. The statute is very sensitive to benefits 9 for all customers. So you know, the details are very, 10 very important on these types of applications and that's 11 why we're here today is because these details are so 12 important. I hope that answered your question. I don't know if it did. 13

Q. I appreciate it. I'm just trying to understand and learn here. I appreciate your expertise. So the fact that the revenue requirement would be lowered under the program is not enough of a benefit for non-customers in Staff's opinion?

19 Well, I think -- again, I think there may be Α. 20 some fundamental disagreements about what that actually 21 represents and I think a big piece that it may exclude 22 is the opportunity to make additional off system sales 23 revenue. And so, you know, I think that is something 24 the Commission and others can take a look at, but I don't think it's just we meet that therefore we need to 25

bless it and go forward. I think there can be some 1 2 nuances that that calculation doesn't show. And then you made a statement in your 3 Ο. interaction with the Company's counsel about in the 4 5 absence of a MEEIA program you said there's a benefit or 6 a financial benefit for the Company to have an energy efficiency program. Can you explain to me without any 7 financial incentive from the Commission or what-have-you 8 how is there a financial benefit to a company to reduce 9 10 electricity sales? 11 Well, again, if you look at it from a cash Α. 12 flow standpoint, you know, with the riders that they have, the FAC. Just by having an FAC, fuel adjustment 13 14 clause, or fuel adjustment clause rider, that's a 15 benefit. Having a MEEIA rider and allowing for costs to 16 be recovered outside of a general rate case, that's a benefit. And I think, you know, there's, you know, 17 we've talked a lot about non financial benefits. 18 Ι think those benefits occur any time a utility has an 19 20 energy efficiency plan. 21 I guess I'm not -- Maybe I didn't make my Ο. 22 question clear because I'm not following your answer. Ι 23 thought you had stated with the Company's counsel that 24 there's a financial incentive for the Company to have an energy efficiency program without having a MEEIA 25

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program; that they have a benefit to sell, there's a financial benefit to sell less electricity even though their model is to sell more electricity. I didn't understand how you came to that statement.

A. I think I was putting that in the context of it not having an EO component. The three-legged stool and you have timely cost recovery, throughput disincentive recovery, as well as EO. You don't have as much of a financial incentive. You take that reward away for offering.

Q. If MEEIA 2 is allowed to expire and not replaced with anything, would the Company have a financial incentive to have an energy efficiency program all on their own?

A. We do have a utility in the state, a regulated utility in the state that provides some level of energy efficiency outside of MEEIA. And so how much of a financial incentive that would be, I think the big picture was can you do energy efficiency without an EO and my response was yes, you can.

Q. I agree with you you can, but is there a financial incentive?

A. Is there a financial responsibility. I thinkthere can be.

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Q. Explain to me how there can be a financial

incentive. I'm just trying to understand. I'm not
 being combative. I don't understand how -- the business
 model is to sell more electricity.

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A. Certainly.

Q. I understand there's a social benefit, there's a public perception benefit. I get all that of why a company might have one. When it comes down to financially to have energy efficiency program to sell less electricity, explain to me how there's a financial benefit to that company.

11 Well, I think the utility that we currently Α. 12 have in the state provides energy efficiency programs 13 although limited. I don't think they would sign on to 14 it if they lost money with it. So I think there can be 15 some financial component built into a non MEEIA program. 16 What that would be, I don't know, be based on the 17 program design I think and some other issues. You know, 18 I think there can be -- out there for utilities to 19 provide energy efficiency programs outside of MEEIA. 20 That being said, I think MEEIA is probably the most 21 effective way to provide energy efficiency programs in 22 the state. We've got the legislation, we've got 23 certainly we have experience Cycle 1, Cycle 2 and one 24 utility has a Cycle 3. I think we can get there under 25 MEEIA. We have -- but with the application as filed,

Staff hasn't been able to get there. 1 2 COMMISSIONER RUPP: I think that's all I have. 3 Thank you. 4 JUDGE CLARK: Redirect by Staff? MR. HALL: Your Honor, can the parties have a 5 6 chance to respond to questions from the bench? 7 JUDGE CLARK: I apologize. Recross from the Office of Public Counsel? 8 9 MR. HALL: Thank you. I will be brief. RECROSS-EXAMINATION BY MR. HALL: 10 11 Mr. Eaves, you had a back and forth with 0. 12 Commissioner Rupp regarding I think he called it the 13 cutoff for determining benefits and I trust that your 14 answer explained that cutoff. I want to try to have 15 that rephrased for people like me who failed in law 16 school. Assume we're neighbors. You're a participant 17 in an energy efficiency program and I'm not. So you've 18 got the energy efficient HVAC. I'm not in your house so 19 I'm literally not getting the benefit you're having of 20 whatever benefits come from the energy efficient HVAC, 21 correct? 22 That's fair. Α. 23 Ο. The benefits I'm going to receive, that's the 24 avoided costs that the utility would have to incur, may have to occur in the future but for the energy 25

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1	efficiency programs you're adopting, correct?
2	A. I think I follow, yes.
3	Q. And we know that there's an avoided cost
4	because the measure you've adopted wouldn't be cost
5	effective, correct?
6	A. One more time on that question.
7	Q. To ensure that I the nonparticipant got a
8	benefit of there being this avoided cost?
9	A. And you're a participant?
10	Q. No, you're the participant.
11	A. I'm the participant.
12	Q. Yes, I'm the nonparticipant. For me to have
13	received the benefit of the avoided cost, that's
14	determined by showing that there's cost effectiveness to
15	the measure you've adopted as a participant, correct?
16	A. Part of it, yes.
17	Q. Thank you. You were also asked about why a
18	utility would pursue energy efficiency without an
19	earnings opportunity. Are you familiar with the utility
20	Empire District Electric Company?
21	A. I am.
22	Q. It has an energy efficiency program, correct?
23	A. It does.
24	Q. You're familiar with Empire's Gas Utility as
25	well, correct?

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-	A. Not as much. I ve been in electric for a long
2	time.
3	Q. You're familiar with
4	A. I am.
5	Q. And gas is a different form of energy, but are
6	you aware that Empire Gas has another energy efficiency
7	program?
8	A. Yes, I think so.
9	Q. Again, gas being a different form of energy.
10	Does Ameren Gas have an energy efficiency program?
11	A. I believe they do, yes.
12	Q. Do you know about Spire Gas?
13	A. I believe all the gas utilities have some form
14	of energy efficiency program.
15	Q. Including Summit in Liberty?
16	A. I believe all the gas utilities within the
17	state that's regulated by the Commission has some form
18	of energy efficiency program outside of MEEIA. Those
19	gas utilities, I don't believe they meet the MEEIA
20	criteria.
21	Q. This isn't technically energy at all, but
22	American-Water, does that have a water use or water
23	efficiency program?
24	A. I don't know.
25	Q. Of those programs that you know, of all the

gas and Empire Electric we discussed, do any of those 1 2 have an earnings opportunity associated with those 3 energy efficiency programs? I doubt it. 4 Α. 5 MR. HALL: Thank you. No further questions. 6 JUDGE CLARK: Any recross from Renew Missouri? 7 MR. OPITZ: No, thank you, Judge. 8 JUDGE CLARK: Any recross from the Company? 9 MR. FISCHER: Mr. Eaves, thank you very much 10 for your patience with my questions. I don't have any 11 other questions. Thank you. 12 THE WITNESS: Thank you, Mr. Fischer. 13 JUDGE CLARK: Okay. Before we do redirect, 14 we're going to break for lunch. Why don't we break 15 until -- it's almost 12:30 now. Why don't we break 16 until 1:30. We'll come back and pick up with the 17 redirect. We'll go off the record. 18 (Off the record.) JUDGE CLARK: Let's go back on the record. 19 20 When we left off, it was an opportunity for the 21 Commission Staff to redirect its witness, Dana Eaves. 22 MS. MERS: Good afternoon. 23 THE WITNESS: Good afternoon. REDIRECT EXAMINATION BY MS. MERS: 24 25 0. You were asked some questions about if it

would be better public policy to allow programs to
 expire or for customers just to pay the earnings
 opportunity. Do you recall that?

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A. Yes.

Q. Could you explain what would be better public policy out of the options to let the MEEIA programs expire or for customers to pay for not cost effective programs?

9 I think that's the problem here is that, you Α. 10 know, MEEIA is designed to provide timely cost recovery, 11 recovery of lost margin sales, as well as provides for 12 an earnings opportunity to the Company to provide energy 13 efficiency programs to their customers. That regulatory 14 compact is that there's some guidelines that need to be 15 followed in order to grant the Company the authority to 16 do such and a privilege to do such.

And so it is, you know, in my mind it's 17 18 Staff's and other parties' duty to review the Company's 19 application and make sure that that regulatory compact 20 is being followed. So you know, it's really the 21 Company's choice. It's not my choice or the Staff's 22 choice as to whether or not Cycle 3 continues. Cycle 2 23 for KCP&L and GMO was approved and it has an end, 24 although it has been extended. And so in my mind it's 25 really up to the Company to provide an application that

the other parties can sign onto in order to allow for a 1 2 Cycle 3 to be implemented. You mentioned guidelines. What guidelines are 3 0. you referring to and where can they be found? 4 5 Α. It's statutory language to protect ratepayers. 6 Ο. You were also asked by the Company's counsel 7 about allowing an earnings opportunity for cost 8 effective programs. Do you recall? 9 Α. Yes. Could you explain if there's a difference 10 0. 11 between allowing an earnings opportunity versus allowing 12 what the Company has requested for an earnings 13 opportunity? 14 Α. Certainly. I'll try at least. MEEIA is 15 comprised of basically three components and that is a 16 timely cost recovery of costs associated with the 17 implementation of programs, a throughput disincentive 18 which I've talked about before which is a recovery of 19 lost sales, the marginal component of lost sales, as 20 well as an opportunity to earn a return for an 21 investment that would otherwise have been made. And so 22 that balance between those three components I think is 23 important. 24 One thing to realize in that three-legged 25 stool, the Company is made whole under timely cost

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1	recovery of program costs and incentives, as well as the
2	throughput disincentive. So the Company is whole. The
3	earnings opportunity is just that next step of incentive
4	for the Company to provide these programs. It doesn't
5	say in the statute at what level it needs to be
б	provided. And so I think that's where a lot of our
7	discussion is today about the EO is what level of EO
8	should exist.
9	Q. So then it sounds like what you were saying is
10	that the throughput disincentive is what's making the
11	utility whole for the lost revenues?
12	MR. FISCHER: Objection, Your Honor, leading
13	questions here.
14	MS. MERS: Could you explain.
15	JUDGE CLARK: I'm going to sustain the
16	objection.
17	BY MS. MERS:
18	Q. Could you explain when you said that the
19	earnings opportunity is the next part, you know, the
20	addition to that making the utility whole about how that
21	comes into play if there is not a missing piece, you
22	know, the foregone investment?
23	A. Okay. Sometimes looking at this and being
24	involved in it so close sometimes it's hard to explain
25	in a way that others that are not so immersed into it is

1 difficult sometimes. So let me see if I can explain
2 this.

In the Company's application, they clearly 3 4 state the program budgets that they plan to spend for both companies is approximately \$96 million. They will 5 6 recover that on a timely basis through a MEEIA charge on 7 a customer's bill. There's mechanisms in place in order 8 to change that rate, true that rate up, making sure that 9 any over/under recovery is recognized. So the Company 10 is made whole with the program costs that are spent.

11 The other part is the throughput disincentive. 12 That's a large part as well. And in the Company's case 13 I believe it's approximately \$42 million that they're 14 going to be able to recover as far as what's termed lost 15 sales. So they're going to recover that. That can be 16 an economic benefit for the Company because these are 17 lost sales are projected, estimated. We know what the 18 costs are. But still lost sales even through EM&V is an 19 estimated value. So if the programs -- if the customers 20 don't save as much as what was thought to be saved, the 21 Company will keep that additional throughput 22 disincentive so there can be a financial incentive, as 23 well as any earnings opportunity which is a substitute 24 for the earnings that they would have foregone if they 25 would have had to build or invest in a plant. Here in

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this case there is no investment being made by the 1 2 Company. You mentioned that sometimes it's hard to step 3 Ο. 4 back and explain this in simpler terms. Is there a 5 definition that exists for like throughput disincentive 6 anywhere? 7 There is. In the statute and the rules. Α. See 8 if I can find. Earnings opportunity, there does --9 there is one that exists in the rules. Would you like 10 me to read that? 11 Yeah, for the record that would be great. 0. 12 It's 4 CSR -- take that back. They've Α. renumbered them. But it is in the MEEIA section of the 13 14 rules. It's paragraph S earnings opportunity component 15 of a DSIM means the methodology approved by the 16 Commission in a utility filing for demand-side program 17 approval to allow the utility to receive an earnings 18 opportunity. It goes on, any earnings opportunity 19 component of a DSIM shall be implemented on a 20 retrospective basis and all energy and demand savings 21 used to determine a DSIM earnings opportunity amount 22 shall be verified and documented through EM&V reports. 23 Ο. And is there a definition for throughput disincentive? 24 Yes, I believe it's VV is the paragraph. 25 Α.

1	Throughput disincentive component on the DSIM means the
2	methodology approved by the Commission in a utility
3	filing for a demand-side program approval to allow the
4	utility to receive recovery of throughput disincentive
5	with interest.
6	Q. Is there also a definition under 1 TT for
7	throughput disincentive?
8	A. I'm sorry. On what?
9	Q. On 1 TT, letter T twice?
10	A. I'm not seeing that reference.
11	MS. MERS: If I can approach.
12	THE WITNESS: Oh, I do see it. I'm sorry.
13	Yes. Throughput disincentive means the electric
14	utility's lost margin revenues that result from
15	decreased retail sales volumes due to the demand-side
16	programs.
17	BY MS. MERS:
18	Q. Do you recall being asked if in its MEEIA
19	Cycle 3 application if Ameren deferred an investment?
20	A. Yes.
21	Q. Do you recall a generation facility being
22	deferred for two years in that case?
23	A. I think that's correct, yes.
24	Q. You were having an exchange with Mr. Fischer
25	at one point regarding the lack of capacity needs in

KCPL/GMO and you were going to expand on a response and 1 2 counsel directed you to do so during redirect. Would you like to expand on the response you were giving about 3 the lack of capacity needs in the KCPL/GMO application? 4 Well, I think the subject was brought up 5 Α. 6 yesterday by a witness as far as being familiar and 7 being around the process for awhile. And I think, you 8 know, my tenure here has been such that I do remember a 9 time absent MEEIA and working through the MEEIA 10 processes in order to get to allow utilities an 11 opportunity to take advantage of MEEIA. That being 12 said, my belief is that when MEEIA was enacted it was a different time than it is today. I think regulatory 13 14 wise there was some looming on her horizon, some EPA 15 regulations, some generation requirements that may not be at play today because of market changes, specifically 16 17 the entrances into the regional transmission 18 organizations as they exist today. They're a fully 19 integrated market on both sides of the state today which 20 has changed the generation capacity needs and energy 21 prices. That being said, you know, trying to establish 22 MEEIA programs today ten years past when the legislation 23 was enacted, we have a lot of naturally occurring energy 24 efficiency taking place as well as technology changes. 25 It changed the landscape as well. So avoided costs that

1 may have been present or future environmental costs as 2 well as capacity costs when this legislation was 3 enacted, that market is not clearly there today. It has 4 changed.

I think from what we've heard capacity can range anywhere from nominal dollars up to 60 or \$70 is what the Company has provided in some market analysis. So capacity cost probably has dropped. Does that make energy efficiency programs less valuable? I don't know.

Q. Again returning to the line of questioning
regarding lapse in programs. Did Staff offer an
alternative level of MEEIA?

A. I don't know that I was directly involved in
any of the discussions related to that, but I know
through dialogue in my department that different levels
of MEEIA was offered.

Q. You were also asked some questions by Commissioner Rupp about if the net present value of the revenue requirement is lower does that benefit all customers. Do you recall that?

Α.

Yes.

21

Q. Do you know whose avoided cost figure was used in the calculation -- used in the IRP process to calculate that net present value of revenue requirement? A. Did you say do I know?

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Q. Do you know whose number it is?
A. It would have been the Company's, I think.
Q. Then you were asked by both the Company's
counsel and Commissioner Rupp about financial incentives
that exist to offer energy efficiency programs without
MEEIA. Do you recall that line of questioning?
A. I do.
Q. Could you explain were there energy efficiency
programs prior to the MEEIA legislation?
A. Yes.
Q. Do you recall if the Company took place or
took part in those programs?
A. Yes.
Q. You were asked some questions by the Company's
counsel about alternative earnings opportunities
recommendations. Do you recall that?
A. Yes.
Q. Do you have the Staff Rebuttal Report up
there?
A. Yes.
Q. Could you turn to page 86 of that report?
A. I'm there.
Q. So from lines 16 through 27, you kind of
outlined can you just explain that proposal that can
be found in line 16 through 27?

A. I've read it. Did you have a question?
Q. Yeah. Can you just explain to the Commission
if that's an alternative earnings opportunity proposal
and how that would work?

A. Yes, it is. And yeah, I think basically it says avoidance of additional distribution, targeted distribution costs such as the need not to build a substation or some other type of facility if you can avoid those costs with targeted energy efficiency programs, then that would be of benefit and one way to base an earnings opportunity on.

Q. And would a reason that you under questioning from counsel for the Company could not come up with an alternative value for that number, have you seen or can you recall any demonstration that that has happened in this case?

A. I think Staff Witness Luebbert said that he
asked for that information. They were not able to
provide any analysis of the savings associated with
targeted distribution plant needs.

Q. And finally you were questioned about the Ameren's Cycle 3 application and then the KCPL/GMO application by the Company's counsel. Do you recall that?

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A. Yes.

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1	Q. And it's been kind of a reoccurring theme that
2	the implication being the utilities are the same so how
3	could we get there in one case and not in the other.
4	Can you explain is there any RTO differences between
5	KCPL/GMO and Ameren?
6	A. Can I just state that there are a lot of
7	differences between the RTOs. I think the one that's
8	really germane to this case is MISO which Ameren
9	operates under as a transparent capacity market and I
10	think some of the other witnesses have explained how
11	Ameren is able to offer in their capacity and they buy
12	back capacity. SPP doesn't have one and from what I
13	read they really don't have an appetite to develop a
14	capacity market like MISO does.
15	MS. MERS: No further questions. Thank you.
16	THE WITNESS: Thank you.
17	JUDGE CLARK: Thank you, Mr. Eaves. You can
18	step down.
19	THE WITNESS: Thank you.
20	(Witness excused.)
21	JUDGE CLARK: Staff, you may call your next
22	witness.
23	MS. MERS: Staff calls Robin Kliethermes to
24	the stand.
25	JUDGE CLARK: Would you raise your right hand

to be sworn? 1 2 (Witness sworn.) JUDGE CLARK: Please be seated and state and 3 spell your name for the record. 4 5 THE WITNESS: Robin Kliethermes, K-l-i-e-t-h-e-r-m-e-s. 6 JUDGE CLARK: You may proceed. 7 MR. PRINGLE: Good afternoon, Ms. Kliethermes. 8 9 THE WITNESS: Good afternoon. 10 ROBIN KLIETHERMES, being sworn, testified as follows: 11 DIRECT EXAMINATION BY MR. PRINGLE: 12 By whom are you employed and in what capacity? 0. 13 I'm employed by the Missouri Public Service as Α. 14 the rate and tariff examination manager of the tariff 15 and rate design department. Did you contribute to the Staff Report in this 16 Ο. matter that has been marked Exhibit 101? 17 18 Α. Yes. 19 Do you have any corrections or changes to that Ο. 20 Staff Report? 21 Α. I do not. 22 And the information contained in that report 0. 23 is true and correct to the best of your belief and 24 knowledge? 25 Α. Yes.

1	MR. PRINGLE: With Ms. Kliethermes being our
2	final witness, I now move to enter into the record Staff
3	Exhibits 101C and P, the confidential and public Staff
4	Rebuttal Report.
5	JUDGE CLARK: Any objections to admitting
6	Staff Exhibit 101C and 101P onto the hearing record? I
7	hear none. Those will be admitted onto the hearing
8	record.
9	(STAFF EXHIBITS 101C AND 101P WERE RECEIVED
10	INTO EVIDENCE AND MADE A PART OF THIS RECORD.)
11	MR. PRINGLE: I now tender the witness for
12	cross-examination.
13	JUDGE CLARK: Any cross-examination by the
14	Office of Public Counsel?
15	MR. HALL: None. Thank you.
16	JUDGE CLARK: Any cross-examination by Renew
17	Missouri?
18	MR. OPITZ: No, thank you, Judge.
19	JUDGE CLARK: Any cross-examination by the
20	Company?
21	MR. STEINER: No, Judge.
22	JUDGE CLARK: That just leaves me.
23	QUESTIONS BY JUDGE CLARK:
24	Q. And my questions are going to be a little bit
25	more general because I don't really understand the home

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1	energy reports like I would like to and from the portion
2	I've read of the Staff's Surrebuttal Report I'm just
3	left wondering some things that are implied but not
4	really spelled out.
5	Is this a program that existed in previous
б	MEEIA cycles for these companies?
7	A. For GMO and KCPL, they had a home energy
8	report program in Cycle 1 and Cycle 2.
9	Q. And Staff is proposing discontinuing them for
10	Cycle 3?
11	A. Yes. So there's earlier Brad Fortson
12	testified he has a part of the report on the cost
13	effectiveness of the program. My testimony specifically
14	for MEEIA Cycle 3 talks about how the savings are
15	evaluated and some concern Staff has for MEEIA Cycle 3
16	in the evaluation process of the savings.
17	Q. And that's actually getting into kind of what
18	information do these home energy reports cover and why
19	is it in your opinion, why is it unsuccessful?
20	A. So in my understanding of what the home energy
21	reports, the information that they provide to customers
22	is a comparison of usage of an average household, your
23	household and, you know, a larger household and then
24	will have information on the report about general energy
25	efficiency measures that you can do to save energy, may

1 also provide links to the Company's website on where 2 their measures are located. It's just a report given to 3 the customer.

And to answer the rest of your question as to whether or not they are successful, Staff's concern is, or the testimony that I have in the report is that the savings that is being evaluated at this point that is attributable to the program seems high and we have concerns with the evaluation process that make that that level of savings may not be accurate.

When I say savings, that means that report has caused that customer collectively over the year to save that level of kWh.

Q. Can you point to something in particular?

A. Something?

16 Q. When you say we believe it overestimates 17 savings, why do you believe that?

A. So a few things. So the way the evaluation process is done, there's a few questions about pretreatment periods. So the comparison in time and where energy savings is being measured for the customer and secondly other home energy report programs for other utilities do not result in that level of kWh by about half.

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15

Q. Okay. So at least one metric is comparison to

other companies' home energy reports? 1 2 Α. Yes. Additionally, I believe I read in there that 3 0. 4 you had an independent auditor who expressed concerns 5 that they're just not being read? 6 Α. Yes. So Evergreen Economics has raised 7 several concerns. One, there's a large number of 8 reports that aren't being opened. Secondly, that they had concerns that a customer within the treatment group, 9 10 which is a customer receiving a home energy report, 11 their participation in other energy efficiency programs 12 isn't properly being accounted for. 13 JUDGE CLARK: That I think answers all my 14 questions. 15 THE WITNESS: Thank you. 16 JUDGE CLARK: Any cross-examination or 17 recross-examination based upon Commission questions? 18 Office of Public Counsel? 19 MR. HALL: No, thank you. 20 JUDGE CLARK: Renew Missouri? 21 MR. OPITZ: No, thank you, Judge. 22 JUDGE CLARK: Company? 23 RECROSS-EXAMINATION BY MR. FISCHER: 24 Ms. Kliethermes, as I understood what you were 0. 25 saying just now on home energy reports, it's not as

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1	effective as Staff would like it to be?
2	A. I don't know that that's the it's just that
3	the savings that currently are being reported as due to
4	that program Staff has concerns that those are
5	overstated, whether that leads to effectiveness which is
6	probably more related to Brad's testimony but yes.
7	Q. The Company would like for those reports to be
8	read but they're not all read?
9	A. True.
10	Q. Would it be better just not to try at all?
11	A. I don't know.
12	MR. FISCHER: Okay. Thank you.
13	QUESTIONS BY JUDGE CLARK:
14	Q. Actually since you brought that up, you
15	proposed some changes. You've indicated to the
16	Commission that if the Commission retains this program
17	that there should be some changes. What's an overview
18	of what those changes are?
19	A. Again, there was a couple Staff witnesses that
20	had testimony on this topic, but for the part of the
21	evaluation changes such as in the methodology of the
22	evaluation is defining the pretreatment and
23	posttreatment periods, making sure energy efficiency
24	measures that customers previously participated in are
25	properly being accounted for. And if there is a high

number of customers who aren't opening the reports that 1 2 that's accounted for in the estimation of savings 3 attributable to that program. Why would that last one matter? 4 Ο. 5 Α. Well, because if customers aren't opening the 6 report, if they're not seeing it, then is changes in 7 their usage attributable to the report. 8 JUDGE CLARK: Okay. That makes sense. A11 9 I'll ask again. Any cross based upon my right. 10 questions? OPC? 11 MR. HALL: No. 12 JUDGE CLARK: Renew? 13 MR. OPITZ: No, thank you. 14 JUDGE CLARK: Company? 15 MR. FISCHER: No, thanks. JUDGE CLARK: Any redirect by the Commission 16 17 Staff? 18 MR. PRINGLE: Yes, Judge. 19 REDIRECT EXAMINATION BY MR. PRINGLE: 20 Ο. Ms. Kliethermes, could you just explain to us 21 who is Evergreen or what is Evergreen? 22 So Evergreen is Staff's independent auditor. Α. 23 Ο. Staff has worked with Evergreen besides simply this case? 24 25 That is my understanding. Α.

MR. PRINGLE: No further questions, Judge. 1 2 JUDGE CLARK: Okay. Ms. Kliethermes, you can step down. 3 4 THE WITNESS: Thanks. (Witness excused.) 5 JUDGE CLARK: Staff has no more witnesses? 6 7 MR. PRINGLE: No more witnesses from Staff. JUDGE CLARK: The Office of Public Counsel, it 8 is your turn to call your first witness. 9 10 MR. HALL: At this time Public Counsel calls Geoff Marke to the stand. 11 12 JUDGE CLARK: Dr. Marke, would you raise your 13 right hand to be sworn? 14 (Witness sworn.) 15 JUDGE CLARK: Please be seated and state and 16 spell your name for the record. 17 THE WITNESS: My name is Geoff Marke, my card. It's G-e-o-f-f Marke, M-a-r-k-e. 18 19 GEOFF MARKE, being sworn, testified as follows: 20 DIRECT EXAMINATION BY MR. HALL: 21 And Dr. Marke, by whom are you employed and in Ο. 22 what capacity? 23 Α. I'm employed by the Missouri Office of Public 24 Counsel. And in what capacity? 25 0.

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1	A. As the chief economist.
2	Q. And on whose behalf are you testifying today?
3	A. The Office of Public Counsel.
4	Q. Are you the same Dr. Marke who caused to be
5	filed in this docket rebuttal testimony both public and
6	confidential which has been premarked for filing as
7	Exhibit 200?
8	A. Yes.
9	Q. If I asked you the same questions contained
10	therein, would your answers be the same or substantially
11	similar?
12	A. Yes.
13	Q. Do you have any corrections to make to your
14	testimony?
15	A. Perhaps one quick change.
16	Q. Please explain.
17	A. It was pointed out in Mr. Rogers' testimony,
18	live testimony that I had previously disclosed an HC
19	number. I'm under the impression that since no party is
20	adhering to this cost, this avoided cost number at this
21	point that it doesn't exist, but I would say page 5,
22	line 23 the value that's listed on my rebuttal testimony
23	should be HC. I apologize for that.
24	Q. Do I also see this number on page 7, line 25
25	of your rebuttal?

1	A. That's there too, yeah.
2	COMMISSIONER RUPP: Line 25, right?
3	JUDGE CLARK: Line 25, the same page?
4	THE WITNESS: Page 7. You know what, I found
5	it again. Page 8, line 4.
6	JUDGE CLARK: How do the parties want me to
7	address that? Do we want to make his testimony, his
8	filed testimony that's going I assume to be offered as
9	an exhibit here make it confidential in its entirety or
10	redact that portion or file a separate public version in
11	addition to a confidential version, because I don't want
12	to make just pages or portions?
13	MR. HALL: If it's at all amenable to the
14	parties, this did not come to our attention until just
15	within the past few hours. So the documents that have
16	been prefiled with the court reporter don't have that
17	redacted. It would be fairly easy for our office to
18	print out copies with those numbers redacted for filing
19	before the Commission later. Otherwise, I just want to
20	state for the record we had been operating under months
21	or with weeks for this filed testimony with no
22	indication that that number should have been
23	confidential and this is purely a mistake and oversight
24	on our part and there was no intent.
25	JUDGE CLARK: Well, can you mark them as

they're being offered now as confidential? 1 2 MR. FISCHER: Judge, it's a 2015 number. Tt's not confidential. We don't need to have it confidential 3 4 any more. 5 MR. STEINER: We appreciate you making the 6 effort but I think it's okay right now. 7 JUDGE CLARK: You're okay with it? 8 MR. STEINER: Just leave it the way it is. 9 JUDGE CLARK: All right. 10 THE WITNESS: Thanks. I'm sorry. 11 MR. HALL: Thank you. 12 JUDGE CLARK: Do you still want me to make 13 those changes to your testimony, Dr. Marke? 14 THE WITNESS: No. 15 BY MR. HALL: 16 Dr. Marke, are there any other corrections 0. that should be considered for your testimony? 17 18 There are none. Α. 19 Are the answers contained in your rebuttal Ο. 20 testimony true and accurate to your belief? 21 Α. Yes. 22 MR. HALL: At this time, Your Honor, I move 23 for the admission of Exhibit 200 into evidence both 24 public and confidential. 25 JUDGE CLARK: Any objection to admitting

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1	Exhibit 200 both public and confidential onto the
2	hearing record? I hear none. Exhibit 200 public and
3	Exhibit 200 confidential will be admitted onto the
4	hearing record.
5	(OPC EXHIBITS 200P AND 200C WERE RECEIVED INTO
6	EVIDENCE AND MADE A PART OF THIS RECORD.)
7	BY MR. HALL:
8	Q. Dr. Marke, are you the same Geoff Marke who
9	caused to be filed in this docket Surrebuttal Testimony?
10	A. Yes, I am.
11	Q. If I asked you the questions and answers
12	contained therein, would your answers be the same or
13	substantially similar?
14	A. Yes, they would be.
15	Q. Do you have any corrections to make to your
16	surrebuttal testimony?
17	A. No, I do not.
18	Q. Are the answers contained in your surrebuttal
19	testimony true and accurate to your belief?
20	A. Yes.
21	MR. HALL: At this time, Your Honor, I move
22	for the admission of Exhibit 201 into evidence, the
23	Surrebuttal Testimony of Geoff Marke that does not have
24	a confidential version.
25	JUDGE CLARK: Any objection to admitting

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1 Exhibit 201 onto the hearing record? Hearing none, 2 Exhibit 201 is admitted onto the hearing record. (OPC EXHIBIT 201 WAS RECEIVED INTO EVIDENCE 3 4 AND MADE A PART OF THIS RECORD.) 5 MR. HALL: At this time I tender the witness 6 for cross. 7 JUDGE CLARK: Cross-examination from the Commission Staff? 8 9 MS. MERS: Good afternoon, Dr. Marke. THE WITNESS: Good afternoon. 10 11 CROSS-EXAMINATION BY MS. MERS: 12 Your background is in public policy; is that 0. 13 correct? 14 Α. That's correct. 15 As far as that background, are you familiar Ο. with the concept of a cycle of denial as explained on 16 17 page 13 of the Company's surrebuttal? I did read the surrebuttal and came across 18 Α. 19 that. 20 Ο. Do you have any response to the concept of a 21 cycle of denial? 22 I would dismiss it out of hand. If you'd Α. 23 like, I could explain why. 24 Maybe briefly to clarify for the record. 0. The cycle of denial, as the Company posits, is 25 Α.

1 that because there's no need for a MEEIA program right 2 now there might be sometime in the future but we won't 3 be able to ramp up programs in time to do that. We've 4 gone through this period before. Ameren Missouri is a 5 really good example of that.

Ameren Missouri had programs in place for a number of years without a MEEIA. Then they stopped. MEEIA statute was passed. We got -- they put forward a MEEIA application and program started up. They started up at a level that they exceeded their savings within one year.

12 KCPL has gone through similar situations. In 13 short, both Company and OPC have put forward other options to essentially keep a skeleton level, a default 14 15 is what we call it, a default level of programs in place where if they needed to be scaled up they could be. So 16 17 I don't put a lot of stock in the catch phrase a cycle 18 of denial. I really -- I would go out of our way that 19 the energy efficiency collaboratives here in the state 20 of Missouri are well invested in the concept of energy 21 efficiency and trying to make things work when sometimes it doesn't even make sense for it to make work. 22

- Q. Do you have a copy of the Company'ssurrebuttal report with you?
- 25
- A. Unfortunately I don't.

1 MR. HALL: Your Honor, may I approach my 2 witness? JUDGE CLARK: 3 Yes. THE WITNESS: 4 Okay. BY MS. MERS: 5 6 Ο. And can you turn to page 16? 7 Α. Okay. 8 Are you familiar with the Company statements Ο. 9 on that page about parties supporting previous MEEIA 10 cycles although the Company was long on capacity during 11 those cycles as well? 12 Α. Yes. 13 Were you involved in the previous two MEEIA Ο. 14 cases? 15 I was. Α. 16 And could you explain why OPC supported the Ο. 17 previous two cycles but has concerns with Cycle 3? 18 Α. MEEIA has been an evolving policy issue from 19 There's been a lot of testimony already its inception. 20 on the record about previous MEEIA rules, MEEIA 1 and 21 MEEIA 2. 22 The initial MEEIA 1 actually adhered to 23 something called the net shared benefit model. The net shared benefit model, and the rules were designed around 24 25 that model. The preferred nomenclature for it is called

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1 the lost revenue adjustment mechanism, an LRAM. In 2 short, the problem that we learned as stakeholders in 3 that first cycle was that the LRAM overstated the amount 4 of savings that were actually attributable to the 5 programs and there was no cap.

6 So what happened was the amount of earnings 7 opportunity allotted to the companies became really big. 8 It was more of a problem on the Ameren side than it was 9 for KCPL. On the flip side, when we talk about our 10 experience with Kansas City Power & Light, with the 11 first year programs it was largely precipitated by what 12 I would characterize as a bank run for lack of a better There was a fear going into Cycle 2 after the 13 term. 14 Commission rejected Ameren Missouri's application that 15 KCPL would not get their application granted either.

What happened was that light vendors in 16 17 particular in the Kansas City area started selling out 18 light left and right, light bulbs everywhere. Within 19 the span of about four or five months, the Company 20 doubled their approved budget just for commercial 21 lighting. So the Company met its goal but at a cost of 22 literally doubling what happened. At the end of the day 23 the Commission approved it and moved forward with it.

The unfortunate sort of back story behind that is that same year the Company came in for a rate case

and actually asked for a rate design that put a greater 1 2 demand charge on commercial lighting or commercial --3 they created a greater demand charge. That demand charge nullified a lot of their energy savings that 4 would have been attributed to the lighting. So to put 5 6 that in context, when those commercial customers bought 7 their lighting, they bought it with the assumption that 8 these things would pay back at a certain year, two 9 years, three years because they're keeping the lights on 10 at the same time. That works if you keep rates designed 11 as they were. Unfortunately the rates changed and 12 instead of putting those savings on energy -- the 13 collection of those savings on the demand charge.

14 So in that case the savings were overstated 15 But these are things that we've learned. We've too. 16 learned it through experience. That fed into Cycle 2 17 and the applications that we experience there and the rules. And quite honestly, it's informing what's gone 18 19 on to this case as well when we're looking at avoided 20 costs and the programs that we should approve. It's 21 been a learning experience.

Q. Were you part of the Ameren's MEEIA Cycle 2and MEEIA Cycle 3 applications?

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- A. I was involved in both, yes.
- Q. And did you join, I believe there's a

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1	non-utility stip that opposed the Ameren MEEIA Cycle 2
2	application; is that correct?
3	A. That is correct.
4	Q. You didn't support their initial application?
5	A. That's true.
6	Q. But you did support the Cycle 3 application?
7	A. We did.
8	Q. And again, there's been a lot of questions
9	about KCPL and GMO and why parties supported Ameren and
10	not the Company's application. Can you explain why OPC
11	was willing to join in in the Ameren MEEIA Cycle 3
12	stipulation but couldn't get there in this case?
13	A. We were able to work with Ameren is the long
14	and short of it. It's a different utility. It's a
15	different situation. It's a different market. I know a
16	lot of the testimony to date or a lot I guess the
17	direct from counsel has been that well, Ameren has got
18	it, why can't we get it. I think that's doing I
19	think that does energy efficiency a real disservice. To
20	suggest that if the Commission were to reject this
21	application the Company wouldn't go ahead and try to
22	refile something else, A, goes against history, because
23	we've clearly had that happen before where utilities
24	have come back after discretion and direction from this
25	Commission to refile something else and, two, really

1 does a disservice about having an open and honest 2 conversation about really what's wrong with this 3 application and how we can make it better.

I can tell you in Cycle 2 we went to great 4 5 lengths working with Ameren Missouri to come to a conclusion that made sense for Missouri for Ameren 6 7 Missouri ratepayers. I can tell you in Cycle 3, as much 8 as I can go into settlement discussion, the situation 9 was different. You know, if KCPL hadn't withdrawn their 10 application, they filed first. It's important to 11 remember that. At the end of the day, they filed their 12 application more than a year ago. They withdrew it because Ameren then filed theirs. They sat back and 13 14 they waited for Ameren to go ahead and settle.

15 We're a year forward. All right. If we had to swallow maybe not a perfect application because of 16 17 settlement for Ameren, understand that was almost two 18 years ago at this point. KCPL is still relying on 2015 19 If this was 2017, maybe it would be a little bit data. 20 easier and we can look at it. Now we're looking at a 21 position where we're not going to start programs until 22 That means every day that's gone by it's gotten 2020. 23 worse. It's gotten more pronounced.

24 Q. Do you have a copy of your surrebuttal with 25 you?

1	A. I do.
2	Q. Could you turn to page 8.
3	A. I'm there.
4	Q. From lines 15 to 16 you state you did not
5	believe sales of excess energy would create enough
6	savings to justify this MEEIA application. Is that
7	accurate?
8	A. That is accurate.
9	Q. I guess to start with, do you believe one of
10	the most important evaluation metrics for a MEEIA
11	application is the avoided cost?
12	A. Yes.
13	Q. Do you believe that avoided capacity costs
14	should be tied to the deferral of the supply-side
15	investment?
16	A. Yes.
17	Q. Would that be because an actual deferral of
18	supply-side investment would be the biggest driver of
19	benefits to all customers regardless of participation?
20	A. That's correct.
21	Q. And would you believe that benefits to all
22	customers is one of the other most important evaluation
23	metrics for a MEEIA application?
24	A. Absolutely.
25	Q. Do you believe this MEEIA application provides

1	benefits to all customers?
2	A. Not as presently filed.
3	Q. Would that be because they're not avoiding any
4	supply-side investment?
5	A. In part, yes.
б	Q. So the two evaluation metrics we mentioned, do
7	you believe that the application has met either of them?
8	A. No, I do not.
9	Q. And did that play into your ultimate
10	recommendation to the Commission?
11	A. It did. If I may. I would say that my
12	testimony, my rebuttal testimony is I think about 60
13	pages. More than half of that testimony is not saying
14	no. More than half of my testimony is saying here's how
15	you make it better. Here's what you can do to make it
16	better. Here's what you can do to go ahead and try to
17	find benefits for nonparticipants, to find deferral
18	savings where none currently exists.
19	I can't remember a time, and I've been active
20	in a lot of these MEEIA cases where we've gone to such
21	lengths to try to find money for the company.
22	Q. To your knowledge, in the Company's
23	Surrebuttal Report, did they accept or agree to any of
24	your suggestions?
25	A. I was very disappointed in the Company's

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1	response almost across the board with every one of my
2	recommendations. The sole exception is that they would
3	entertain the urban heat island to a capped amount.
4	Keep in mind this was literally created I found a
5	problem for the company to make money, and their concern
6	is that well, we won't have enough R&D to spend on a
7	load building electric vehicle charging station.
8	Q. Do you believe that the fact there's not a
9	deferral of a supply-side resource impacts what the
10	appropriate earnings opportunity should be?
11	A. Absolutely.
12	Q. What would OPC find to be a more appropriate
13	earnings opportunity for the Company?
14	A. I have zero problem with incentivizing Company
15	behavior that produces benefits for customers. We've
16	put out several different ideas for earnings
17	opportunities that could come out of MEEIA discussions,
18	a future MEEIA application. At the end of the day,
19	quite honestly, I mean, the reality is these markets are
20	changing.
21	When this statute passed years ago, SPP was
22	just starting. The renewable price for solar and wind
23	hadn't anywhere reached the price parity point that it
24	is today. All you have to do is really look at other
25	states that border Missouri. Iowa has abandoned energy

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1	efficiency, Ohio has, Illinois recently moved back on
2	Ameren Illinois energy efficiency programs in part
3	because the market is very cheap, because you've got an
4	abundant amount of natural gas. You've got for our case
5	here on SPP a ton of wind coming on line. Spent a
6	greater part of, you know, this past year talking about
7	how much wind is coming on line.
8	These aren't bad things, okay, but it does
9	change the metrics and evaluation of this statute and I
10	think, you know, to the Staff's credit they did a very
11	thorough job in that evaluation.
12	Q. Do you believe the Company has appropriately
13	taken into account the lack of the supply-side
14	investment when they structured their earnings
15	opportunity?
16	A. No.
17	Q. And you mentioned looking to other states
18	about how they're addressing energy efficiency. Do you
19	have any knowledge of how Kansas, the other state that
20	KCPL/GMO's affiliates operate in, has approached the
21	issue?
22	A. My understanding, and I'm going to make the
23	Company correct me if I'm wrong, but at least once,
24	perhaps twice now they've attempted to try to get a
25	KEEIA which is the Kansas Energy Efficiency Investment

Act and have failed. And both situations -- I've got --1 2 I know people over there at the KCC. Largely the same sentiment is that the Company is long on capacity, 3 they're not deferring any identified supply-side 4 5 investment. 6 If you rebate that HVAC today, that HVAC is 7 going to be obsolete or break down or not be the high 8 efficiency HVAC by the time you'd actually have to put 9 on that investment. So your plant investment today is 10 going to last longer than any sort of demand-side 11 investment we're going to make at somebody's house. 12 That's the rub. 13 On page 12 of your surrebuttal testimony you 0. 14 stated that the most direct cost effective way, this is 15 lines 4 through 6, to implement energy efficiency 16 measures is qualified low income household -- is through 17 low income weatherization assisted programs and that can be accomplished outside of MEEIA; is that correct? 18 19 Α. Yes. 20 Ο. Was that in response to calls to expand the 21 low income portion of MEEIA? 22 Yeah. You know, it's unfortunate. There was Α. 23 a time when NRDC was pretty active and involved in these

25 Mr. Mosenthal made a recommendation for a low income

24

DSM discussions.

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They've since sort of fallen off.

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component to this portfolio. That used to exist. 1 We 2 used to have a low income component to KCPL's MEEIA portfolio. That ceased to exist in Cycle 2 in part 3 because of rule changes. The long and short of it is 4 low income -- a MEEIA low income direct install program 5 6 is not a cost effective way of doing things. We've 7 already got that today. It's called weatherization. 8 Weatherization does that. It does a better job than the 9 direct install method.

10 So instead of having to pay third-party 11 contractors a throughput and an earnings opportunity to 12 go ahead and put in light bulbs in a low income home, we 13 can have money go through traditional rates, through 14 weatherization and they can do a whole home approach 15 through a nonprofit that does not tie to MEEIA. And our 16 basic argument was this, and the Commission agreed 17 ultimately in the MEEIA rules, is that if a MEEIA didn't 18 exist, then KCPL wouldn't have weatherization dollars. There wouldn't be weatherization in place because it 19 20 would be going through MEEIA. So we wanted to make sure 21 that weatherization was always in place. That's why 22 it's -- today it's why it's in rates. So I would 23 disagree respectfully with Mr. Mosenthal's suggestion. 24 Are you familiar with the Company's home Ο.

25 energy reports?

1	A. I am.
2	Q. Do you support continuation of the program?
3	A. No.
4	Q. Do you believe that the Company's optimized
5	billing system and improved customer portals as
6	described in the last rate case make the home energy
7	reports obsolete?
8	A. Amongst other things.
9	Q. Is the Company currently collecting costs for
10	that new CSI system in rates?
11	A. Yes.
12	Q. Are you familiar with the ongoing discussion
13	regarding time of use and the educational materials
14	stemming from the last rate case stipulation and
15	agreement?
16	A. Yes. I've been an active participant in that.
17	Q. Do you believe that those time of use reports
18	would also be a more effective tool than the home energy
19	reports?
20	A. I think it's a better report. If you'd like,
21	we've had a lot of discussions about home energy reports
22	here. The Company uses Opower. It's an Oracle.
23	They're top shelf. What I mean by that they're very
24	expensive. We're outsourcing that to a third party
25	through Oracle Opower. All it is is a one-page document

1 that is predicated on this concept of shaming. The idea 2 is that you'll use less energy if you're aware that your 3 neighbors are using less.

There's a whole science behind it. It's sort of got a negative connotation with shaming, but it's been shown in small sample sizes to work. The problem with it is two fold. One, it's very expensive. It's like \$4 million, four and a half million dollars is what the Company is allocating for costs right now.

10 If we're looking to make a portfolio better, 11 more efficient, then let's cut out the fat. Let's cut 12 out the stuff that's not going to matter. Instead of passing out mailers, let's put those towards HVACs. 13 14 Let's put those towards something that's actually going 15 to have deep savings. I want that money to go there. 16 Right? The other part is like Ameren is a really good 17 example of this. Ameren has a home energy report and 18 they do it in house at a fourth of the cost.

I'm not sure what you're getting out of Opower. And then you throw on the fact that we dropped over a hundred million dollars on a customer service information system that's tied to that AMI technology. We spent plenty of time and ratepayer dollars invested in rolling out time of use rates at some point in the near future. All of that information is redundant. All Г

1	of that information is being already sent to customers.
2	So what's Opower doing here at this point?
3	It's not doing anything that that sunk cost isn't
4	already doing. That's why we're against the home energy
5	report. Again, it's not us being contrary. It's not us
6	sitting here saying no, no, no. It's here's how you
7	make it better and you make it better by not focusing on
8	stuff that doesn't really matter at this point or is
9	redundant.
10	Q. Do you still have the copy of the Company's
11	surrebuttal with you?
12	A. Yes, I do.
13	Q. If you turn to page 28 or 27, I apologize.
14	Are you familiar with the Company's argument that all
15	customers benefit from energy efficiency because energy
16	efficiency puts downward pressure on energy market
17	prices?
18	A. Yes, I am.
19	Q. Have you seen any analysis from the Company
20	showing where this application or any previous MEEIA
21	application will or has impacted SPP market prices?
22	A. I have seen none.
23	Q. Have you seen hourly load shapes for energy
24	efficiency products to verify if the products are
25	shaving demand?

1	A. No.
2	Q. Are market prices generally set by the lowest
3	offered price for a service or by the average offer?
4	A. The lowest.
5	Q. And then finally, to the best of your
6	knowledge are interruptible or curtailable rates
7	typically voluntary on behalf of the customer?
8	A. Yes, they are voluntary.
9	Q. Do you believe that in this case the you
10	don't support the Company's demand response programs; is
11	that right?
12	A. No, I don't. Our office does not support the
13	currently filed business demand response or the
14	residential demand response for different reasons for
15	both.
16	MS. MERS: Okay. Thank you for your time.
17	THE WITNESS: Thank you.
18	JUDGE CLARK: Any cross-examination from Renew
19	Missouri?
20	MR. OPITZ: Yes, Judge.
21	CROSS-EXAMINATION BY MR. OPITZ:
22	Q. Dr. Marke, I understand that you just
23	testified that you would support earnings opportunity
24	for programs that produce benefits to customers; is that
25	right?

1	A. Yes.
2	Q. But that's not necessarily limited to whether
3	those benefits are simply deferring the generation.
4	Would you agree with that?
5	A. I think there's a lot of ways for you for
6	us to get there.
7	Q. So if I understand your rebuttal testimony
8	correctly, you are proposing a number of additional
9	programs that may not be necessarily aimed at deferring
10	future generation in the sense that the Staff seems to
11	be desiring to do?
12	A. I think I would agree with that.
13	Q. And for those programs that you are proposing,
14	you're open to offering the companies some kind of
15	earnings opportunity to pursue those programs?
16	A. Yes.
17	Q. One of those programs that you propose is
18	similar to a Renew Missouri proposal and that's the PAYS
19	program; is that correct?
20	A. That is correct.
21	Q. Now, due to the modifications to the
22	procedural schedule in this case, your rebuttal
23	testimony was filed after Renew Missouri filed its
24	rebuttal; is that correct?
25	A. That is correct.

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1	Q. So in your rebuttal testimony when you
2	reference that there are fundamental flaws and
3	misunderstandings in how the PAYS tool has been
4	characterized, you're not referring to how Renew
5	Missouri characterized it, are you?
6	A. No, I'm not. Thank you for that
7	clarification.
8	Q. Yesterday I believe it was KCPL's Witness File
9	who talked about the Company's position on PAYS. Were
10	you here for his testimony?
11	A. I was.
12	Q. And as best I can remember, the issues he
13	raised were about liens, participation level, defaults
14	by customers and the availability of other financing
15	options. Do you recall those concerns?
16	A. I do.
17	Q. And are those the kinds of misunderstandings
18	that you believe you were addressing in your rebuttal?
19	A. Yes.
20	Q. Okay. So what is What do you believe is
21	misunderstood about liens?
22	A. I think just about everything under PAYS has
23	been misunderstood. It's been a source of great
24	frustration, Mr. Opitz. We went out of our way to in
25	three separate filings now we've had feasibility studies

with KCPL, with Ameren, with Empire. In each one of
 those studies we've also filed comments in response to
 those third-party studies.

Now, every one of those studies came back 4 5 positive. Every one of the studies say that it's a good 6 way to go ahead and promote energy efficiency for really 7 the vast majority of people that don't have up front or 8 disposable capital today. I count myself in that 9 category, mind you. I don't have just a thousand 10 dollars just hanging around where I'd go ahead and 11 replace my air-conditioner with right now. That money 12 is going to something else. I'm going to wait quite 13 honestly until that air-conditioner probably, you know, is at the end of its useful life. 14

15 Under a PAYS program, I'd be able to go ahead and take advantage of that. The Company would be 16 17 designed to go ahead and incentivize from that as well. 18 You had Mark Cayce come up here. You had a cooperative 19 general manager in really a largely rural poor area of 20 Arkansas that's had success, a 10 percent adoption rate 21 in a very tough market. 10 percent adoption rate in 22 KCPL, you're talking 65,000 people. That would be 23 enormous.

If the Company is serious, really seriousabout energy efficiency or we are as a state, you've got

1 to figure out a way to go ahead and tap into the market 2 of really just about everybody that doesn't have that 3 capital that doesn't have that amount of money.

There are a number of questions surrounding 4 5 PAYS, around liens or default rates, loans. I strongly 6 encourage stakeholders, and we had a O&A, we brought in 7 the creators of PAYS to go ahead and solicit Q&As from 8 every stakeholder during our technical conferences to 9 ask questions. They took out free of charge, mind you, 10 they went ahead and answered every one of those 11 questions. We had a webinar devoted to it. I filed 12 every one of those in my testimony. All of that stuff 13 is included.

14 The fact that you've got investor-owned 15 utilities now taking advantage of it with Georgia, 16 The fact that, you know, I like Mr. File. Hawaii. It's not a personal reflection on him by any means, but I am 17 18 disappointed with his response with PAYS. To me it just showed a fundamental lack of understanding of the 19 20 program and what the potential is there.

Q. So one of the points that Mr. File and I mentioned earlier was about the Company's concern that a PAYS program wouldn't necessarily increase customer participation in MEEIA programs. Do you agree with that assessment?

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1	A. You know, I would disagree. I would disagree
2	wholeheartedly, but I'm willing to live with being
3	wrong. But give it a chance. I mean, that's ultimately
4	what our rebuttal testimony did was we teed it up as
5	just a pilot. Cap it up from a certain amount of
б	dollars, make sure there's not that much exposure to the
7	company and see if it works. If it does work, man, the
8	payoffs are going to far outweigh the costs that we're
9	talking about here.
10	Q. You don't normally advocate for the utility to
11	spend more on these energy efficiency programs, do you?
12	A. That's the irony here, right?
13	Q. But for PAYS you do propose in your rebuttal a
14	capital budget?
15	A. I do.
16	Q. Is that correct? You also propose an
17	operating budget?
18	A. I do.
19	Q. And I guess to the extent that that budget is
20	going to increase what the utility recovers through its
21	program costs, would you support that increased budget?
22	A. I would. We want to hit nonparticipants.
23	That's clearly what you've heard throughout these last
24	24 hours is that there's a nonparticipant problem.
25	Okay. Well, let's solve it. Let's redesign it to make

it where we're targeting those nonparticipants. 1 2 So PAYS -- I mentioned that you offered a 0. 3 number of other programs in your rebuttal. PAYS isn't 4 the only one; is that correct? No, I made three other recommendations. 5 Α. One is urban heat island? 6 Ο. 7 Α. Yes. 8 And one is called WattTime? Q. 9 Yes. Α. 10 And another is the energy efficiency equitable Ο. 11 baseline? 12 Α. Yes. 13 For each of those programs, and PAYS included, 0. 14 would you support funding these through MEEIA? 15 Yes. Α. And would you be willing to support offering 16 0. 17 the Company an earnings opportunity in the event they 18 successfully implement those programs? 19 I mean the short answer is yes. Α. The programs 20 are a little bit different. Some of them aren't 21 actually a program. For example, the energy efficiency 22 equitable baseline is a study. That would help inform 23 future MEEIA applications. So I'm not sure we would recommend an earnings opportunity associated with that. 24 25 0. Okay. I guess what about the urban heat

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island? Is that a study or is that something that would 1 2 have an earnings opportunity? The urban heat island is something that we're 3 Α. extremely -- The urban heat island is a problem. 4 When you look at the greater Kansas City, and I would 5 6 recommend that you just get on YouTube and YouTube urban 7 heat island Kansas City. You'll see a dissertation 8 defense out of UMKC over the topic. You'll see local 9 news stories talking about it. It's one of the worst in 10 the nation. It's going to get progressively more worse. 11 The urban heat island is an opportunity to 12 rethink how we've done MEEIA in the past. 13 Would addressing the urban heat island within 0. 14 MEEIA be a way that the Company could provide benefits 15 to all customers whether they participate or not? I believe so based off of the research that 16 Α. 17 has already been conducted today. Granted, you know, I 18 would refer you back to my rebuttal testimony and my discussion of the urban heat island. It is admittedly 19 20 outside the box thinking in terms of MEEIA and would 21 require more coordination than really the amount of time that we're talking about here offers. 22 23 Ο. So would your WattTime proposal offer benefits 24 to all customers including nonparticipants if it was 25 offered in a MEEIA program?

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1	A. I think the WattTime would be an application
2	that would enhance the company's a future company
3	application. What WattTime would allow you to do As
4	an economist, we talk a lot about price signals, you
5	know, and moving the needle. What WattTime is, it's an
6	emission signal in short. And what I would say is that
7	when you've got really high emission levels that's going
8	to be strongly correlated with high energy prices, so to
9	the extent that I feel like the data to date
10	substantiates that people if they're given that signal
11	will change their behavior.
12	Speaking with WattTime, the utility that comes
13	to mind is Con Ed out of Illinois is exploring a
14	WattTime feature in their energy efficiency
15	applications.
16	Most recently the Staff, Office of Public
17	Counsel and Ameren Missouri have agreed to explore
18	WattTime with EV charging stations and sending those
19	signals. So I think it's a natural complement to KCPL's
20	application as well or a future application anyway.
21	Q. So you've read the Company's surrebuttal
22	report, haven't you?
23	A. Yes.
24	Q. One of the concerns that is raised about some
25	of your I guess pilot programs by the Company is that

your proposals would be outside of the research and 1 2 development levels as the Company had been proposing? 3 Α. Yes. Just to be clear, your testimony and your 4 Ο. 5 position is that you would be okay with expanding those 6 research and development budgets to accommodate these 7 programs? 8 Α. I'm confident you could get to a MEEIA 3 Cycle 9 application through the urban heat island in a future 10 application. The way we set up the default application 11 is really designed to incentivize the company to look at that, to examine that. Yes, you're right, it would 12 13 increase the R&D budget. MR. OPITZ: Okay. Thank you. That's all I 14 15 have, Judge. 16 JUDGE CLARK: Company? 17 CROSS-EXAMINATION BY MR. FISCHER: 18 Dr. Marke, I think you indicated you've been Ο. 19 involved in the MEEIA process for a decade or so? 20 Α. For awhile. 21 And you've been involved in the IRP process as Ο. 22 well? 23 Α. Yes. 24 Do you recall several years ago that there 0. 25 were parties that were encouraging the Company to put

1	more scenarios into the IRP to look at more and more
2	energy efficiency in DSM programs to make sure that we
3	were capturing the maximum amount that we should to make
4	revenue requirements as low as possible?
5	A. Yes.
б	Q. And Public Counsel was one of those parties?
7	A. Yes.
8	Q. Assuming the Commission decides that because
9	the Company is long on capacity and doesn't have a lot
10	of avoided costs under the Staff's analysis and decides
11	to terminate the energy efficiency and DSM programs,
12	will Public Counsel be okay with us taking those
13	scenarios out of the IRP in the future?
14	A. No.
15	Q. No? Okay. What about the potential study?
16	Will there be a need for a potential study, a market
17	study?
18	A. By Commission rules, yes.
19	Q. But other than that?
20	A. Yes. I think there would still be a need for
21	the study.
22	Q. Even though we're long on capacity and
23	shouldn't be doing energy efficiency?
24	A. Mr. Fischer, what would happen if EV charging
25	stations take off tomorrow and you need to go ahead and

all of a sudden your load increases? My God, if there's 1 2 anything I've learned about the IRPs that they're wrong, they're constantly changing. So it's in part why we're 3 okay with at least a default MEEIA level doesn't make 4 sense right now with what's been filed but something 5 6 could change. 7 MR. FISCHER: That's all I have, Judge. Thank 8 you. 9 JUDGE CLARK: Thank you. I don't know. I 10 believe Commissioner Rupp may have some questions. In 11 the meantime, I'm going to fill in with a few of my own 12 questions. My first one is kind of a minor housekeeping matter. I did not see where, and I could be wrong on 13 14 this, did OPC offer Exhibit 202 or did you intend to? 15 MR. HALL: I had not. We intend to. There was no foundation laid to offer that into evidence when 16 Dr. Marke first took the stand. I was hoping to address 17 18 that on redirect later. 19 JUDGE CLARK: One reason I was coming back to 20 that is he had indicated that maybe we should Google or 21 otherwise heat islands. That is really not permitted as 22 an extra record of resource. So absent that exhibit and 23 you telling us anything, we're really not going to go out and look for it. 24 25 THE WITNESS: Sure.

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1	L	JUDGE CLARK: I guess I've got a few
2	questions.	Actually I believe Rupp had a few questions
3	and then I	'll go, or do you want me to go ahead?
4	(COMMISSIONER RUPP: You can go ahead.
5	QUESTIONS	BY JUDGE CLARK:
6	Q. 1	My first question is in regards to you were
7	generally (opposed to putting low income programs into
8	MEEIA; is	that correct?
9	Α.	The single family low income.
10	Q	Single family low income. But you would agree
11	with me that	at MEEIA does contemplate having low income
12	programs?	
13	A. 1	Uh-huh, yes.
14	Q. 2	And you were opposed to putting those programs
15	in because	they're not cost effective?
16	A. 1	No.
17	Q.	And yet MEEIA contemplates those programs not
18	being cost	effective?
19	A. 1	Would you like me to elaborate a little?
20	Q.	I would. And that's kind of why I'm bringing
21	this up. I	MEEIA does, in fact, contemplate there's no
22	cost effec	tive requirement in MEEIA for low income
23	programs;	is that correct?
24	Α.	That is correct.
25	Q. 1	Please expound.

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1	A. We're absolutely not against low income
2	programs per se. We're against the low income direct
3	install program proposed by NRDC. In part because a
4	much more cheaper, more effective way already exists
5	through weatherization. And those are administered
6	through rates collected through the normal course of
7	bills in a given rate case. So both Staff, the Company,
8	our office went to great lengths of taking that out of
9	past MEEIAs because if a MEEIA didn't happen for
10	whatever reason, then you wouldn't have low income
11	weatherization because it would have been in MEEIA. But
12	because weatherization is in our rates, we're
13	maintaining that those stay there.
14	We're not against low income multi family.
15	We're not against low income PAYS, for example. Those

We're not against low income PAYS, for example. Those are all arguably better ways because that empowers the customers as opposed to just giving them something for free.

Q. Okay. My next question is in regard to you had mentioned at the very beginning of your testimony that when Ameren -- I'm sorry, when KCPL/GMO first came in for MEEIA Cycle 1 there was a net shared benefits model and you called it something else, LARS; is that correct?

25

A. An LRAM.

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1	Q. LRAM. Sorry. Thank you. I'm going to call
2	it net share benefits because that's what I know. In
3	MEEIA Cycle 1 they used a net shared benefits model,
4	correct?
5	A. That's correct.
б	Q. That overstated savings?
7	A. Yes.
8	Q. That was not used in MEEIA Cycle 2?
9	A. That's correct.
10	Q. I believe I remember reading somewhere that
11	that was what was being proposed in MEEIA Cycle 3; is
12	that correct?
13	A. In part, yes.
14	Q. Explain that to me in part.
15	A. Let me follow up I guess with a question. Did
16	you mean that the net share benefit model would be used
17	in Cycle 3?
18	Q. From the Company's perspective.
19	A. I think the Company misspoke if that's the
20	case. Nobody here is proposing a net shared benefit
21	model. That's gone away.
22	Q. That's what I misunderstood.
23	A. Yeah.
24	Q. The other is a question regarding you
25	indicating old data. I know we're talking about data

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1 from 2015. But it's my understanding in reading your 2 testimony that you had some problems with them, they received a variance from doing a 2000 I believe it's '18 3 or '19 --4 5 Α. '19. -- 2019 IRP update on the triennial filing, 6 0. 7 and you indicated that allowed them to go off 2018 data 8 which you believe was incorrect, and this is just an odd 9 question to ask. Wasn't the Office of the Public 10 Counsel, didn't they support that variance? 11 Α. We did. 12 Wouldn't that have been the time to argue Ο. against how that was going to throw everything else off? 13 14 Α. It's a valid question. It's a valid point. Ι 15 quess, you know, as an office we made a collective 16 decision that if we say no to everything sometimes it 17 tends to get crowded out. If there was going to be --18 our hope is that we would settle, we would settle this MEEIA case. 19 That was the hope when we elected not to 20 contest the 2019 back in the spring. Unfortunately 21 talks deteriorated and we're here. 22 My next question is just one that was kind of 0. 23 unclear. At some point yesterday somebody said that 24 Ameren was going to be implementing a PAYS program and then another party or another person said they weren't 25

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sure, that they didn't remember that being part of the
 agreement. Do you know if Ameren is going to be
 implementing the PAYS program in any fashion?

A. That's a great question, and I'm glad you
brought it up because there's some confusion on this.
The PAYS program was not part of the Ameren stipulation.
We misspoke earlier.

8 Ameren Missouri put forward in our DSM meeting 9 that they were willing to look at a PAYS RFP this fall. 10 Empire District Electric has put in that they're 11 interested in that as well. I guarantee both of those 12 utilities are watching this case right now to see the Commission's reaction to PAYS off of that. So they've 13 14 put forward that they were going to file an RFP 15 I quess I'll take them at their word regarding PAYS. 16 that that's what they're going to do. But you're right, 17 I mean, as of right now nothing is set. An RFP hasn't 18 been filed. I'll put it that way.

Q. Certainly in regard to the PAYS program I had some confusion yesterday when questioning a witness as to who owns what, and I think I understand, maybe I don't and you can correct me if I'm wrong, that if this investment is made into improving the property -- well, if it's like an HVAC system that has value and can then be removed and sold, then certainly that's property but

1 nobody is going to pull the caulk out from underneath
2 the window --

3

A. Insulation, right.

4

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7

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Q. -- that's already been shot in or the insulation that's been cut to size. So it's not really a matter -- ownership really isn't the issue when it has no value without the particular property. Is that kind of where that was going?

9 It is. Judge, what I would recommend too, the Α. Q&A addresses that in my attachments to my testimony, 10 11 but PAYS is designed to be ultraconservative to only do 12 measures that are going to guarantee savings. You're 13 not going to get an energy efficient toaster out of PAYS 14 because you're not going to get the payback off of that. 15 What you're going to get is insulation because you're 16 going to get savings. Putting insulation you're going 17 to start to see those savings almost immediately both in 18 heat and in cool. If you were going to save, say, 15 19 bucks off of your bill, there would be a fixed fee on 20 that bill each month that would be less than 15 bucks 21 that would go to paying down that insulation. So you're 22 paying off the insulation in installments and you're 23 getting those savings that you otherwise wouldn't have. 24 I know I'll take a look at that. In regard, 0.

25 and Mr. Opitz from Renew kind of covered this, very

early in the proceeding I saw like the whole Office of
 Public Counsel table kind of go no when it was put forth
 that the Office of Public Counsel and the Staff were
 proposing no earnings opportunity.

A. Right.

5

Q. And Mr. Opitz kind of fleshed out with you a
little bit that you're not opposed to an earnings
opportunity, and you've listed some particular including
this PAYS program. Is your earnings opportunity as
you've put it out there limited to those programs or
would you be -- do you see that as part of a larger
earnings opportunity for them?

I mean, I feel like -- Well, they're two parts 13 Α. 14 to that question if I may. One, with the urban heat 15 island in that, and I'm open to criticism or feedback if 16 we ever do go back to settlement, but I had thrown out 17 the concept of the 10 percent return on any dollars that 18 they could generate towards mitigating the urban area 19 heat island. So if they were able to raise a hundred million dollars, they would get a ten million dollar 20 return on that investment. That's one example. 21

The other example that I've put out there it's the default MEEIA level. I would say that our office is so committed to this that we went above and beyond what we've done for any other utility, and Mr. Eaves pointed

out or when we asked in redirect just about every 1 2 utility in the state has got an energy efficiency 3 program. Only two have an earnings opportunity. That's Ameren and KCPL. All those other utilities don't have 4 5 an earnings opportunity. There's reasons why those 6 other utilities do those programs without an earnings 7 opportunity. But putting that issue aside, we've said 8 that if you spent your default levels just to maintain 9 the programs until things get better, I think we set up 10 to \$333,000 of an earnings opportunity per year on 11 maintaining that is what we put forward. So we're not 12 -- I think in that regards our office's position did 13 differ from Staff. I think in just about every other 14 instance I think Staff and our office is in alignment 15 which is rare.

Q. Just jump subjects again. In regard to the
business demand response program, the Company says
that's an interruptible or curtailable program.

A. Uh-huh.

19

20 Q. Staff has for whatever reason said they can't 21 determine whether it is or not. Does OPC have an 22 opinion on whether or not it is an interruptible or 23 curtailable program?

A. The Company, I think it's a legal question. Iwould defer to my counsel in his, I guess, brief. But

1	my testimony spoke to the business demand response
2	program. Ultimately we spoke to this in the last
3	rate case KCPL because there was a lot of discussion and
4	a lot of interest from the Commission about the Indiana
5	model and demand response programs. We had a workshop
б	devoted to this. We had expert speakers from RAP, from
7	MSA, from SPP all attended to speak on this. One of the
8	settled conditions from that KCPL/GMO case was to create
9	an interruptible curtailable program and it was in part
10	because if the Company didn't get a MEEIA you would
11	still have that program available.

We also argued that if you've opted out of MEEIA, you've adopted out of MEEIA. It means you can't opt back in to get its benefits. But having an interruptible curtailable program, which the Company does have, allows those customers to still participate in an interruptible and curtailable program. That's how you get around that statute.

JUDGE CLARK: Okay. Those are all the questions I have. Commissioner Rupp? OUESTIONS BY COMMISSIONER RUPP:

Q. There's been a lot of discussion over nonparticipant benefits. Real quickly, try to lay out urban heat island and how that solves that problem. Do you think it would solve that problem enough for the

1 detractors on this application to say that there's not 2 enough benefit for nonparticipants?

Commission guidance would certainly help that. 3 Α. I think you've got a lot of empirical data that 4 I do. 5 exists today. That's the great thing about this. 6 Lawrence Berkeley National Labs just released a study 7 specifically for Kansas City and the Greater Kansas City 8 region. We've got not only that study but a Leidos 9 study which is attached to my testimony that speaks to 10 what would happen if you did nothing, right, and what 11 would happen if you started mitigating this. In short, 12 it will generate savings for nonparticipants over the 13 life span in my opinion. It will be a difficult thing to accomplish in the time frame that we're talking about 14 15 because it really is outside the box thinking. This isn't about light bulbs. This is about really a 16 17 coordinated effort with the City of Kansas City, the 18 Kansas City's Water Utility, regional actors like 19 Mid-America Regional Council, EPA, DOE, other actors. 20 We contacted a group out of the Netherlands, Silvolde. 21 All of this is in my testimony. They're all interested. 22 They're all very, very interested in this.

And then having it through a utility just makes so much sense to me too. So I'm very optimistic. I think this is something that, you know, KCPL prides

1 itself on outside the box thinking and being innovative.
2 This is it. I mean, I can't think of a more outside the
3 box thinking innovative opportunity for the company
4 really to do some good.

Q. And it was brought up I think the first day of testimony that previous MEEIA programs kind of got some of the low hanging fruit and it's harder to get more savings as we go on. Do you believe that ideas like the urban heat island are the natural progression for a MEEIA program moving forward?

11 I mean, that's the short answer. Α. Yes. Т 12 think there's still a lot of low hanging fruit, to be honest. We're a home ruled state. There's a lot of 13 14 people that aren't replacing their HVAC like myself. Ι 15 think there is a lot of low hanging fruit and you can 16 design it to get and tap into that low hanging fruit. The larger issue is that avoided cost, right, and I 17 18 think we can get there by outside the box thinking like 19 that.

20 Q. And you think the low hanging fruit like HVAC 21 and everything, the best way to do that is through PAYS?

A. Absolutely. I'll hear hell about it.
Reasonable minds can differ on what low hanging fruit
is. I think most people would probably say low hanging
fruit is light bulbs. Yes, we've moved beyond light

1	bulbs.
2	COMMISSIONER RUPP: That's all I have.
3	Thanks, Judge.
4	JUDGE CLARK: Any recross based upon
5	Commission questions? Staff?
6	MS. MERS: Just one.
7	RECROSS-EXAMINATION BY MS. MERS:
8	Q. You were asked by the judge about the business
9	demand response program and your view on it if was
10	interruptible or curtailable. To your knowledge, has
11	the Company indicated that it will physically withhold
12	the supply of electricity from customers that sign up
13	for the program?
14	A. No.
15	MS. MERS: Thank you.
16	JUDGE CLARK: Is that your only question?
17	MS. MERS: Yes.
18	JUDGE CLARK: Renew Missouri?
19	RECROSS-EXAMINATION BY MR. OPITZ:
20	Q. Dr. Marke, I think it was Judge Clark who was
21	asking you about some details about PAYS and how it
22	works. Do you recall that?
23	A. I do.
24	Q. And in terms of prior MEEIA cases, one issue
25	has been free ridership; is that correct?

Α. Yes.

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With PAYS, is free ridership an issue at all? 0. That's a great question. It is not an issue, 3 Α. which is even more of a reason for the utility to 4 5 support that. Real briefly. Their whole earnings 6 opportunity is predicated on this idea that absent these 7 programs people wouldn't actually do this measure. Τf 8 they did, if you were going to replace your HVAC anyway 9 because it's dead and you're taking advantage of it, of 10 their rebate, you're considered a free rider. And the 11 Company is actually penalized for that. They're not 12 allowed to get a return on their earnings opportunity if 13 free riders are identified in their EM&V. By default PAYS wouldn't have any free riders because these are 14 15 people that just literally can't, they don't have the 16 money to do this. This is allowing them the opportunity 17 to bring the stuff on. That is absolutely an incentive 18 for the Company. That's a huge risk of mitigation at a 19 minimum.

So related to the free ridership, how is that 20 Ο. 21 associated with NTG?

22

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It would be one. Α.

23 Ο. So one would be there's no free ridership 24 that's caused by the Company's program?

Α. That's right.

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1	Q. And so I think there was some discussion
2	yesterday about proposals for a .85 NTG for certain
3	programs?
4	A. Yes.
5	Q. And that would basically limit the Company's
6	ability to recover down to 85 percent, right?
7	A. Yes. I believe the .85 is referring to the
8	throughput disincentive.
9	Q. Okay. So that's a separate issue then?
10	A. It is. I think we recommended .70 for the
11	throughput disincentive.
12	MR. OPITZ: That's all I have, Judge.
13	JUDGE CLARK: Thank you. Any recross from the
14	Company?
15	RECROSS-EXAMINATION BY MR. FISCHER:
16	Q. In your conversation with Judge Clark, I think
17	you were talking about the Ameren RFP on PAYS. Do you
18	recall that?
19	A. (The witness nodded his head.)
20	Q. Do you know, Dr. Marke, whether the Ameren RFP
21	or their plans are focused only on the specific PAYS
22	program or might it also be broader looking for other
23	alternatives?
24	A. I can tell you what they've told me which was,
25	Geoff, we're going to look at PAYS, you won. I'll wait

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1	to see the RFP I guess.
2	Q. The PAYS is a specific program though. There
3	are others out there that are what you might call
4	creative financing approaches?
5	A. Creative financing approaches. PAYS is an on
6	tariff program. If you're referring to low income
7	financing through other means that there are other
8	options.
9	Q. Would you agree that Ameren has indicated
10	they're going to look at PAYS but not necessarily that
11	they're going to implement it yet?
12	A. I think that's a fair characterization at this
13	point. I guess, yeah, they can do what they want at the
14	end of the day.
15	MR. FISCHER: That's all I have, Judge. Thank
16	you.
17	JUDGE CLARK: Any redirect from the Office of
18	the Public Counsel?
19	REDIRECT EXAMINATION BY MR. HALL:
20	Q. Dr. Marke, do you recall counsel for Renew
21	Missouri asking you about NTG?
22	A. Yes.
23	Q. Just for the record, is that net to gross?
24	A. That's correct.
25	MR. HALL: Your Honor, may I approach?

1	JUDGE CLARK: Go ahead.
2	BY MR. HALL:
3	Q. Dr. Marke, in response to a question from
4	Commissioner Rupp, you had mentioned that Lawrence
5	Berkeley National Labs had just released a new report on
6	Kansas City urban heat island phenomenon. Do you recall
7	that?
8	A. Yes.
9	Q. It was in, was it in your surrebuttal or your
10	rebuttal testimony where you mentioned that you wished
11	to update the Commission once a forthcoming report from
12	Lawrence Berkeley National Labs became available?
13	A. I think it was my rebuttal.
14	Q. Is that report now available now?
15	A. It is.
16	Q. For purposes of the record I went around the
17	room and distributed copies of a report from Lawrence
18	Berkeley National Labs. Is that report the new
19	forthcoming report that you're referring to?
20	A. This is the report. These are the authors
21	that our office has been working with that we've held
22	several meetings at KCPL with a variety of different
23	actors.
24	Q. And just for clarity sake, could you read the
25	title of the report for the record?

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Heat Island Mitigation Assessment and Policy 1 Α. 2 Development for the Kansas City Region. MR. HALL: Your Honor, I had this previously 3 premarked as Exhibit 202. At this time I move for its 4 admission. 5 6 JUDGE CLARK: Any objections to admitting 7 Exhibit 202 onto the hearing record? I hear none. Exhibit 202 will be admitted onto the hearing record. 8 9 (OPC EXHIBIT 202 WAS RECEIVED INTO EVIDENCE AND MADE A PART OF THIS RECORD.) 10 11 BY MR. HALL: 12 Dr. Marke, you were asked by counsel for Staff 0. about the cycle of denial. Do you remember that 13 conversation? 14 15 Α. I do. 16 Do you understand the cycle of denial to be a Ο. 17 theory? 18 Α. Yes. 19 But in your experience with the Public Ο. 20 Counsel, you've had a real life experience with actual 21 denial of energy efficiency applications? 22 Α. That's correct. 23 Ο. Have you not? In your experience with -- Has 24 the rejection of any MEEIA application received 25 attention from media in the state?

1 Α. Yes. MR. HALL: Your Honor, may I approach again. 2 JUDGE CLARK: Go ahead. 3 4 MR. HALL: For the purposes of the record, I 5 went around the room and distributed copies of a 6 newspaper article and I've given the newspaper article 7 itself along with that copy to Dr. Marke. 8 BY MR. HALL: 9 Now, Dr. Marke, do you recognize this article? Ο. 10 Α. I do recognize it. 11 Can you explain what it is? Ο. 12 This is an article from the St. Louis Α. Post-Dispatch. The date is July 26, 2015, so 13 14 approximately four years ago we were having a hearing 15 with Ameren Missouri over Cycle 2. And at the time the 16 concern was well, if the Commission doesn't adopt 17 Ameren's application they'll at least walk away because 18 MEEIA is voluntary and nobody can make Ameren do I was asked 19 anything that Ameren doesn't want to do. 20 point blank do you think they'll walk away, Dr. Marke? 21 I'm quoted at the top there saying I don't think they'll 22 walk away. I think there's too much money here. The 23 Post-Dispatch quoted me on that. And it turned out to 24 The Company came back, they negotiated with be true. 25 both Staff, OPC and other parties and we got a better

And they ended up getting a MEEIA 3 on top of 1 MEEIA. 2 that later. After reviewing this article, do you believe 3 0. 4 this to be an accurate portrayal of the events of 5 Ameren's MEEIA Cycle 2? 6 Α. I can unequivocally say that Missouri is 7 better off the Commission having said no to Ameren 8 Missouri's one-sided application in MEEIA Cycle 2 and 9 allowing parties to reconvene with guidance from the 10 Commission in that case. I hope the same thing will 11 happen here. 12 And as I posited, the copies that's been 0. 13 distributed to everyone is the copies of the actual 14 newspaper in front of you. Do you know the origin of 15 that newspaper? 16 The St. Louis Post-Dispatch? Α. 17 0. Yes. 18 Α. Yes. 19 Would you care to explain where you got that Ο. 20 newspaper? I don't remember where we got it. I'm sorry. 21 Α. 22 I don't. Where I got the paper? 23 Q. Yes. 24 We have a subscription in our office. Α. 25 MR. HALL: At this time, Your Honor, I would

1 move for the admission of this as an exhibit marked 203 2 relevant to the purposes of discussing whether or not a future application could be seen after a rejection of a 3 4 MEEIA proposal. 5 JUDGE CLARK: Any objection? 6 MR. FISCHER: Yeah, Judge. I'm going to 7 object. 8 JUDGE CLARK: Hold on just a second. Any 9 objections? Go ahead. Well, I'll object first on the 10 grounds that this was dated 2015. It could have been 11 included in his direct or his rebuttal or any other 12 filing he made. He's already guoted I think what's 13 important to him is I don't think they'll walk away. 14 Otherwise, it's hearsay. It's improper to be added in 15 now on redirect in this case. MR. HALL: Your Honor, if I may respond. 16 17 JUDGE CLARK: Hold on just a second. I'm 18 going to overrule that. I think that the Company by 19 comparing themselves in regard to both Ameren's MEEIA 20 Cycle 2 and MEEIA Cycle 3 negotiations have at least made it relevant. So that will be admitted onto the 21 22 hearing record as Exhibit 203. 23 MR. HALL: Thank you, Your Honor. 24 (OPC EXHIBIT 203 WAS RECEIVED INTO EVIDENCE 25 AND MADE A PART OF THIS RECORD.)

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MR. HALL: On that point, I have no further questions. COMMISSIONER RUPP: Who gets the coupons in the subscription when the Sunday paper comes to your

5 office?

THE WITNESS: We only get it during the week.We don't get the weekend version.

8 COMMISSIONER RUPP: You don't get the Sunday. 9 JUDGE CLARK: Any recross based on Commission 10 questions? Okay. I don't believe and I want to clarify 11 this. Dr. Marke, you can step down.

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(Witness excused.)

JUDGE CLARK: I don't believe that there are 13 any parties with any remaining witnesses that haven't 14 15 already been waived. What I'd like to do at this time, 16 and let me go wide with the view here, what I'd like to 17 do at this time is briefly go through the exhibits. 18 Hold on just a second. I'd like to briefly go through 19 the exhibits for the parties and be sure that they've 20 gotten everything admitted that was admitted and if a 21 party is not present that I at least understand that all 22 the parties are in agreement as to what those parties 23 had entered onto the hearing record.

24 So I'll start with the Company's exhibits. It 25 appears that the Company has six exhibits that were

admitted onto the hearing record. Those would be 1C, 1 2 2P, 3C, 4P, 5 and 6. Is that what you have for what has been admitted? 3 MR. STEINER: That's what I have, Your Honor. 4 5 Thank you. 6 JUDGE CLARK: Thank you. In regard to Staff's 7 exhibit list, I have 100, 101 public and confidential, 8 102, 103, 104 and 105 confidential. 9 MS. MERS: Yes, Your Honor, that's what I have 10 as well. 11 JUDGE CLARK: In regard to the Public 12 Counsel's exhibits, I have 200 public, 200 confidential, 13 201, 202 and the just added 203. 14 MR. HALL: That is my understanding as well. 15 Thank you. 16 JUDGE CLARK: In regard to the Division of 17 Energy who is not here, I believe both the testimony of 18 Martin Hyman, 350, and the testimony of Jane Epperson, 19 351, have been offered and admitted onto the hearing 20 record. Is that what everybody else has? I see nods. 21 I'll take that as a yes. 22 I think a better way to do the parties that 23 are not here is to do it by objection. So I'll ask if there's any objection to those. In regard to NRDC's 24 25 exhibit list, they have 400, the rebuttal testimony of

Phil Mosenthal, 400 confidential, so that would make 1 2 400, 400 public, also the rebuttal testimony of Phil Mosenthal and 401 the affidavit of Mr. Mosenthal. Any 3 4 objections? I see none. 5 In regard to Renew Missouri Advocates, for you 6 I have Exhibit 450, the rebuttal testimony of Mark 7 Cayce, 451, the rebuttal testimony of James Owen, 452, 8 the surrebuttal testimony of James Owen and 453, the 9 rebuttal testimony of Philip who I can't pronounce. 10 MR. OPITZ: That's correct, Judge. 11 JUDGE CLARK: Thank you. As to the National 12 Housing Trust who is not here for day two, I have 550A and B with one being the confidential version and one 13 being the public version and 551, and both of those are 14 15 the rebuttal and surrebuttal testimony of Annika Brink. 16 Any objections? I see none. 17 Now, it's my understanding -- Let me ask at 18 this time are there any matters before going into 19 cleanup that need to be handled by the Commission? Any 20 post-hearing motions, anything like that? I see none. 21 It's my understanding, Ms. Bentch, that 22 transcripts for this case will be available on September 23 26; is that correct? 24 THE COURT REPORTER: That's correct. 25 JUDGE CLARK: And since that is -- is that

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tomorrow? No, that's Friday. I don't assume that 1 2 there's any reason to expedite those beyond that. THE COURT REPORTER: I think that's Thursday. 3 JUDGE CLARK: Is that Thursday? Yeah, that's 4 Today is Tuesday. 5 right. Thank you. 6 THE COURT REPORTER: But I'd take Friday. 7 JUDGE CLARK: Well, if that's what we've asked 8 for, I'm going to go ahead and stick with that and 9 that's because of the briefing times that have been given. And I have down, and do all the parties agree 10 11 that initial briefs are due, according to the procedural 12 schedule, on October 11 with reply briefs due on October 13 21. 14 I'd indicated at the beginning that because I 15 felt that the issues were not sufficiently -- didn't 16 sufficiently cover the issues in a way that gave the 17 Commission a lot of insight in terms of what needed to 18 be determined in anything other than a broad sense that 19 I might add some additional areas to briefing. And I'm 20 going to do that now. 21 There's been a lot made of avoided costs. So 22 I would like a separate section of briefs addressing why 23 avoided costs are important to this if, in fact, they Multiple times the Company brought up that they 24 are. 25 should be allowed an earnings opportunity and Staff

1	multiple times brought up that the earnings opportunity
2	should also be zero like their recommended avoided cost.
3	So I'd like the parties and obviously in regard to Renew
4	Missouri, the parties that are interested in that issue,
5	would like them to brief why the Company should or
6	should not be allowed an earnings opportunity.
7	Skip that. I want to know from all the
8	parties why the business demand response program is or
9	is not an interruptible or curtailable program. I'm
10	going to lump together, just because I want to know more
11	about it, I'm going to lump together the NRDC's
12	request to have the Commission approve an order, a
13	modification to the MEEIA as supported by them and I'm
14	going to lump that together with the PAYS program in
15	regards to addressing the particular low income issues
16	put forth. I don't really want to hear about the Watts
17	or the other ones. I believe those are sufficiently
18	explained in surrebuttal. I'd like some arguments as to
19	why the Company should be required to adopt PAYS and
20	from the Company why they don't think they should.
21	I'll leave this as optional, but it would be
22	helpful and I've stressed several points, I don't see
23	since these are two different applications and I have a

24 25 Г

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Commission couldn't approve one application and deny the

very limited understanding of how SPP works why the

other or modify them both accordingly to align. So I'll 1 2 allow the parties to put forth whether or not they believe -- to argue why they believe the Commission 3 should address these either together or these 4 applications together or separately. And you're free to 5 mention SPP or not. It sounds like SPP is the driving 6 force behind that. If that has an effect, I'd like to 7 8 know.

9 Finally, the first issue as put out which was, 10 I believe, should the Commission accept, reject or 11 modify the Company's MEEIA Cycle 3 and approve its 12 variances is extremely broad. I'd like to address the 13 variances if not individually at least into a block of 14 the first four and the last one separated out if not 15 individually and I believe in response to one of the 16 questions, or maybe it was in one of the attorney's 17 openings, I can't remember, they said in regard to the 18 fifth variance they said either deny or approve with 19 alterations, and I don't know what that means. So that 20 how is Staff wanting to alter that I guess would be a 21 question for me. And the other parties can address 2.2 whether or not it should be approved.

I will leave -- I did not ask a lot of
questions about peak reduction. So I'm not going to go
back into that. Those are the additional briefing

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1	topics that I would like.
2	Of a procedural nature, that's all I have. Is
3	there anything else that the Commission needs to
4	consider before we adjourn this hearing? I see nothing.
5	I appreciate all of your time today. I appreciate
6	everybody's cordiality. I know that at times there were
7	some things over which parties were passionate or got
8	heated over, but I think everybody conducted themselves
9	very well. So I appreciate that. With that in mind,
10	this evidentiary hearing is now adjourned and we'll go
11	off the record.
12	(Off the record.)
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